

Interactive comment on “A Climate Data Record (CDR) for the global terrestrial water budget: 1984–2010” by Yu Zhang et al.

Response to Reviewer #2

Zhang et al. describe the development of a new climate data record that provides monthly values of precipitation, evapotranspiration, runoff and total water storage changes at 0.5 degree resolution globally from 1984-2010. Their approach combines a variety of remote sensing, reanalysis and land surface model products using a weighting scheme based on the variance of each data source from the ensemble mean. Water budget closure is enforced using a constrained Kalman filter to attribute the sources of budget imbalance to individual water budget terms. I think developing a complete climate data record that is internally consistent and ensures water budget closure is an important data need that would be useful for many other scientific applications, and the authors do a good job of pulling together all of the relevant global datasets. Unfortunately, as detailed below, I have significant concerns about the approach used to ensure closure and the assumption that variability between data sources is representative of uncertainty and error. While I acknowledge that the authors are doing the best they can with what is currently available, I am not convinced that the approach used here is sufficient to overcome these data limitations and achieve water balance closure in a meaningful way.

We'd like to thank the reviewer for reviewing and providing comments and suggestions that will lead to an improved manuscript. We've carefully considered the review comments and addressed each comment point-by-point. Our replies appear in blue font for the ease of reading.

General Comments:

C1. The biggest concern I have with this approach is the reliance on the assumption that variability between data sources is a proxy for error individual products. I understand that this assumption arises from a lack of data for direct error analysis, but I still have significant concerns about its validity. At a minimum, I think the authors need to include some analysis demonstrating that the variability between approaches is similar to this error in locations where there are observations to compare to.

R1: Finding a best approach for estimating uncertainties between different data sources over the globe still remains a big challenge given the limited observational data coverage on Earth's surface. This is also mentioned in (Tian and Peters - Lidard, 2010), “The difficulty in assembling a globally consistent error map lies in the lack of gauge or radar coverage over most areas of Earth's surface”. Following the approach that was proposed by (Adler et al. 2001) and was recently applied by (Tian and Peters - Lidard, 2010), this study uses the variability between data sources to quantify the uncertainties/errors in each water budget variable. And the authors also compared the attribution of non-closure term for water budget variables with the study of Pan et al. (2012), and found the results are in general in agreement by using this approach (Page 11). A careful reading of the literature for other, less observed, variables like ET suggests that there may be no locations where all the water budget are sufficiently observed to meet the standards of the test suggested by the reviewer. This is one of the major challenges facing our science.

Reference:

Adler, R. F., Kidd, C., Petty, G., Morissey, M., and Goodman, H. M.: Intercomparison of global precipitation products: The third Precipitation Intercomparison Project (PIP-3), *Bulletin of the American Meteorological Society*, 82, 1377-1396, 2001.

Tian, Y., and Peters-Lidard, C. D.: A global map of uncertainties in satellite-based precipitation measurements, *Geophysical Research Letters*, 37, 2010.

Pan, M., Sahoo, A. K., Troy, T. J., Vinukollu, R. K., Sheffield, J., and Wood, E. F.: Multisource estimation of long-term terrestrial water budget for major global river basins, *Journal of Climate*, 25, 3191-3206, 2012.

C2. I'm also concerned with the weightings that emerge from this assumption. On Page 8 line 22 the authors note that this is 'optimal merging weight,' but it's not specified what this is optimal with respect to. Given that many of the data sources are not actually independent and some approaches contribute more datasets than others, this will result in a mean that is skewed toward the approaches with the most datasets regardless of how much unique information is being provided. I think a much more thorough analysis of what is redundant in the datasets is needed to identify when 'agreement' is actually indicating certainty as opposed to repetition of inputs and assumptions that arise from data limitations (i.e. greater uncertainty).

