# Transport of short-lived halocarbons to the stratosphere over the

#### Pacific Ocean.

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- Correspondence to: Neil Harris (neil.harris@cranfield.ac.uk)
- 17 Abstract. The effectiveness of transport of short-lived halocarbons to the upper troposphere and
- 18 lower stratosphere remains an important unknown uncertainty in quantifying the supply of ozone-
- 19 depleting substances to the stratosphere. In early 2014, a major field campaign in Guam in the West
- 20 Pacific, involving UK and US research aircraft, sampled the tropical troposphere and lower
- 21 stratosphere. The resulting measurements of CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> are compared here with
  - calculations from a Lagrangian model. This methodology benefits from an updated convection
- 23 scheme which improves simulation of the effect of deep convective motions on particle distribution
- 24 within the tropical troposphere. We find that the observed CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> mixing ratios in
- 25 the Tropical Tropopause Layer (TTL) are consistent with those in the boundary layer when the new
  - convection scheme is used to account for convective transport. Particularly More specifically,
- 27 comparisons between modelled estimates and observations of shortest-lived CH3I indicates that the 28
  - updated NAME convection scheme is realistic up to the lower TTL but is less good at reproducing
- 29 the small number of extreme convective events in the upper TTL. This study consolidates our
- 30 understanding of the transport of short-lived halocarbons to the upper troposphere and lower
- 31 stratosphere by using improved model calculations to confirm consistency between observations in
- 32. the boundary layer, observations in the TTL, and atmospheric transport processes. Our results
- 33 support recent estimates of the contribution of short-lived bromocarbons to the stratospheric bromine
- 34 budget.

36 1 Introduction

- 37 The successful implementation of the Montreal Protocol with its adjustments and amendments has
- 38 led to reductions in stratospheric chlorine and bromine amounts since the late 1990s (Carpenter et al.,
- 39 2014). These reductions have halted the ozone decrease (Harris et al., 2015; Chipperfield et al., 2017;
- 40 Steinbrecht et al., 2017) with the exception of the possible continued reducdeple tion in the lower
- 41 stratosphere (Ball et al., 2017; Chipperfield et al., 2018; Ball et al., 2019). Recently, the importance
- 42 of very short-lived (VSL) chlorine- and bromine containing compounds has received a great deal of

