

# 1 The mud volcanoes at Santa Barbara and Aragona (Sicily, 2 Italy): Their potential hazards for a correct risk assessment

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## 16 Abstract

17 The Santa Barbara and Aragona areas are affected by mud volcanism (MV) phenomena, consisting of continuous or  
18 intermittent emission of mud, water and gases. This activity could be interrupted by paroxysmal events, with an eruptive  
19 column composed mainly by clay material, water and gases. They are the most hazardous phenomena and, nowadays,  
20 it is impossible to define the potential parameters for modelling the phenomenon. In 2017, two MV were performed  
21 by drone in both areas, thus allowing the mapping of the emission zones and the covered areas by the previous events.  
22 Detailed information about past paroxysms was obtained from historical sources and, with the analysis of the 2017  
23 DSMs, a preliminary hazard assessment were carried out, for the first time at two sites. Two potentially hazardous  
24 paroxysm surfaces of 0.12 km<sup>2</sup> and 0.20 km<sup>2</sup> for Santa Barbara and Aragona respectively, were defined. On May 2020,  
25 at Aragona a new paroxysm covered a surface of 8,721 m<sup>2</sup>. After this, a new detailed DSM was collected with the aim  
26 to make a comparison with the 2017 one. Since 2017, a seismic station was installed at Santa Barbara. From preliminary  
27 results, both seismic events and ambient noise showed a frequency of 5-10 Hz.  
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45 Keywords: Mud Volcanism; Maccalube; Paroxysm; Hazard assessment; Risk assessment; monitoring.  
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## 1.0 Introduction

The mud volcanoes (MV) activity is a typical expression of the sedimentary volcanism mainly occurring in compressive tectonic regimes, consisting in a continuous or intermittent shallow fluid-gas-mud emission at the Earth's surface. The uprising of mud, composed of a mixture of saline cold water, clay and gases (essentially methane), from depth to the Earth's surface, generally occurs along tectonic discontinuities as a results of the presence of under pressure gases or by diapirism phenomena.

According to a detailed study performed by (Mellors et al., 2007), for the mud volcanoes in Azerbaijan, the temporal correlation between earthquakes and eruptions is most pronounced for nearby earthquakes (within 100 km) and with intensities of Mercalli 6 or greater. According to (Bonini et al., 2009), mud volcanoes of the Pede–Apennine margin in Italy, are intimately connected with rising fluids trapped in the core of anticlines associated with the seismogenic Pede–Apennine thrusts.

Monitoring the activity of the mud volcanoes, in terms of gas outflow, could be helpful to predict paroxysmal events. Monitoring is generally carried out by capturing gaseous emissions at the emitting conduits (Kopf et al., 2010). However, this approach is not always effective and applicable, due to logistic difficulties, which make this kind of measurements infeasible and expensive in many contexts. For this reason, several indirect approaches, based on vibration monitoring, have been proposed (Albarelo et al., 2012). In areas characterized by sedimentary volcanism it is known that gas "bubbling" phenomena can be effectively recorded by a local seismic network. Bubbling plays an important role in mud volcanism. Low permeability of clays in mud-volcano areas (Kopf, 2002) suggests that, in the lack of large mud outflow (typical of quiescent phases), gas propagation from the reservoir mainly occur by the uprising of gas bubbles (Etiopie and Martinelli, 2002; Albarelo, 2005). Recent researches (Albarelo et al., 2012) showed that seismic monitoring could provide useful signals to characterize the activity of mud volcanoes. The seismic signals recorded on the Dashgil mud volcano allowed to model several transients as a surface effect of resonant gas bubbles in a shallow basin just below the volcano (Albarelo et al., 2012). The interpretation of transient events in seismic tremor in terms of bubble resonance suggests a new approach to stimulate gas emissions in the mud volcano.

In Italy, the mud volcanoes are clustered in three main geographical zones: in northern Apennines (mainly in the Emilia Romagna Region); in central Apennines (Marche and Abruzzo Regions); in southern Apennines (in Basilicata, Calabria and Campania Regions) and in Sicily where 13 mud volcanoes areas are present both in central and western sectors. Sizes and shapes of the Italian mud volcanoes vary considerably. According to (Martinelli et al., 2004), only a small proportion (20%) can be described as 'large' with a surface area >500 m<sup>2</sup>, while only 5% exceed 2 m in height.

In Sicily, mud volcanoes are mostly located within Caltanissetta and Agrigento Provinces (S. Barbara and Aragona locations respectively). The name of these phenomena is known as "maccalube" (or macalube), that derives from Arabic and it means, "overturning". In some cases, a violent and instantaneous explosion called "paroxysm" could occur and, the erupted material, consisting in mud breccias composed of a mud matrix with chaotically distributed angular to rounded rock clasts from a few millimeters to meters diameter, could reach a long distance from the emission point. The volume of the erupted materials is generally in the order of tens cubic meters and cover a big portion of the surface. On 26 September 2014 at Maccalube of Aragona two kids died covered by a thick erupted mud deposits, during a violent paroxysm. At Santa Barbara village, the last paroxysmal episode occurred in August 2008, provoking important damages to houses, roads, electric and water pipelines.

The majority of the mud eruptions occurred in the absence of any earthquake, suggesting that mud volcanoes may erupt in response to a seismic input only if the internal fluid pressure approaches the lithostatic one. A repose time is needed for triggering an eruption, related to the production rate of the driving gas to overcome the permeability of the system at depth (Bonini et al., 2009).

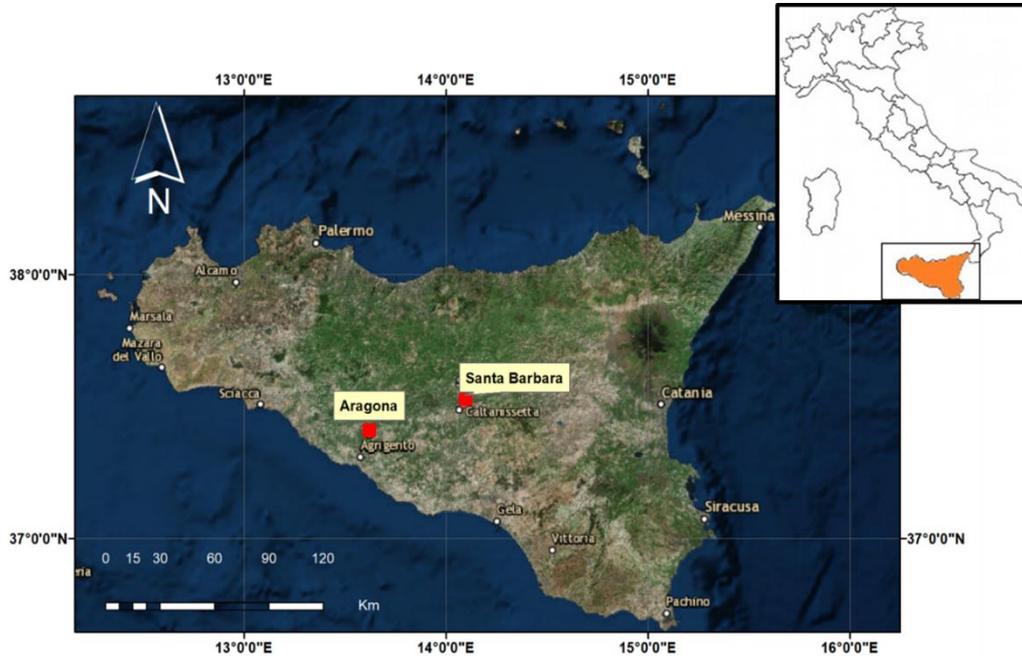
In this paper, we have gathered some historical information about the pre and post paroxysmal events occurred in the past at both study areas as starting point for a correct hazard assessment.