R2: Similarly to the reply above, estimating uncertainties between different data sources over the globe still remains a big challenge due to limited ground observations. This study tries to fuse as much information as is available to map the global uncertainties for each water budget variable, thus forcing the water balance closure via the uncertainties information. While some of the data share the same input, e.g. satellite observations, they use different algorithms to retrieve or calculate the corresponding water budget terms. It's hard to quantify how "independent" one data source is from another, or which one contributes more datasets than others over the globe, again, due to the limited coverage of observations. Therefore, the authors followed the existing approach used by (Adler et al. 2001) and (Tian and Peters - Lidard, 2010) into this global study, though we understand this still remains a concern, as the reviewer mentions.

C3. The weighting is particularly problematic for the total water storage calculations which rely on VIC and GRACE. It is assumed that the uncertainty of VIC is 5% and GRACE is 10% (Page 10 lines 17-18) and therefore when both datasets are available VIC is weighted higher than GRACE. I have concerns about using VIC at all given that it is not actually simulating deeper groundwater storage and it does not make sense to me to weight VIC higher than GRACE when GRACE is much closer to an observation of TWS than VIC is.

R3: We recognize the concerns of the reviewer. The numbers (i.e. 5% for VIC and 10% for GRACE) that the authors applied in this study are highly empirical and based on the authors' knowledge and confidence about the model calibration. VIC has been calibrated globally against streamflow gauges. GRACE uses a complex correction algorithm and gets rescaled using the CLM land surface model which to our understanding hasn't been globally calibrated. Almost all the monthly dynamics in soil water storage occurs in the upper soil zone, which gets captured by VIC (and other land surface models). The uncertainties in *TWSC* term have rarely been studied at large scale. In particular, the GRACE actual footprint size is around 220 km, which is very coarse compared to the grid size of this study is 0.5 degree thus the authors assume a higher uncertainty in GRACE than in VIC for *TWSC*.

C4. I disagree with the de-trending adjustment to ensure zero water storage changes over the 1984-2010 period (Page 11 lines 6-15). It's not clear to me why this assumption is necessary and in many developed locations sustained groundwater depletions over this time period have been well documented.

R4: We'd like to thank the reviewer for this comment. We agree that at regional scales, some places have experienced groundwater depletions such as US high plains and central valley, western Iran, India etc., starting from different years. One of the challenges is a lack of data on groundwater extractions. But from the global perspective, for almost three decades during the study period 1984-2010 covered by this study, the authors assume the long term *TWSC* to be zero thus apply the de-trending. We have added a discussion in the revised manuscript.

“The long term mean of *TWSC* at each grid cell is zero over the entire 27 years after the second filter, which is also named as “*TWSC* de-trending”. Though at regional scales, some places have experienced groundwater depletions such as US high plains and central valley, western Iran, India etc., starting from different years. One of the challenges is a lack of data on groundwater extractions. Therefore, from the global perspective, for almost three decades during the study period 1984-2010 covered by this study, the authors assume the long term *TWSC* to be zero thus apply the de-trending, after which the spatial variability of *TWSC* still exists during the four sub-periods (Figure S4).”

C5. I think that additional discussion and analysis of the impacts of human development on this approach is needed. The outputs are verified only against basins without significant human development (e.g. excluding basins with large dams, urban or irrigated area >2% or >20% forest cover change); however, gridded values are being provided globally both in developed and undeveloped locations. The developed climate dataset does not reflect natural conditions because some of the input datasets used reflect human activities (e.g. remote sensing ET and storage losses from GRACE) while others (e.g. simulated runoff) do not. I am concerned that it's not clear in the manuscript (1) exactly what assumptions are being made about human impacts on the individual hydrologic budget terms in the calculation and (2) that the biases caused by human activities are not well understood in this approach and may be incorrectly adjusted for with the closure adjustments made with the Kalman filter.