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| 43 | attention (e.g. Hossaini et al., 2017; Oram et al., 2017). VSLS are not considered controlled under   | Formatted: Font: 12 pt |  |
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| 44 | the Montreal Protocol, but are required in order to-ensure reconcile between-observed stratospheric   |                        |  |
| 45 | measurements of inorganic or 'active' bromine with reported anthropogenic bromine emission  |                        |  |
| 46 | sources. However VSLS input into the stratosphere has however remained a poorly constrained   | Formatted: Font: 12 pt |  |
| 47 | quantity (Carpenter et al., 2014), which hinders our understanding of the on-going decline in lower   |                        |  |
| 48 | stratospheric ozone and our ability to make predictions of stratospheric ozone recovery.  |                        |  |
| 49 | Three of the most important VSL halocarbons are: $\underline{\text{methyl iodide}}$ , $\underline{\text{CH}_3}\underline{I_{\underline{\mathfrak{s}}}}$ $\underline{\underline{\text{bromoform}}}$ , $\underline{\text{CHBr}_3}\underline{\underline{\cdot}}$ , and | Formatted: Font: 12 pt |  |
| 50 | dibromomethane, CH <sub>2</sub> Br <sub>2</sub> . They have typical lower tropospheric lifetimes (4, 15 and 94 days,  | Formatted: Font: 12 pt |  |
| 51 | respectively (Carpenter et al., 2014)) which are shorter than tropospheric transport timescales and so  |                        |  |
| 52 | they have non-uniform tropospheric abundances. They are all-emitted predominantly from the oceans   |                        |  |
| 53 | and result principally from natural sources (e.g. Lovelock, 1975; Moore et al., 1995; Solomon et al.,   | Formatted: Font: 12 pt |  |
| 54 | 1994; Oram and Penkett, 1994; Vogt et al., 1999; Salawitch et al., 2006; Pyle et al., 2011; Carpenter   | Formatted: Font: 12 pt |  |
| 55 | et al., 1999, 2012, 2014; Tegtmeier et al., 2013; Saiz-Lopez et al., 2014). The short-lived   | Formatted: Font: 12 pt |  |
| 56 | bromocarbons, chiefly CHBr <sub>3</sub> and CH <sub>2</sub> Br <sub>2</sub> , have been identified as the missing source for the  |                        |  |
| 57 | stratospheric active bromine (mostly originatingthe sum of from bromine atoms in long-lived   | Formatted: Font: 12 pt |  |
| 58 | brominated organic and inorganic substances; Pfeilsticker et al., 2000; Salawitch, 2006; Feng et al.,   | Formatted: Font: 12 pt |  |
| 59 | 2007; Dessens et al., 2009). The current estimates of the contribution of the short-lived   |                        |  |
| 60 | bromocarbons to the active bromine (Br <sub>y</sub> ) in the stratosphere is ~5 (3-7) ppt (Engel et al., 2018),   |                        |  |
| 61 | which is slightly narrower than the previous range from of 3-8 ppt (Liang et al., 2010, 2014;   | Formatted: Font: 12 pt |  |
| 62 | Carpenter et al., 2014; Fernandez et al., 2014; Sala et al., 2014; Tegtmeier et al., 2015; Navarro et al.,  | Formatted: Font: 12 pt |  |
| 63 | 2015, 2017; Hossaini et al., 2016; Butler et al., 2017; Fiehn et al., 2017). Much of this the uncertainty   | Formatted: Font: 12 pt |  |
| 64 | is linked to the contribution of CHBr3 which has both the shortest lifetime and the largest emissions   |                        |  |
| 65 | of the commonly observed bromocarbons.  |                        |  |
| 66 | The transport of VSL halocarbons into the lower stratosphere is by ascent through the tropical  |                        |  |
| 67 | tropopause layer (TTL) (Fueglistaler et al., 2009). An important factor influencing the loading of the  |                        |  |
| 68 | VSL bromocarbons in the TTL is the strength of the convective transport from the boundary layer   |                        |  |
| 69 | where the bromocarbons are emitted (Hosking et al., 2010; Yang et al., 2014; Russo et al., 2015;  |                        |  |
| 70 | Hepach et al., 2015; Fuhlbrügge et al., 2016; Krzysztofiak et al., 2018). This is poorly quantified and,  |                        |  |
| 71 | especially when taken together with the large variations in boundary layer concentrations and the   |                        |  |
| 72 | uncertainties associated with the model representation of convection parameterisation being the   | Formatted: Font: 12 pt |  |
| 73 | major source of uncertainty in chemistry transport models, limits our ability to model the bromine  | Tomateur Fond 12 pt    |  |
| 74 | budget in the current and future atmosphere (Liang et al., 2010, 2014; Hoyle et al., 2011; Russo et   |                        |  |
| 75 | al., 2011, 2015; Schofield et al., 2011; Aschmann et al., 2013; Fernandez et al., 2014; Hossaini et al.,  |                        |  |
| 76 | 2016; Krzysztofiak et al., 2018).   |                        |  |
| 77 | To address this and other challenges, the Natural Environment Research Council Coordinated  |                        |  |
| 78 | Airborne Studies in the Tropics (NERC CAST), National Centre for Atmospheric Research   |                        |  |
| 79 | Convective Transport of Active Species in the Tropics (NCAR CONTRAST) and National  |                        |  |
| 80 | Aeronautics and Space Administration Airborne Tropical Tropopause Experiment (NASA  |                        |  |
| 81 | ATTREX) projects were organised (Harris et al., 2017; Jensen et al., 2017; Pan et al., 2017). These   |                        |  |
| 82 | projects joined forces in January-March 2014 in the American territory of Guam, in the West Pacific.  |                        |  |
| 83 | Three aircraft were deployed to sample air masses at different altitudes to investigate the   |                        |  |
| 84 | characteristics of the agir masses affected influenced by the deep convectionve systems. This   | Formatted: Font: 12 pt |  |
| 85 | campaign produced a unique dataset of coordinated measurements for interpretative studies of  | Formatted: Font: 12 pt |  |
| 86 | transport and distribution of the chemical species, including the VSL bromocarbons (Sect. 2.1 and   | Formatted: Font: 12 pt |  |
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|    |   |                        |  |