In October 2017, a seismic monitoring station was installed at Santa Barbara, in order to collect some seismic information of the site. Moreover, a number of ofdrone surveys were performed both at Santa Barbara and Aragona. Finally, at Aragona a drone survey has been carried out a few days after the last paroxysm event occurred on 19<sup>th</sup> may 2020, with the aim of mapping the surface of the erupted material and estimating volume and thickness.

Moreover, a Digital Surface Model (DSM) has been elaborated and the emission points at the Earth's surface were mapped. Based on the DSM analysis and our historical information, two main hazardous paroxysm areas at Santa Barbara and Aragona have been elaborated, in this paper, for the first time.

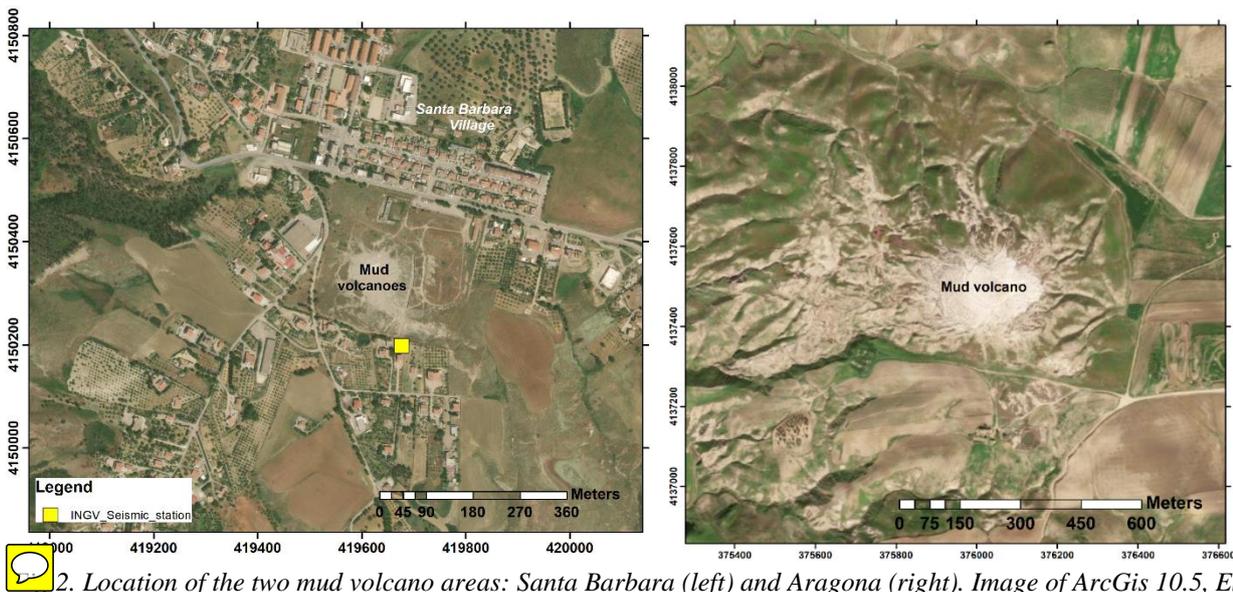
102 **2.0 The study areas**

103 Santa Barbara and Aragona MVs areas are located in the central and south-west side of Sicily Region (locations in Fig.  
 104 1), inside the Caltanissetta Basin, an accretionary prism formed during the convergence and collision between the African  
 105 and the Eurasian plates during the Neogene-Quaternary, reaching a deposit thickness of the order of some km. It consists  
 106 of the Late Miocene to Pleistocene sediments formed simultaneously with the Tyrrhenian Sea opening (Catalano et al.,  
 107 2000b).



108 *Fig.1. Location of the two investigated mud volcano areas: Santa Barbara (Caltanissetta Province) and Aragona*  
 109 *(Agrigento Province) (image from ESRI).*

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 112 At Santa Barbara, the mud volcanism is located eastward of the Caltanissetta town, near the “Santa Barbara village”. The  
 113 composition of its deposits, consists essentially in clay, clayey- marly and sandy composed. Around the main mud  
 114 emission, in the northern sector, different residential buildings are present which were built mainly in the 60’s while, in  
 115 the southern sector, twenty mono-familiar houses (Fig.2). Several public facilities are present at the western side of the  
 116 mud volcano and, electric pipelines, roads and services for about 4,000 resident people should be considered for a correct  
 117 risk assessment of the entire area.



118 *Fig.2. Location of the two mud volcano areas: Santa Barbara (left) and Aragona (right). Image of ArcGis 10.5, ESRI.*

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121 The Aragona MVs area is located about 3.5 km from the town, in the SW direction. The *Maccalube of Aragona* MV area  
122 is a beautiful natural touristic attraction over time and in 1995 has been established Integral Natural Reserve, nowadays  
123 managed by Legambiente. The geology of the entire area is mainly characterized by clay deposits, clayey-sands and  
124 marls, alternating with sandstone that favour a low-relief geomorphology (Fig.2). No residential buildings and public  
125 facilities are present around the main mud emission area but, the site represents a naturalistic attraction for tourists. After  
126 the 2014 paroxysm, where two kids died, the entire area was closed.

### 128 3. The historical background: a tool for the hazard assessment

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130 The Maccalube of Aragona and Santa Barbara have been affected in the past by different paroxysmal events, characterized  
131 by violent explosions of gas and mud, which periodically cause the interruption of the normal degassing activity, with a  
132 rapid emission of considerable quantities of clayey material and ballistics, accompanied by strong rambles. The  
133 paroxysmal activity, reaching a maximum column height of about 20-30 meters is generally, determined by the  
134 accumulation and the sudden release of pressurized gases (mainly CH<sub>4</sub> with 95-97% vol.) at depth. The volumes of the  
135 expelled mud during these events, have reached tens of thousands of cubic meter and consequently after a paroxysmal  
136 event a drastic variation in the morphology occurs. Sometimes, during historical paroxysmal manifestations, the emitted  
137 gas giving rise to suggestive manifestations like burning fountains (Grassa et al., 2012). However, MVs do not represent  
138 only a relevant geological phenomenon as they also act as element of hazard. Therefore, the understanding of occurrence  
139 of historic events, together with the intensities of the pre- and post-evidences associated with this phenomenon, could be  
140 a useful tool for the Civil Protection authorities in order to define the most probable hazard scenarios for a correct risk  
141 assessment in both study areas.

#### 143 3.1 The Santa Barbara historical paroxysms

144  
145 The old naturalists and geologists, have described the activity of the mud volcano at Santa Barbara, since 1800, reporting  
146 some of their major paroxysmal events (Carnemolla, 2017). The first scientific document was produced in 1823 with a  
147 manuscript entitled "*Descrizione geologico-mineralogica nei dintorni di Caltanissetta*" by Gregorio Barnabà La Via, who  
148 documented one of the paroxysmal eruption reporting: "[...] on March 5<sup>th</sup>, 1823 at 5:25 PM, the wind from the north  
149 with strong and broken turbines, the sky being clear, a few dense clouds with long stripes appeared. Five earthquakes  
150 occurred in 9 seconds without damages at factories. Going to mud volcano with the Villarosa duke, Luigi Barrile and  
151 Livolsi abbot, that observed since 1818 the phenomenon, increasing up to 50 cm the width of the cracks at the maccalube  
152 (that were 27 cm) and observing an increasing of the height of the mud volcano with a continuous emission of mud, water  
153 and hydrogen sulphide at 2.30 m height [...]".