R5: The impact of human development on water budget balance is beyond the scope of this paper. But the authors do recognize the importance of these in modifying the global water cycle. While there have been regional studies (e.g. Barnett et al., 2008; Buytaert et al., 2006), and some global simulations (e.g. Wu et al., 2013), the authors would claim that the available data sets are still too incomplete to comprehensively include their effects in a comprehensive analysis. Nonetheless, the community (and the authors) are making progress (see Wada et al., 2017 for a review) and in a future paper will update the budget numbers with (hopefully) the impacts included.

Reference:

Barnett, T. P., Pierce, D. W., Hidalgo, H. G., Bonfils, C., Santer, B. D., Das, T., Bala, G., Wood, A. W., Nozawa, T., Mirin, A. A., Cayan, D. R., and Dettinger, M. D.: Human-Induced Changes in the Hydrology of the Western United States, *Science*, 319, 1080-1083, 10.1126/science.1152538, 2008.

Buytaert, W., Céleri, R., De Bièvre, B., Cisneros, F., Wyseure, G., Deckers, J., and Hofstede, R.: Human impact on the hydrology of the Andean páramos, *Earth-Science Reviews*, 79, 53-72, <http://dx.doi.org/10.1016/j.earscirev.2006.06.002>, 2006.

Wu, P., Christidis, N., and Stott, P.: Anthropogenic impact on Earth's hydrological cycle, *Nature Clim. Change*, 3, 807-810, 10.1038/nclimate1932

<http://www.nature.com/nclimate/journal/v3/n9/abs/nclimate1932.html - supplementary-information>, 2013.

Wada, Y., Bierkens, M. F. P., de Roo, A., Dirmeyer, P. A., Famiglietti, J. S., Hanasaki, N., Konar, M., Liu, J., Müller Schmied, H., Oki, T., Pokhrel, Y., Sivapalan, M., Troy, T. J., van Dijk, A. I. J. M., van Emmerik, T., Van Huijgevoort, M. H. J., Van Lanen, H. A. J., Vörösmarty, C. J., Wanders, N., and Wheeler, H.: Human-water interface in hydrological modeling: Current status and future directions, *Hydrol. Earth Syst. Sci. Discuss.*, <https://doi.org/10.5194/hess-2017-248>, in review, 2017.

C6. The verification datasets used here are not necessarily independent of the input datasets themselves. I suspect that for example the flux towers used here are also used to validate (and/or calibrate) many of the remote sensing and land surface models used here. While this is probably unavoidable given the limited number of global observations networks I think this should be evaluated and discussed because if these aren't really independent points, it's likely that performance based on these points is a best-case scenario.

R6: The reviewer's comment is valid, we fully agree with this assessment. Data developed from either satellite remote sensing or model are often calibrated against "ground truth", i.e., gauge observations, which are also the best "ground truth" that are normally used for verification. The "ground truth" is no way independent from those remote sensing or modeled data. We have added text to the discussion to address this comment.

"The CDR is validated against ground observations, i.e. GRDC, USGS and Australian Land and Water Resources Audit project for runoff and FluxNet for *ET*, which seem not independent from the merged and constrained CDR. However, data developed from either satellite remote sensing or model are often calibrated against "ground truth", i.e., gauge observations, which are also the best "ground truth" that are normally used for verification. The "ground truth" is no way independent from those remote sensing or modeled data, particularly for global data validation. Nevertheless, we believe these data records represent the best, current knowledge for the global terrestrial water budget at the 0.5° and monthly scale over the 27-year period of 1984-2010."

C7. In my opinion, the scientific motivation and conclusions of this work do not come out clearly enough. I think the introduction should be refocused on the strengths and weaknesses of existing datasets and the motivation for this work rather than starting with an outline of government organizations. For example, the paragraph starting on page 2 line 22 covers all of the remote sensing products as well as bias in inferred runoff and precipitation and challenges with water budget closure. I think this discussion as well as the motivation provided in the paragraph starting on Page 3 Line 25 should be expanded and should appear sooner in the introduction.