- 87 2.2). The NASA ATTREX project also measured over the less convectively active east Pacific in
- 88 January - February 2013.
- 89 The objective of this paper is to model the transport and distribution of CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> in
- 90 the TTL by quantifying their boundary layer and background contribution components using a new
- 91 Lagrangian methodology building on the approach of Ashfold et al (2012), A new parameterisation
- 92 scheme of convection for the NAME trajectory model is used with the short-lived CH<sub>3</sub>I serving as an
- 93 excellent way to assess the performance of the new scheme. Briefly, the approach uses clusters of
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- back trajectories starting at measurement points to quantifyies how much of CH<sub>3</sub>I, CHBr<sub>3</sub> and
- 95 CH<sub>2</sub>Br<sub>2</sub> in the TTL come from the boundary layer, and thereby assessinges the role of convection in
- 96 transporting these compounds to the TTL. The calculation is completed by estimating the
- 97 background component (i.e. how much of CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> originate from outside the
- 98 immediate boundary layer source). Section 2 presents an overview of the field campaigns, the CH<sub>3</sub>I,
- 99 CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> measurements, and how the NAME calculations are used. In Section 3, the
- 100 approach is illustrated by comparing model estimates and measurements from one ATTREX 2014
- 101 flight. This analysis is then expanded to cover measurements from all ATTREX 2014 and 2013
- 102 flights. The role of convection in transporting VSL halocarbons to the TTL is further examined in
- 103 Section 4. Based on the modelled calculations of CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub>, Section 5 discusses how much
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  - these VSL bromocarbons contribute to the bromine budget in the TTL.

#### 105 2 Methodology

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#### 2.1 Overview of the CAST, CONTRAST and ATTREX campaigns

- 107 The joint CAST, CONTRAST and the third stage of the ATTREX campaign took place in January-
- 108 March 2014, in the West Pacific. Guam (144.5° E, 13.5° N) was used as a research mission centre for
- 109 these three campaigns. Three aircraft were deployed to measure physical characteristics and
- 10 chemical composition of tropical air masses from the earth's surface up to the stratosphere. In CAST,
- 111 the Facility for Airborne Atmospheric Measurements (FAAM) BAe-146 surveyed the boundary
- 112 layer and lower troposphere (0-8 km) to sample the convection air mass inflow, while in
- 113 CONTRAST the National Science Foundation - National Center for Atmospheric Research (NSF-
- 14 NCAR) Gulfstream V (GV) principally targeted the region of maximum convective outflow in the
- 115 mid- and upper troposphere, and also sampled down toed the boundary layer on occasion (1-14
- 116 km). Finally, in ATTREX, the NASA Global Hawk (GH) sampled the TTL (13-20 km) to cover air
- 117 masses likely to be detrained from the higher convective outflow. For more details on these
- 118 campaigns and the in particular, objectives, meteorological conditions and descriptions of
- 119 individual flights, please refer to the campaign summary papers: Harris et al., 2017 (CAST), Pan et
- 120 al., 2017 (CONTRAST) and Jensen et al., 2017 (ATTREX). ATTREX had four active measurement
- 121 campaigns, and we also consider the second campaign which was based in Los Angeles in January-
- 122 March 2013 and which extensively sampled the East and Central Pacific TTL in six research flights.