154 The Livolsi abbot, in his study entitled "*Sul vulcano aereo di Terrapilata in Caltanissetta*" reported the description of  
155 the entire area of the mud volcano: "[...] Its surface is conical in shape, and at first glance offers the appearance of an  
156 extinct volcano [...]". According to this manuscript, different paroxysms occurred in 1783, 1817, 1819 and 1823 (Madonia  
157 et al., 2011).

158 The intense phenomena have occurred continuously over time, and there is evidence of a significant event occurred  
159 between the years 1930 -40.

160 On August 11<sup>th</sup> 2008, near the village of Santa Barbara, a sudden emission of natural gas occurred, accompanied by the  
161 expulsion of large quantities of clayey material, gas and water, reaching a maximum height of about 30 meters. From the  
162 morning, the village was affected by intense phenomena of soil cracking causing diffuse damages to civil and industrial  
163 buildings. A general uplift of the area around the mud volcano, together with the presence of variable fractures with  
164 horizontal and vertical rejections were observed (DRPC report, 2008). A general uplift of the entire Santa Barbara area  
165 occurred during the period just before the paroxysmal event, from December 2007 to August 2008. With the aim of the  
166 persistent scattering time series from the Satellite-based synthetic aperture radar interferometry, Cigna et al., (2012)  
167 recorded up to 3–5 cm of progressive movements accumulating just before the event in the direction towards the satellite.  
168 As a consequence of these phenomena heavy damages to factories, roads, residential buildings and public facilities (water,  
169 gas, electricity pipelines) occurred. The Regional Department of Civil Protection forced evacuation of several buildings  
170 both in the southern sector of the mud volcano area at a short distance (hundreds of meters) from the MVs area , as well  
171 as at a distance 2.5 km far from the main area, where, a large scale of soil deformations and fracturing occurred (DRPC,  
172 2008).

173 At 16.52 of the same day (11<sup>th</sup> August) a paroxysm occurred next to the Santa Barbara village, accompanied by strong  
 174 rumble and by an about 30 meters column height composed mainly by clayey material, gas and water that covered in  
 175 seven minutes about 12,000 m<sup>2</sup> of the area with an estimate volume of about 9,550 m<sup>3</sup> (INGV, Report 2008). The  
 176 maximum width of deposit was 3.5 meters next to the emission points up to 30 cm in the SE direction reaching a total  
 177 distance of about 136 m from the main vents. The paroxysmal event lasted several minutes and was anticipated by a  
 178 **telluric event** occurred a few hours before in the whole Terrapelata area and, contemporaneously, in the neighbouring  
 179 area of St. Anna. The latter caused opening of fractures on the ground and severe damages to local buildings and hydraulic  
 180 facilities (Cigna et al., 2012). After the end of the paroxysm, an increase of the length of the pre-existing fractures  
 181 occurred. The main pre and post historical observations of these events are showed in table 1.  
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183 *Table 1. Pre and post observation of the historical paroxysm events at Santa Barbara.*  
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Pre-event observations	Paroxysm Event	Post-event observations
✓ Large scale soil fractures	1783, 1817,1819	✓ Paroxysm related to large scale fracturing
✓ five earthquakes felt by population in 9 seconds	5th March	✓ Erupted clayey material up to 2,30 meters height;
✓ Increasing of soil fractures from 27 cm to 50 cm	1823	✓ Increasing of the mud volcano surface; ✓ Water and gas bubbles with the H <sub>2</sub> S presence;
11 <sup>th</sup> AUGUST 2008 – MORNING: ✓ Soil displacement, decimetric to metric fracturing with damages to civil and industrial buildings, roads and electrical networks ✓ Uplift of the entire area; ✓ Deformations up to 2.5 km far from the mud volcano. ✓ Seismic event	11th August 2008 hours: 16:52	✓ Audible roar up to a few hundred meters; ✓ Maximum height of the column of clay material mixed with water, gas and ballistic = 30 meters; ✓ Cover of 12000 m <sup>2</sup> with a newly formed clay deposits; ✓ Volume of erupted material of about 9500 m <sup>3</sup> ; ✓ Presence of lithics with a particle size from decimeters to centimeters; ✓ Extent of fractures about 1 km from the eruptive center; ✓ Maximum thickness of the new erupted deposit = 3.5 meters near the mud volcanoes; ✓ Diffuse methane flux up to 85 gm <sup>2</sup> / day with a NNW-SSE direction; ✓ Maximum distance reached by the erupted material towards SE direction = 136 meters.

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### 3.2 *The Aragona historical paroxysms*

189 The activity of the Maccalube of Aragona, **according to the historical evidences of greek, roman and arab testaments,**  
 190 have occurred at least for 2,500 years. The cosmetic and therapeutic use of the mud, emitted from these geological  
 191 manifestations, has been reported by Platone, Aristotle, Diodoro Siculo and Plinio. In 1777, the first big mud eruption  
 192 (today called paroxysm) has been documented by Abruzzese (1952), reporting: ” [...] *In the early hours of September*  
 193 *29<sup>th</sup>, the inhabitants of the neighbouring felt a strong shaking of the ground and observed a copious mud flow from the*  
 194 *craters up to different heights*”.