R7: The first paragraph demonstrates the importance and challenges existing in current water budget estimation and how this study was motivated and supported from different organizations. And then followed by the description of various data sources' strengths and weakness. The authors think this is a logic way to organize the introduction part but thanks for the reviewer's different opinion in structuring the paper.

C8. Section 2 should be expanded to provide a better summary of the strengths and weaknesses of the different datasets without relying so heavily on the supplemental material (e.g. page 5 line 14 and section 2.1.2 paragraph 1). I think it's fine to refer to the supplement for the details of these datasets but additional discussion is needed in the main text to explain to the reader the strengths and weaknesses of these approaches and why they were chosen. For example, it is important to clearly explain here the difference between satellite data, reanalysis products and

land surface models including what goes into each and what assumptions they rely on before comparisons are made. Some of this information comes up in the discussion of differences but it would be helpful to outline approaches upfront first.

R8: We thank the reviewer's suggestion. The challenge in presenting this work is (in part) the massive amounts of information that is fused for the CDR product. Finding the balance between material for the paper, and material for the supplement, is complicated. On the one hand, the paper can get dragged down if too many details are included. But on the other hand, having too high-level description may leave some readers (or yourself) feeling the description in the paper is incomplete. It's always a balance. As for the strengths and weaknesses of the various types of data products (satellite data, reanalysis products and land surface model output) for global products, a thorough handling is beyond the scope of this paper, but would be an excellent review paper along the lines of Wada et al. (2017) referred to above. For the work presented here, supplement I provides the basic information (e.g. resolution, brief algorithm) of each product and its reference, while section 2.1 analyzes and describes the seasonal cycle and difference existing between different data source at continental and basin scales. The authors think it is a reasonable way to organize the manuscript and would like to keep it as is.

C9. The figures could be improved to provide more quantitative metrics of performance especially with respect to spatial and temporal variability. For example, Figure 11 maps all of the water balance components globally in a single figure for multiple time periods but each subplot is so small it's very difficult to note the connections the authors are discussing. Some cutouts or regional assessments would be useful. Also, Figures 2-9 are repetitive and I think some of these could be moved to the supplemental material or different plotting approaches could be tested to summarize this information with less figures.

R9: Thanks for the reviewer's suggestion. Since this study is focused on global water budget closure, we believe that global maps are very necessary (e.g. Figure 11). In addition to the overview global maps, figures 2-9 further provide regional information at continental scale and basin scale for precipitation, *ET*, runoff and *TWSC*. Intuitively Figures 2-9 look repetitive but they actually provide different information, which is key to this study.

Specific Comments:

1. The list of satellite products page 2 line 25 would be easier to follow in table form.

The satellite products mentioned in the introduction at Page 2 are listed in the text in order to give the reader a general introduction of the available satellite products for each water budget variables. References were also provided in case the readers are interested in the details. This study does have a comprehensive table that includes all the data sets used in this study (Table 1). Including any data sets that exists but not used seems unnecessary.

2. Page 4 lines 3: I think before the paragraph laying out the advantages of this approach a more thorough explanation of the weaknesses of previous approaches would be helpful. For example, the first reason given here is the expanded use of the Constrained Kalman filter; however, the current limitations of the Kalman filter have not been explained.

Thanks and we have added it into the text.

“In this study, the Constrained Kalman Filter (CKF), which is a simplified version (non-ensemble) of the constrained ensemble Kalman filter (CEnKF, (Pan and Wood, 2006)), is chosen to close water balance. The CKF is a non-ensemble form, and is a standalone procedure after a

regular Kalman Filter update, thus it is ideal for closing water balance without filtering or data assimilation.”

3. Table 1 should clearly differentiate land surface models from remote sensing products.

As mentioned in the text, the only land surface model applied in this study is VIC thus it should already be clear from Table 1.

4. Page 5 lines 2-7: This is very detailed for this intro to this section. I think it would be better to keep this high level, and provide an overview of the general approach and the organization of section 2 for the reader here.