#### 2.2 Measurements of the VSL halocarbons

- 24 Whole Air Samplers (WAS) were deployed on all three aircraft to measure VSL halocarbons. The
- 125 FAAM BAe-146 and NSF-NCAR GV also used on-board gas chromatography-mass spectrometry
- 126 (GC-MS) system for real-time analysis (Wang et al., 2015; Andrews et al., 2016; Pan et al., 2017),
- 127 though these measurements are not used in our analysis. WAS instrumentation is well established
- and has had been used routinely in previous deployments. The sampling and analytical procedures

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- 129 are capable of accessing a wide range of mixing ratios at sufficient precision and the measurements
- from the three aircraft have been shown to be consistent and comparable (Schauffler et al., 1998;
- 131 Park et al., 2010; Andrews et al., 2016).
- The CAST VSL halocarbon measurements were made using the standard FAAM WAS canisters
- with 30 second filling time. Up to 64 samples could be collected on each flight and these were
- analysed in the aircraft hangar, usually within 72 hours after collection. Two litres of sample air were
- 35 pre-concentrated using a thermal desorption unit (Markes) and analysed with GC-MS (Agilent 7890
- GC, 5977 Xtr MSD). Halocarbons were quantified using a NOAA calibration gas standard. The
- measurement and calibration technique is further described and assessed in Andrews et al. (2013;
- 138 2016).

- The ATTREX AWAS sampler consisted of 90 canisters, being fully automated and controlled from
- the ground. Sample collection for the AWAS samples was determined on a real-time basis depending
- on the flight plan altitude, geographic location, or other relevant real-time measurements. The filling
- time for each canister ranged from about 25 seconds at 14 km to 90 seconds at 18 km. Canisters were
- immediately analysed in the field using a high performance GC-MS coupled with a highly sensitive
- electron capture detector. The limits of detection are compound-dependent and vary from ppt to sub-
- ppt scale, set at 0.01 ppt for CHBr<sub>3</sub>, CH<sub>2</sub>Br<sub>2</sub> and CH<sub>3</sub>I (Navarro et al., 2015). A small artefact of
- ~0.01-0.02 ppt for CH<sub>3</sub>I cannot be excluded. AWAS samples collected on the GV were analysed
- with the same equipment. Detailed comparison of measurements from the three systems found
- 48 agreement within ~7 % for CHBr<sub>3</sub>, ~3 % for CH<sub>2</sub>Br<sub>2</sub>, and 15 % for CH<sub>3</sub>I (Andrews et al., 2016).