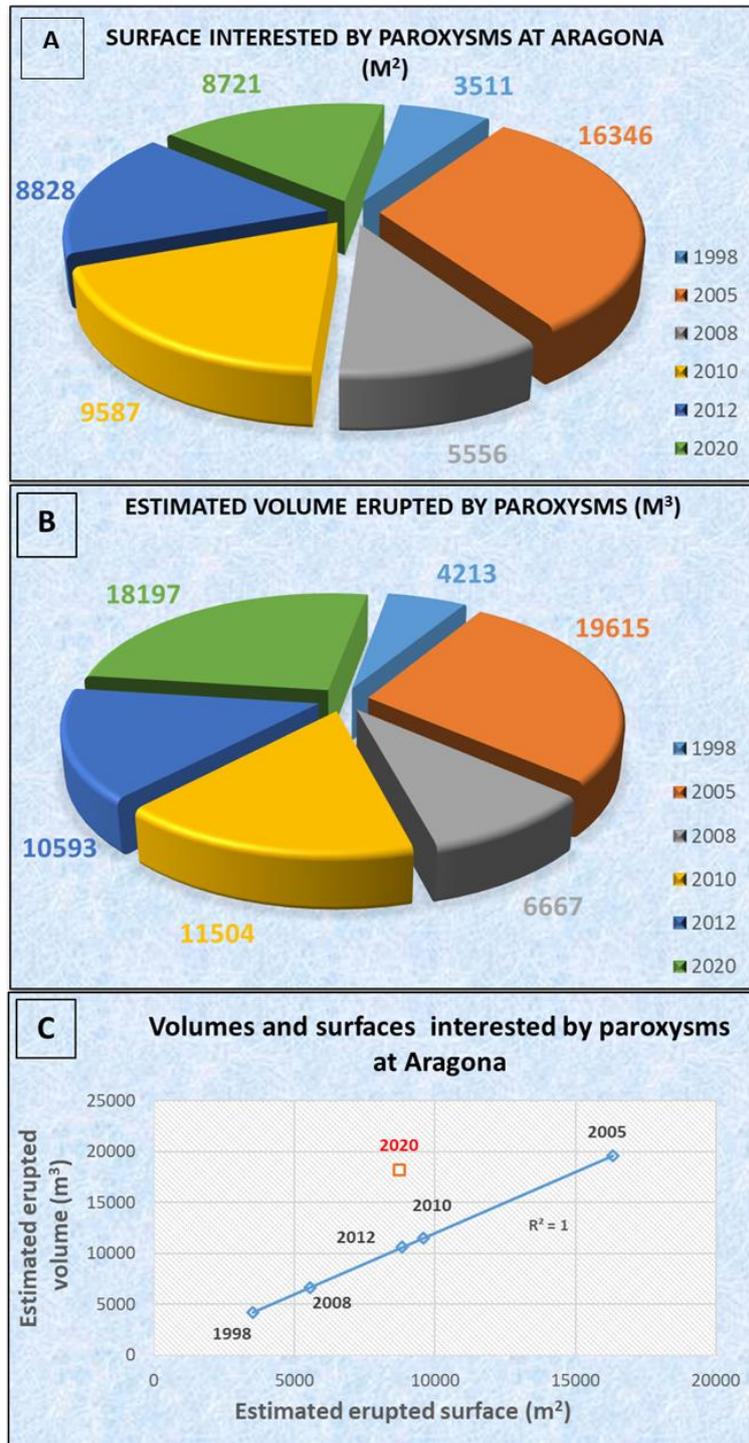
195 Furthermore, the Ferrara abbot described the same paroxysm as one of the most violent eruption known: “[...] *On the*  
196 *September 29<sup>th</sup> they heard before a roaring noise in all the surroundings. The ground shaking around a great chasm*  
197 *formed up a few miles [...] an enormous column of mud rose up to almost a hundred feet high, having been abandoned*  
198 *by the force that pushed it upward [...] the terrible explosion lasted half an hour, then calmed down, but recovered after*  
199 *a few minutes and intermittently continued all day but the smoke lasted all night. In all the time of the phenomenon the*  
200 *very strong smell of hydrogen sulphide gas was felt at a great distance in all the surroundings.*  
201 An unknown author reports the same eruption on 30<sup>th</sup> describing: “[...] *on September 30<sup>th</sup> 1777, after half an hour when*  
202 *the sun had risen, a murmur was heard in the above mentioned place, which, momentarily advancing, surpassed the roar*  
203 *of the strongest thunders. The earth begins to tremble, and shows the deep cracks, which widened more than usual to ten*  
204 *palms, the main crater, from where the clay and the murky water emerged perpetually, like a cloud of smoke, although*  
205 *somewhere it was flame-colored [...] this eruption lasted for half an hour, and, with a quarter-hour interval, replied three*  
206 *more times. The next day, the clay material emitted, however, appeared at the natural consistency, in such a way that it*  
207 *allowed the curious to approach the mud volcano. The clay material erupted still retained the smell of sulfur, which more*  
208 *penetrating was felt during the eruption.*”  
209 *On October 19<sup>th</sup>, 1936, at 5, some of Aragona and Giancaxio neighbor villages heard two rumbles, like thunders, which*  
210 *had followed one another in a short period of time.* A violent explosion destroyed the central part of the Maccalube from  
211 where an imposing fountain of mud raised, which in its ascent dragged blocks of marl mixed with sandstones and gypsum.  
212 This fountain reached ten to fifteen meters in height.  
213 *Only at the sunrise the people noticed that a large black mass had covered the place where the mud volcanoes are located*  
214 *for about 2 hectares.* From the surveys data detected by Prof. Ponte and Prof. Abruzzese, [...] *since February 1935 there*  
215 *were the presence of a soil fracture extending for about 400 m to E direction, then distancing 600 m towards the W. In*  
216 *March 1935, at the proximity of the fracture, several mud volcanoes arose, some of which reached a height of one meter.*  
217 The main pre and post observations of these historical paroxysms at Aragona are showed in table 2.  
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Table 2. Pre and post observation of the historical paroxysm events at Aragona.

Pre-event observations	Paroxysm Event	Post-event observations
<ul style="list-style-type: none"> <li>✓ Seismic events felt by population</li> <li>✓ Large scale soil fractures</li> <li>✓ Rumbles</li> </ul>	<ul style="list-style-type: none"> <li>✓ September 29<sup>th</sup> 1777</li> </ul>	<ul style="list-style-type: none"> <li>✓ Mud, ballistics, water and gases column up to 30 m height;</li> <li>✓ Half an hour duration with intermittent activity for all day;</li> <li>✓ Presence of Hydrogen Sulphide smell at considerable distance from the mud volcano;</li> <li>✓ Presence of lithics of various sizes aligned on the both sides of the mud volcano.</li> </ul>
<p>FEBRUARY 1935:</p> <ul style="list-style-type: none"> <li>✓ Presence of a soil fracture extending for about 400 m to E direction, then distancing 600 m towards the W</li> </ul> <p>MARCH 1935:</p> <ul style="list-style-type: none"> <li>✓ Appearance of some mud volcanoes set on the previously fracture, with heights of 1 meter</li> </ul>	<ul style="list-style-type: none"> <li>✓ October 19<sup>th</sup> 1936</li> </ul>	<ul style="list-style-type: none"> <li>✓ Emission of mud mixed with water, gas and lithics with a column height &lt;= 15 meters;</li> <li>✓ Cover with newly formed clayey material of 2 hectares of the surface.</li> </ul>
<p>AUGUST 2014:</p> <ul style="list-style-type: none"> <li>✓ Large scale soil fractures</li> </ul>	<ul style="list-style-type: none"> <li>✓ September 27<sup>th</sup> 2014</li> </ul>	<ul style="list-style-type: none"> <li>✓ Emission of mud mixed with water and gas with a column height &lt;= 15 meters;</li> </ul>

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222 Since 1995, year of establishment of the Natural Reserve, eight paroxysmal events took place in 1998, 2002, 2005, 2008,  
223 2010, 2012 (Fig.3) 2014 and the last one occurred on 19 May 2020. Grassa et al., (2012) reported the volumes and the

224 covered areas for each of the first six events. The largest event was in 2005, with an estimated volume of about 19,600  
 225 m<sup>3</sup> (Fig.3B) covering an area of about 16,350 m<sup>2</sup> (Fig.3A). It is interesting to note that a strong correlation exists between  
 226 the erupted material and the covered surface areas for the paroxysms occurred from 1998 to 2012 (no volume data are  
 227 available for the 2014 paroxysm) as is demonstrated by the high correlation coefficient ( $R^2=1$ ) and showed in figure 3C.  
 228 From the same plot, the 2020 paroxysm event falls far from the general trend previously highlighted covering a smaller  
 229 surface (approximately a half) rather than the expected one. In our opinion, this could be linked to a different location of  
 230 the main emissive vent, being the 2020 the only one eccentric event, and/or to different nature of the emitted material.  
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232  
 233 Fig.3. A) Estimated volume and B) interested surfaces at Aragona mud volcanoes during paroxysmal events. C)  
 234 Correlation coefficient for erupted volume and interested surface for the 1998-2012 events (Grassa et al., 2012,  
 235 modified). In blue the linear correlation with  $R^2=1$ . The red square represents the 2020 paroxysm.

#### 236 **4. Associated hazards at Santa Barbara and Aragona mud volcanoes**

237 From the historical information, obtained by the past documentary sources, it is clear and evident that the most hazardous  
238 phenomena existing in both areas are the paroxysms.

239 They are quite common, especially at Aragona, and therefore, it is likely to hypothesize that others hazardous events, with  
240 the same magnitude or higher, could repeat in the future.

241 In all of the paroxysmal events occurred in the past, both at Santa Barbara and Aragona (Tables 1-2), diffuse soil fractures  
242 and deformations, even at a considerable distances from the mud volcanism area, occurred during a pre-paroxysm period.

243 In particular, at Santa Barbara the population has felt several seismic events before the 2008 paroxysm.

244 Another important element that emerges from historical descriptions is that, following the paroxysms, people approaching  
245 the mud volcano areas, usually detected a strong acrid smell of gas, reasonably being H<sub>2</sub>S.