The structure of section 2 is clear by looking at the sub-title. Those details (Page 5 lines 2-7) are necessarily pointed out for better understanding of the following section (e.g. why the plots are for different period?).

5. Figure 2: A more detailed caption explaining the acronyms and the difference between the grey line and the colored lines is needed. Some of this is included in the * points. You should rewrite these to incorporate all of this into a single caption. This is also true of the subsequent figures, which should be adjusted accordingly.

Thanks, the captions were changed accordingly.

6. For figures 2- 9: I think it would make more sense to plot the standard deviation rather than the coefficient of variation. The CV values clearly display a seasonal pattern caused by dividing by the mean. Since this information is already provided in the colored lines in my opinion it would be easier to understand if the grey line just showed standard deviation. This would also address the ‘abnormal high spread’ noted on page 5 line 25.

The authors prefer to use the CV, instead of the standard deviation, to quantify the variability of the data. A lower standard deviation does not infer less variable data (relative to the mean) due to different mean values in different months within a year. We believe that the CV can help to understand the relative variability of the data. The grey line is an uncertainty band in terms of percentage to quantify the normalized spread among data sets.

7. Section 2.1.1: Some aggregated statistics of differences in total precipitation for the major basin would be helpful to quantify the overall differences between approaches.

The authors agree that aggregates statistics like what we have in Table 3 and 4 could be developed. While total precipitation for major basin would be helpful, we’re trying to focus the study globally. There is certainly potential for follow-on studies that can consider regional or major basins, but to do that here would make the paper exceedingly long.

8. Page 6 line 12: The derivation of the other four satellite products is described but not the GLEAM dataset.

GLEAM was described ahead of the other four satellite products and its reference is provided as well.

9. Section 2.1.3: I think this section should include a description of how runoff is calculated in each model and the strengths and weaknesses of each approach and their systematic biases.

We do not think it is necessary to go into that detail as the rainfall-runoff procedures in different models can be found in their corresponding references for interested readers. But we do compare and describe the runoff simulation performances among those three land surface models themselves as well as against GRDC ground observations in the manuscript.

10. Page 7 line 6: Can you be more specific about what type of discrepancy you are referring to (i.e. a low bias)?

11. Page 7 line 7: Can you be more specific about the type of ‘disagreement’ you are referring to? For 10 and 11, the authors have modified the text:

“Noah shows opposite seasonal cycle against VIC and CLM in Europe and North America, which include high latitude regions (Figure 6). Unlike VIC and Noah, CLM almost shows no seasonal cycle in Oceania (Figure 6).”

12. Figure 13 should be figure 8 since it gets referred to after Figure 7
This was corrected in the manuscript, thanks.

13. Page 7 line 14: Should be ‘capture’
This was revised in text, thanks.

14. Page 7 line 14-15: This is unclear, can you expand on the uncertainty estimates you are referring to here?

Here uncertainty refers to spread or say, standard deviation among different data sources. This has been added into the text.

15. Page 7 line 19: It would be helpful to define ‘total water storage change’ and ‘total water storage anomaly’ explicitly here before getting into this discussion.

TWSC measures the changes in total water storage during a specific period unit. *TWSA* is defined in the manuscript in Page 7 (and repeated here) while *TWSC* is clearly defined by the equations (2) & (3).

“The GRACE monthly total water storage anomaly (*TWSA*) time series, which are anomalies relative to the 2004-2009 time-mean baseline from ReLease 05 (RL05) that are processed by three centers, Geoforschungs Zentrum Potsdam (GFZ), Center for Space Research at University of Texas, Austin (CSR), and Jet Propulsion Laboratory (JPL), ...”

16. Page 7: Equation 2 is not necessary in my opinion since this approach wasn’t used.

The reviewer is correct, equation (2) was not applied, but we would like to keep it in the text in order to give the readers a clear idea of the common approaches in calculating *TWSC*.