#### 2.3 UK Meteorological Office NAME Lagrangian Particle Dispersion Model

- The Lagrangian particle dispersion model, NAME<sub>7</sub> (Jones, et al., 2007) is used to simulate the
- transport of air masses in the Pacific troposphere and the TTL. Back trajectories are calculated with
- particles being moved through the model atmosphere <u>using operational analyses</u>by, mean wind fields
- $(0.352^{\circ} \text{ longitude and } 0.235^{\circ} \text{ latitude, i.e. } \sim 25 \text{ km, with } 31 \text{ vertical levels below } 19 \text{ km) } \text{ calculated } 10.352^{\circ} \text{ longitude and } 10.235^{\circ} \text{ latitude, i.e. } \sim 25 \text{ km, with } 31 \text{ vertical levels below } 19 \text{ km) } \text{ calculated } 10.352^{\circ} \text{ longitude and } 10.235^{\circ} \text{ latitude, i.e. } \sim 25 \text{ km, with } 31 \text{ vertical levels } 10.352^{\circ} \text{ longitude } 10.235^{\circ} \text{ latitude, i.e. } \sim 25 \text{ km, with } 31 \text{ vertical levels } 10.352^{\circ} \text{ longitude } 10.235^{\circ} \text{ longitude } 10.23$
- by the Meteorological Office's Unified Model at 3-hour intervals (see . This is supplemented by a
- random walk turbulence scheme to represent dispersion by unresolved aspects of the flow\_QDavies et
- al., 2005). For this analysis, the NAME model is used with the improved convection scheme.
- (Meneguz and Thomson, 2014) which simulates displacement of particles subject to convective
- motions more realistically than previously (Meneguz et al., in review), NAME is run backward in
- time to determine the origin(s) of air measured at a particular location (WAS sample) along the
- 160 ATTREX GH flight track.
- 15,000 particles are released from each point along the flight track where VSL halocarbons were
- measured in WAS samples. To initialise the NAME model, particles are released randomly in a
- volume with dimensions  $0.1^{\circ} \times 0.1^{\circ} \times 0.3$  km centred on each sample. As particles are followed 12
- days back in time, trajectories are filtered on the basis of first crossing into the boundary layer (1
- km). Subsequently, the fraction of particles which crossed below 1 km is calculated for each WAS
- measurement point (Ashfold et al., 2012). The NAME 1 km fractions are indicative of the boundary
- layer air mass influence to the TTL. The 1 km boundary layer fractions are then used to
- quantitatively estimate the VSL halocarbon contribution to the TTL from the boundary layer,
- [X]<sub>BL Contribution</sub>. In order to compare the measured and modelled halocarbon values, estimates of the
- contribution from the background troposphere, [X]<sub>BG\_Contribution</sub> (i.e. air which has not come from the

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boundary layer within 12 days) are made. The model estimate for the total halocarbon mixing ratio, 171 172  $[X]_{NAME\ TTL}$ , is thus given by Eq. (1):

$$[X]_{NAME_{TTL}} = [X]_{BL\_Contribution} + [X]_{BG\_Contribution} \tag{1}$$

The methods for calculating [X]BL\_Contribution and [X]BG\_Contribution are now described.

#### 2.3.1 NAME modelled boundary layer contribution

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The contribution from the boundary layer, ([X]<sub>BL</sub> Contribution - described above) to the VSLs in the TTL can be estimated using

- (i) the fractions of trajectories crossing below 1 km in the previous 12 days;
- (ii) the transport times to the TTL calculated for each particle;
- (iii) the initial concentration values for CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub>; and
- (iv) their atmospheric lifetimes (to account for the photochemical removal along the trajectory).

More specifically, the boundary layer contribution to the TTL for the VSL halocarbons is calculated using Eq. (2) and Eq. (3):

$$[X]_{BL_{Contribution}} = [X]_{BL} \times fraction_{t} \times exp^{(-t/\tau)}$$

$$[X]_{BL_{Contribution}} = \sum ([X]_{BL_{Contribution}})$$
(2)

Equation (2) gives the boundary layer contribution to the TTL for a given tracer, X (where X could be CH<sub>3</sub>I, CHBr<sub>3</sub>, CH<sub>2</sub>Br<sub>2</sub>), at model output time step, t. The model output time step used is 6 hours, from t = 0 (particle release) to t = 48 (end of a 12 day run). [X]<sub>BL</sub> stands for the initial boundary layer concentration of a given tracer - assigned to each particle which crossed below 1 km (Table 1). Fractiont is a number of particles which first crossed 1 km in a model output time step, t, over a total number of particles released, and  $exp^{(-t/\tau)}$  is a term for the photochemical loss (where  $\tau$  stands for atmospheric lifetime of a respective VSL halocarbon). Equation (3) gives the boundary layer contribution that is the sum of boundary layer contribution components in all model output time steps (for t = 1 to 48).

Equation (2) calculates the decay of each tracer after it leaves the boundary layer (0-1 km) which is valid for a well-mixed boundary layer. Since 15,000 particles are released for each AWAS sample, contributions from each particle from below 1 km in the previous 12 days are summed. Decay times, τ, of 4, 15 and 94 days for CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub>, respectively, are used (i.e. constant chemical loss rate) (Carpenter et al., 2014). Thus, a particle getting to the TTL in 1 day contributes more of a given tracer to that air mass than a particle taking 10 days. Once this chemical loss term was taken into account, the NAME trajectories can be used to calculate the contribution of convection of air masses from the boundary layer within the preceding 12 days.