#### 247 **5. Methods**

##### 249 ***5.1 Digital Surface Model (DSM)***

251 High-resolution DSM maps of both study areas have been performed in 2017 while, in 2020 only at Aragona MV, with  
252 a range of 0.1-0.15 m. For these surveys, we used a DJI Phantom III Professional drone (quadcopter) with a mounted 12  
253 Mega Pixel digital camera (Lens FOV 94° - 20 mm, Sony Sensor EXMOR 1/2.3", effective pixels resolution of 12.4 M).

254 Before conducting drone mapping, we planned the flight paths and areas for each flight mission. The drone was set to  
255 take aerial photographs using "autopilot mode" with a camera facing directly downwards for a hilly terrain. The surveys  
256 were conducted with the camera mounted 90° sideways. We selected 75% forward and sideways overlap of images.

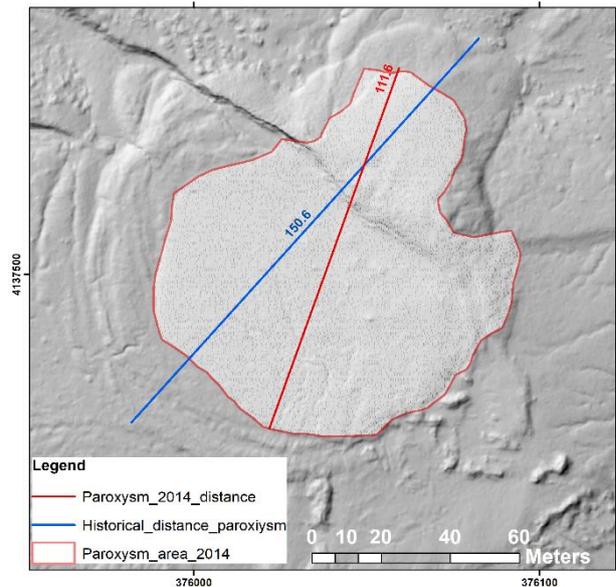
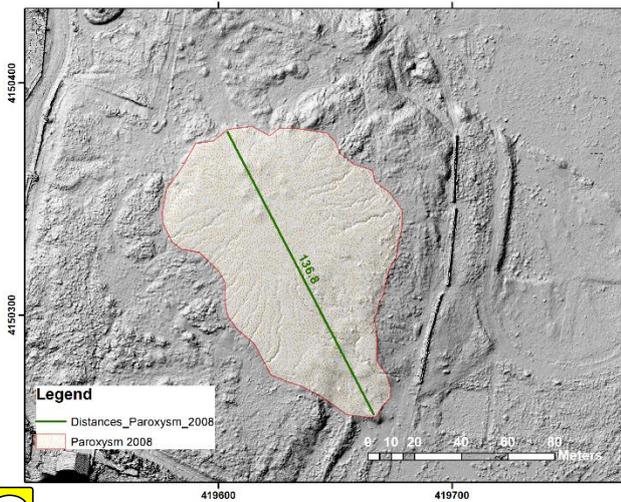
257 The acquisition of field data requires the determination of several control points on the ground, known as GCPs (Ground  
258 Control Points). Therefore, 11 points distributed within the defined area, were recorded using a GPS NAVCOM SF-3040  
259 with angular accuracy of 1 cm.

260 The images were processed with a Structure-from-Motion (SfM) and multi-view stereo approach, in order to produce a  
261 high resolution DSM (Digital Surface Model) and to identify the morphological structures linked to the sedimentary  
262 volcanic activity. These approaches allow the geometric constraints of camera position, orientation and GCPs from many  
263 overlapping images to be solved simultaneously through an automatic workflow. The image datasets were processed with  
264 the software Agisoft Photoscan (Agisoft, 2016). The post-processing of the acquired data merged in GIS software  
265 (ArcGIS 10.5), allowed to extrapolate the thickness and the volume of the erupted material, with its reached distance.

##### 267 ***5.2 Hazard assessment***

269 In order to define the potential paroxysm hazardous scenarios for both areas, in this paper, we consider the maximum real  
270 distances reached by the erupted material over time through the analysis of the high-resolution (12x12 cm) DSM acquired  
271 by the drone during the 2017 surveys at Aragona and Santa Barbara areas.

272 At Santa Barbara mud volcano, the erupted material, has reached a total distance along its major axis in the main event  
273 of 2008, of about of 136 meters while at Aragona, it has reached a total distance of 150 meters. In the 2014 paroxysm  
274 event at Aragona, the distance reached by the erupted material was 111 m (Fig.4).

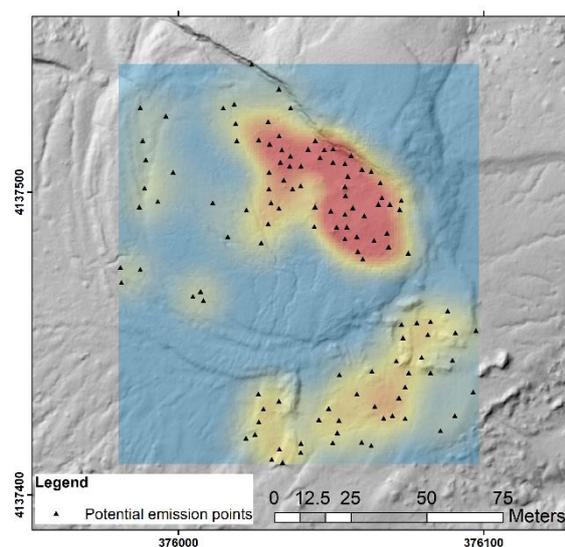
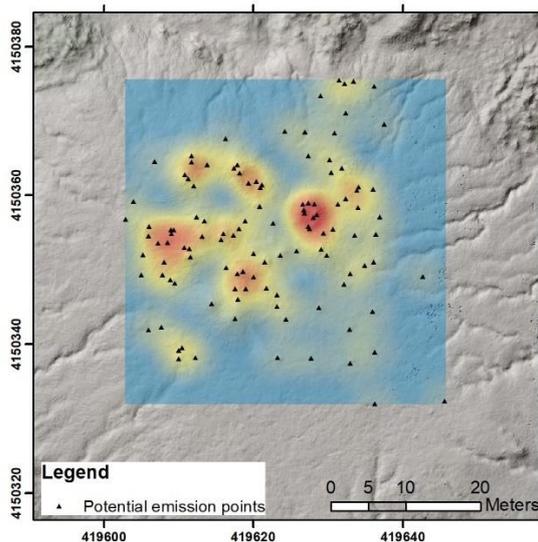


277  4. Historical distances reached by the erupted paroxysm material: at left Santa Barbara; at right Aragona. (Source:  
 278 2017 DSM's in ArcGIS 10.5)  
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281 In both areas, according to the historical sources, the maximum estimated erupted column height, is in the range of about  
 282 20-30 meters. During the 2008 paroxysm, the erupted clay material fallout at Santa Barbara covered an area of about  
 283 9,000 m<sup>2</sup> with a maximum thickness of about 3.5 meters next to the emission points (INGV, 2008 report) while at  
 284 Aragona, during the 2014 ones, the affected surface was 7,525 m<sup>2</sup>.