17. Page 7 line 20: It would be helpful to explain what the significant differences in these three processing centers are.

Different parameters and solution strategies were explored and applied by these three processing centers and the differences between the centers were very small and have generally decreased over the Releases (<https://grace.jpl.nasa.gov/data/choosing-a-solution/>). For detailed differences between different centers, please refer to (Sakumura et al., 2014), in which the authors found that the ensemble mean (simple arithmetic mean of JPL, CSR, GFZ) was the most effective method in reducing the noise in the gravity field solutions within the available scatter of the solutions.

18. Page 8 Line 10: It sounds like you are using the ensemble mean of GRACE here for future TWSC analysis and not using VIC at all but I don't think this is the case.

To make it clear, we have modified the sentence as:

“Therefore, the ensemble mean of the *TWSC* from GFZ, CSR, and JPL is taken as the best *TWSC* product derived from GRACE, and this is used later in the water budget analysis together with *TWSC* from VIC.”

19. Page 9 lines 3-10: Some demonstration of the impact of this adjustment on the time series would be helpful here given that the authors argue it is a ‘key step’ for temporal consistency.

This is done in order to avoid the “jump” between different sub-periods and guarantee the temporal consistency in the merged data time series. We have also added one sentence into the text to further demonstrate the impact of this adjustment.

“This “data consistency” approach aims to avoid the “jump” in the merged precipitation time series in the year 1998 when the CSU became available. The same procedure is then applied to adjust the data consistency for *ET* during 2008-2010 and *TWSC* during 1984-2002. We contend that this is a key step, as the temporal consistency of the CDR will impact the reproduction of historical hydrological extremes and the analysis of long-term trends for all the available water budget variables.”

20. Page 10 lines 22-23: Globally mean TWSC may be small but this does not mean local changes are small and if the point is 0.5degree resolution I think this could be a limitation. Some discussion of spatial variability would be helpful here.

Yes, that's true. We have extended this a little bit into the text.

“Given the good agreement in *TWSC* between VIC and GRACE (Figures 9 and 10), the impact of such a subjective error assignment is relatively small. But for high-latitude basin such as Yukon where VIC and GRACE have relatively large discrepancy, the error is relatively high.”

21. Page 13 Lines 6-7: What does it mean to be ‘filtering out those basins with nonsignificant correlations’? This sounds like an additional step beyond the filtering for different anthropogenic impacts. What was the threshold for this filtering and how many points were filtered because of it?

33 medium basins (out of 362) and 36 small basins (out of 862) were filter out by running a test of significance to remove those catchments with non-significant correlations between GRDC runoff observations and CDR runoff records in order to remove those basins such as Indus and Senegal which might have incorrect observational data. This incorrect observational data records were also discussed in the text:

“Note that the seasonal peaks from Noah and VIC are in agreement for the Indus basin but their peaks precede the peak from the GRDC observations, which strangely happen in November. Comparing to other studies for the Indus River (Bookhagen and Burbank, 2010) show that the discharge peak occurs in the summer time , which is consistent with VIC and Noah. Likewise for Senegal River, records from regional studies (Andersen et al., 2001) and (Stisen et al., 2008) show runoff peaks in August to September instead of April to May from the GRDC record.”

22. Page 14 lines 9-10: Even though ET is most dominant during the summer I think that the verification should not be limited to the warm season without further justification.

The authors needed to do a lot of data ‘cleaning’ before applying the observational *ET* from the flux tower for validation. For example, there are a lot of missing data in the raw flux net data we received, particularly during winter. In order to select reasonable flux tower observations for effective validation at a monthly scale (which is the temporal resolution for the CDR in this study), those months with less than 70% of their data records were removed. After a careful check, the validation was only done during summer season. And this is further explained in the text:

“The raw data are at 3 hourly and the most complete data were recorded during the warm seasons. Therefore, the comparisons are made only over the summer (warm) seasons by filtering out those years with less than 70% data based on the data availability at each tower.”