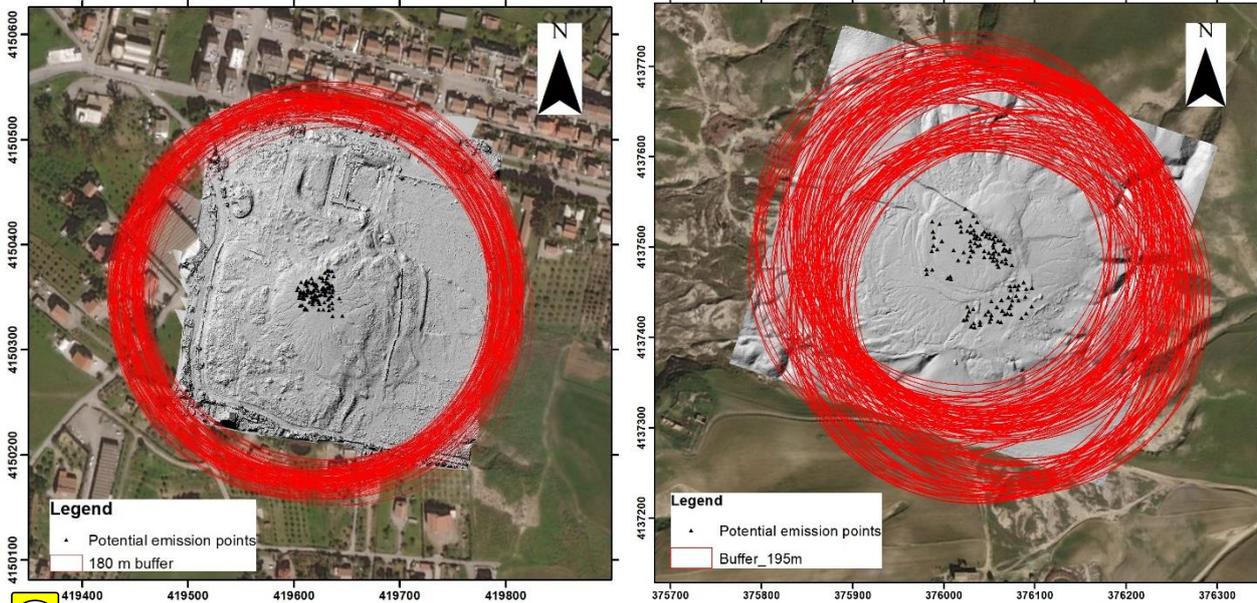
285 In this preliminary phase, in order to model the potential hazard scenarios, we assumed that both areas, in a next future,  
 286 will be affected by a similar erupted fallout deposits that reaches a maximum distances of 136 m and 150 m for Santa  
 287 Barbara and Aragona area respectively.

288 For these reasons, at first, from our 2017 DSM, we identified the mud volcanoes and bubbling pools in both areas (Fig.5)  
 289 as the potential emission points for generating a future paroxysmal event. With the aim of the kernel density tool in  
 290 ArcGIS 10.5, we defined different clusters maps (Fig.4), with two main directions, appeared mostly highlighting a NW-  
 291 SE and NE-SW directions at Aragona while, at Santa Barbara, the distribution at the surface seems to be inhomogeneous.



292  5. Density maps of the potential emission points investigated. Red: high density values; Yellow: low density values.  
 293 At left the Santa Barbara area and at right the Maccalube of Aragona. (Source: 2017 DSM's in ArcGIS 10.5)  
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 295  
 296

297 Secondly, we calculated on each emission points checked, the greatest distance reached by the erupted material at Santa  
 298 Barbara and Aragona respectively, through the elaboration in ArcGis 10.5 Software, of an omni-directional potential  
 299 hazardous area, considering a + 30% surplus rounded up, due to the creation of a safety limits for both areas.  
 300 The final potential paroxysmal hazardous areas, in both areas, are considered as the envelope among the entire buffer  
 301 circumferences elaborated. For the hazard assessment, we elaborated 117 and 165 buffer circumferences with a radius of  
 302 180 m and 195 m at Santa Barbara and at Aragona respectively (Fig.6).



303  Buffer circumferences in ArcGIS 10.5 at Santa Barbara (left) and Aragona (right) mud volcanoes areas. (Source:  
 304 2017 DSM's in ArcGIS 10.5)  
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### 307 5.3 Uncertainties

308 The application of the methodology for the hazard assessment in both study areas, inevitably, is based on assumptions  
 309 which could give us some uncertainties. At the same time, the absence of a modelling approach for the paroxysm events  
 310 at both study areas and, the poor availability of data from all the past events, follow us a semi-quantitative approach for  
 311 the hazard definition. The Digital Surface Model elaborated on 2017 was used to calculate, with some uncertainties, in  
 312 ArcGis 10.5 the maximum distance reached by the erupted fallout materials. The emission points checked in 2017 at  
 313 S.Barbara and Aragona may change the location over time due to their constantly evolving, also depending on the  
 314 seasonality, on the weather conditions or to a new deposition of the erupted clay materials.  
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### 316 5.4 Seismic monitoring activity at Santa Barbara

317 Since October 2017, a seismic INGV station was installed at Santa Barbara (see Fig.2 for location). It was equipped with  
 318 a Lennartz 3D-LITE/1s short period velocimeter, with flat response in the bandwidth 1-80 Hz, and a 24-bit seismic data  
 319 logger RefTek 130 model. To take full advantage of the sensor frequency band, the sampling frequency was set at 200  
 320 Hz, while the signals were synchronized via GPS.  
 321  
 322

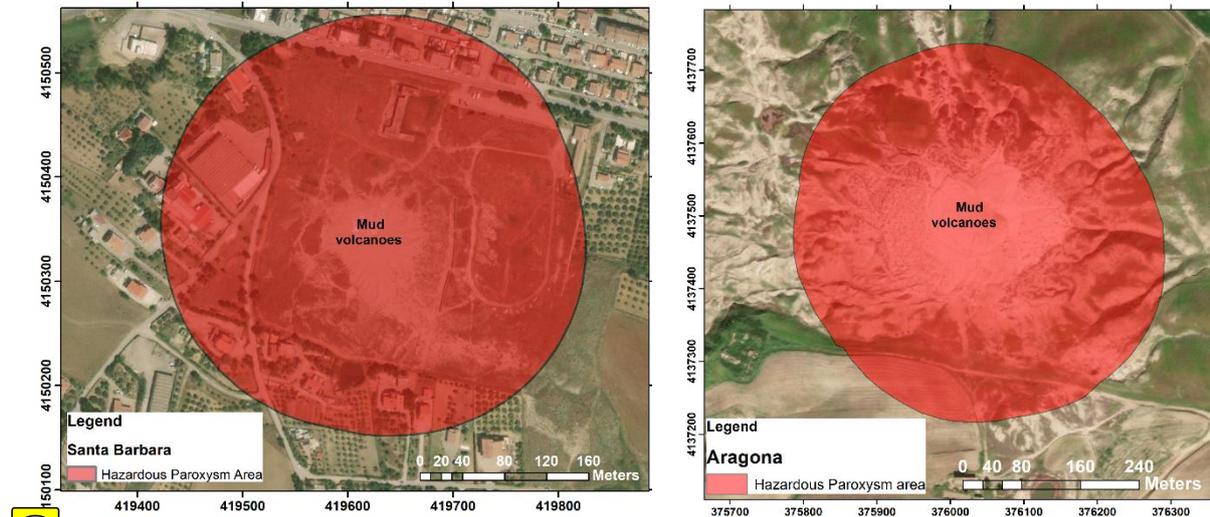
## 323 6.0 Results

### 324 6.1 Paroxysm hazard assessment

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 326 The hazardous paroxysm areas for both areas were created through the envelope of all buffer circumferences of Fig.6. An  
 327 area of 0.12 km<sup>2</sup> and 0.20 km<sup>2</sup>, potentially exposed to possible paroxysmal events was calculated for the Santa Barbara  
 328 and Aragona site respectively (Fig.7). In these two hazardous paroxysm areas, different geophysical phenomena as well  
 329 as deformation, fracturing and seismic events together with geochemical ones could occur. For that reason, these two  
 330 exposed areas should be interdict to visitors, residential or public activities, due to their correlated hazardous phenomena  
 331 that could occur before, during and after a paroxysm event. In both areas, a dedicated safe path, outside the hazardous  
 332

333 paroxysm areas of Fig.7 should be created in order to permit the safety observations of these geological phenomena to  
334 visitors.

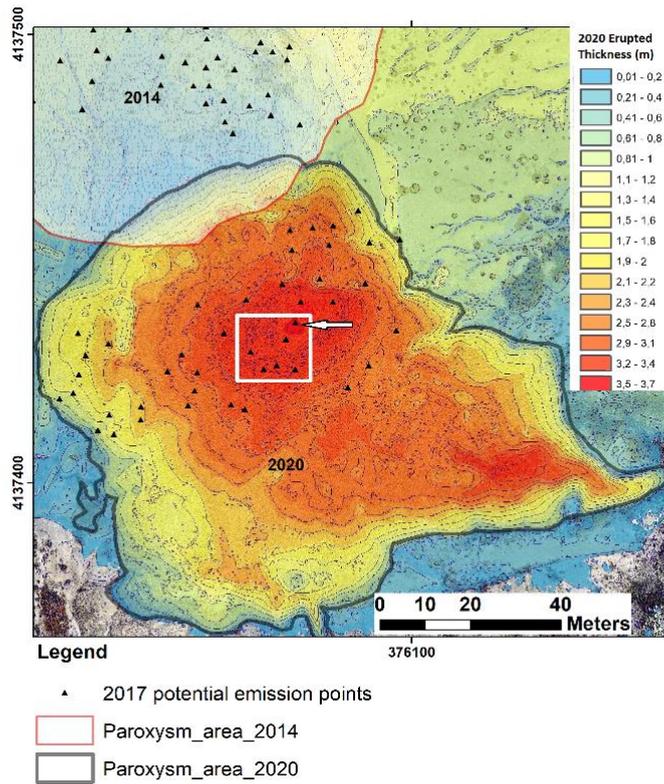
335 The decreasing of the gas output in the central area of the Maccalube of Aragona before the paroxysmal events could be  
336 an important parameter. It may occur, according to Grassa et al., (2012), due to the increasing of the tectonic stress field  
337 in the compression regime, generating an overpressure of the interstitial pores fluids at depth while, on the surface, it  
338 reduces the permeability of the structural discontinuities along which the gases migrate, thus reducing the outgassing at  
339 the surface. The paroxysmal event would occur, according to these deductions, when the gas pressure at depth exceeds  
340 the lithostatic pressure resistance opposed by the overlying rocks.



341  Hazardous Paroxysm areas in ArcGis 10.5 for Santa Barbara (left) and Aragona (right) mud volcanoes area.  
342  
343 (Source image from ArcGIS 10.5, ESRI)

### 344 6.2 The 2020 paroxysm at Aragona

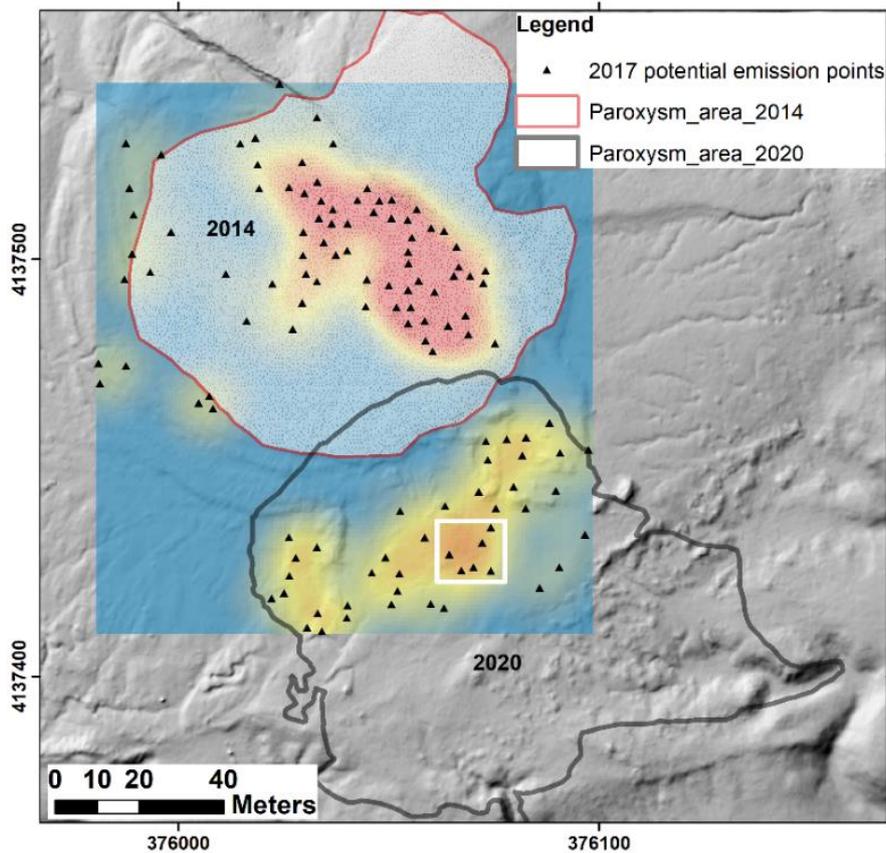
345  
346  
347 On 19 may 2020 at around 2 p.m. a new paroxysmal event occurred at Aragona MVs area. This violent paroxysm occurred  
348 in the south-eastern part of the main emission area, emitting a mud volume of 18,196 m<sup>3</sup> and covering a surface of 8,721  
349 m<sup>2</sup> with a maximum thickness of 3.7 m (Fig.8).



350  
 351 *Fig.8. 2020 Thickness map for the erupted materials, due to the paroxysm event of May 19<sup>th</sup>. Inside the white square,*  
 352 *the emission point detected in 2017, corresponding to the main centre for the 2020 paroxysm. (Source: 2020 contour*  
 353 *map in ArcGIS 10.5)*  
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The maximum distance reached by the erupted materials, according to our analysis is around 130 meters. The 2020 paroxysm occurred in a medium –high density area of emission points detected from our 2017 survey, where a NE-SW structural lineament has been highlighted (Fig. 5 and Fig. 9). In particular, the eruptive centre for the 2020 event is located, according to our thickness map of Fig 8, where the maximum is recorded (arrow in Fig.8) and where, in the 2017, the emission points were mapped. Nowadays, the 2017 emission points have been buried by the 2020 new erupted material.



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Fig.9. Density maps for the 2017 emission points (Red: High density; yellow: low density). The covered surface area for the 2014 and 2020 paroxysms is showed with red and grey line respectively. In the white square, the 2017 emission points, likely responsible for the new 2020 paroxysm event. (Source: 2017 DTM's in ArcGIS 10.5)

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### 6.3 The seismic monitoring at Santa Barbara

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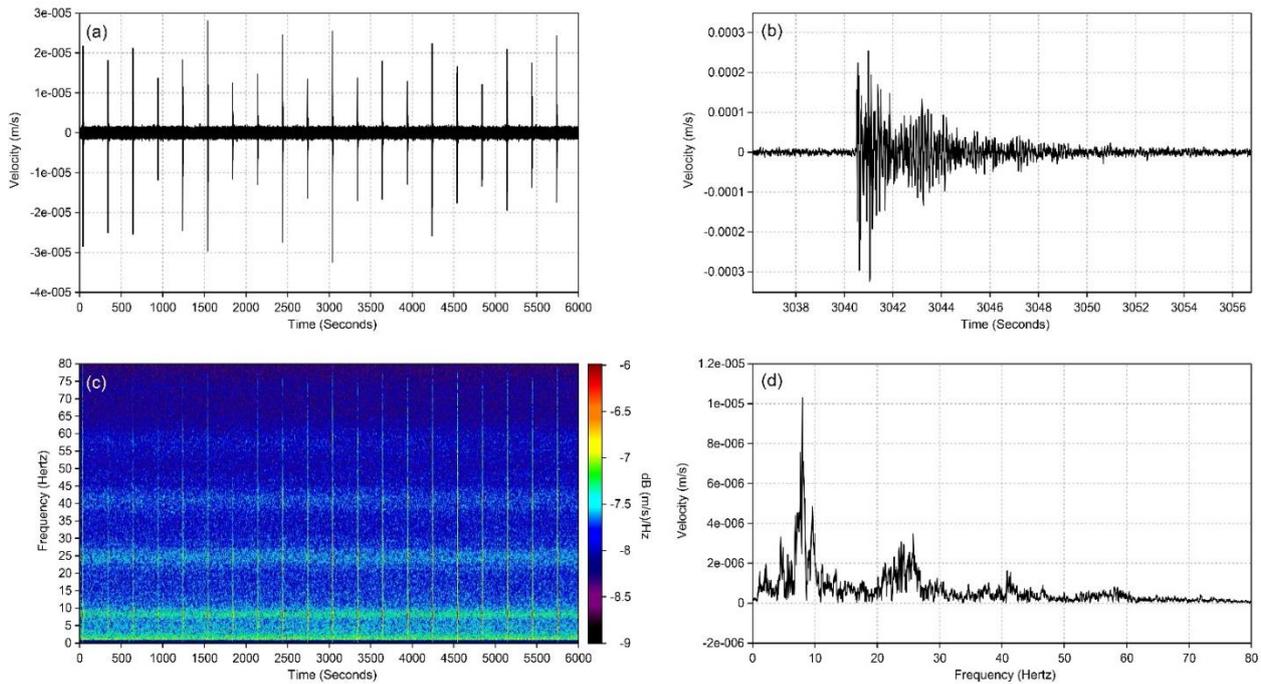
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Preliminary analysis of the continuous recordings allowed to identify variations in the power of the ambient vibrations, mainly in the frequency range 5-10 Hz, which could be due to changes in the emissions activity. Periods of intense activity have also been observed as showed in Fig.10. These periods are characterized by numerous micro-events with high frequency content (several tens of Hz). This micro-seismicity, of clear local origin, appears to have energy/temporal characteristics similar to a swarm, that is comparable energy of events and stable temporal interdistance from seconds to several minutes. Both ambient noise and seismic events show energy in the frequency range 5-10 Hz, with some possible overtones, that could be generated from local resonance phenomena. This activity could be related to surface effect of resonant gas bubbles, but we cannot rule out the possibly of a deep origin connected to gas flows at the root of the "volcanic" system.



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Fig.10. Example of micro-seismicity record by the seismic station installed at Santa Barbara: (a) time signal relative of some minutes of the vertical component (velocity) record and (b) zoom on single waveform with relative spectrogram (c) and amplitude spectrum (d). The spectrogram allows to highlight the presence in ambient noise of a continuous energy band in the frequency range 5-10 Hz and some possible overtones. The same frequencies can be identified in the amplitude spectra of the micro events, suggesting a possible linked to local resonance phenomena.

## 7. Discussion and conclusions

389 In this paper, for the first time a preliminary hazard assessment of two main mud volcanoes area of Sicily was evaluated.  
390 We calculated the hazard scenarios based on the most recent paroxysm events at Santa Barbara and Aragona, in order to  
391 define a realistic dimension for a correct risk assessment. It is evident that the hazardous paroxysm areas that we have  
392 computed, should be implemented with a probabilistic modelling approach, deriving from the real measured parameters  
393 on both areas. For these reasons, it should be important to implement in terms of acquisition frequency as well as number  
394 of parameters, the actual discrete multidisciplinary surveys, with a new technological geochemical and geophysical  
395 observatory, in order to minimize the knowledge gaps in these two areas. In light of this, therefore, it is appropriate to  
396 realize and maintain an high frequency multidisciplinary data acquisition system to allow the construction of a forecast  
397 model able to best represent the real conditions and, on the basis of which, a monitoring system should be implemented.  
398 Nowadays, it is impossible to define "when" the next paroxysm will occur and how much will be its intensity. This is  
399 because currently there are not enough information to recognize the parameters that could potentially change before a  
400 paroxysm as well as a modelling approach of the phenomenon does not exist.  
401 In this work, our hazard assessment for the Santa Barbara and Aragona areas, represent a picture of the 2017 survey. The  
402 emission points, checked in 2017, could change their location over time. It is therefore appropriate, in the light of this, to  
403 monitor the new emission points and fractures in both sites, as potential sources of future paroxysmal events, as  
404 demonstrated in 2020 at Aragona where the paroxysm occurred in a emissive point, mapped in our 2017 survey.  
405 It is important to underline that we cannot exclude that these paroxysmal events, could occur out of the restricted area in  
406 which most of the emission points are located at the surface. At the same time, an update of the actual hazard maps for  
407 the two areas must be implemented.  
408 From hystorical informations, we know that different phenomena could occur before a paroxysm in the mud volcanoes  
409 areas, in particular deformations, soil fractures, increasing of seismicity and, from geochemical point of view only at  
410 Aragona, also the decreasing of the gas output (Grassa et al., 2012).  
411 After the paroxysmal event, according to the hystorical descriptions, a strong smell of acrid gas reasonably  $H_2S$  is  
412 recorded.  $H_2S$ , if breathed in high concentrations, it could be lethal to human life. It is a toxic, corrosive, irritant and

413 colorless gas with the characteristic unpleasant smell of rotten eggs. It can cause chronic diseases of the respiratory organs  
414 through prolonged exposure even at very low concentrations; at concentrations of 200-250 ppm it can cause pulmonary  
415 edema and risk of death, while at 1,000 ppm it is immediately lethal (NIOSH, 1981).

416 Since October 2017, a short period seismic station was installed in Santa Barbara site. The continuous monitoring and the  
417 preliminary analysis of the acquired signals allowed to highlight variations in the power of environmental vibrations.  
418 Moreover, the presence of periodic micro-seismicity, likely due linked variation in emissions and bubbling activity, was  
419 detected. However, the use of a single station does not allow a complete characterization of the seismic activity, for which  
420 the creation of a micro-network would be desirable. Continuous monitoring of local microtremor and micro-seismicity,  
421 in particular before and during a paroxysmal event, could allow us to understand the source mechanisms of these events  
422 and propose useful predictive models for risk reduction.

423 Only with the installation of a multidisciplinary geochemical and geophysical observatory at the two study areas, we  
424 could speculate to discriminate the “potential” phenomena that could occur before, during and after a paroxysm event.  
425 For these reasons, different geochemical and geophysical parameters will have to be analysed, verified and validated in a  
426 next future.

427 It could be an useful tool for Civil Protection Authorities in order to take the appropriate risk mitigation measurements  
428 for the exposed people. A safety path outside our hazardous detected areas should be considered by the local  
429 administrations, in order to reduce the risk. Our hazardous paroxysm areas, in both sites, finally should be forbidden to  
430 visitors, especially during the period where high deformation, fractures and seismicity occur.

431

### 432 **Competing interests**

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434 The authors declare that they have no conflict of interest.

435

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438 N°1840/December2016

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