204 The initial boundary layer concentrations are derived from the CAST and CONTRAST WAS 205 measurements taken in the West Pacific in the same period of January-March 2014 as for the 206 ATTREX measurements in the TTL (Table 1). These observed means are used in model calculations, 207 and the similarity between them and literature values reported in Carpenter et al. (2014) is seen, with 208 lower values for CHBr3 only.

# 2.3.2 NAME modelled background contribution

210 To compare our model results against the AWAS observations, the background contribution, 211

- [X]<sub>BG</sub> Contribution (meaning the contribution from the fraction of trajectories which do not cross below
- 212 1 km within 12 days) also needs to be accounted for. This requires estimates for the fraction of
- 213 trajectories from the free troposphere, which is (1-fraction<sub>BL</sub>)-, Eq. (4), and an estimate of the
- 214 halocarbon mixing ratio in that fraction, [X]<sub>BG</sub>, Eq. (5) i.e.

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 $fraction_{BL} = \sum (fraction_t)$   $[X]_{BG\_Contribution} = (1 - fraction_{BL}) \times [X]_{BG}$ (5)

Since each sample has 15,000 back-trajectories associated with it, some of which came from below 1 km and some of which did not, a definition as to which air samples are considered as boundary layer and which are considered background is required. Two approaches are tested. Both which use the NAME calculations to identify AWAS samples in all flights (2013 and 2014) with low convective influence by (i) filtering for air masses with boundary layer fraction values less than 1, 5 or 10 %; and or (ii) selecting the lowest 10 % of boundary layer fractions. Then, the CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> AWAS observations, corresponding to the boundary layer fraction values less than 1, 5 or 10 %, or the lowest 10 % of boundary layer fractions, are averaged to provide CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> background mixing ratios. These two approaches are explored below (Sect. 3.1.2).

#### 2.3.3 The effect of assuming constant lifetimes

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The lifetimes of the halocarbons are not the same in the boundary layer and the TTL (Carpenter et al, 2014). The assumption of constant lifetime in a 12 day trajectory is evaluated by calculating the difference between idealised trajectories which had 2, 4, 6, 8, and 10 days in the boundary layer and 10, 8, 6, 4, and 2 days in the upper troposphere. Lifetimes for the boundary layer and for the upper troposphere for each gas were taken from Carpenter et al. (2014). (Lifetimes for higher altitudes are not available therein). The difference found between the two extreme cases are 6% (CHBr<sub>3</sub>), 3% (CH<sub>2</sub>Br<sub>2</sub>) and 25% (CH<sub>3</sub>I). The assumption is thus valid for the two brominated species.

This assumption is more robust than it might seem at first glance. The boundary layer fraction is calculated using 12 day trajectories in which there is little loss of CH<sub>2</sub>Br<sub>2</sub> whether a lifetime of 94 or 150 days is taken. The most important factor in determining the amount lofted into the TTL is thus the original mixing ratio which is only slightly modulated by the chemical loss in 12 days. The longer lifetime is absorbed implicitly, and rebed implicitly taken into account in the background contribution. The same arguments apply for CHBr<sub>3</sub>, though the effect is a bit larger. The largest difference is seen for CH<sub>3</sub>I. However, the difference matters much less for CH<sub>3</sub>I because only 4-5% remains after the full 12 days which is much smaller than the uncertainties in this analysis so that much shorter trajectories are used to validate the new convection scheme.

## 3 Analysis of ATTREX 2014 Research Flight 02

We start by showing our results from one of the individuals single ATTREX 2014 Research research Flights RF02, to illustrate the method. This is followed by analysing all research flights Research Flights together for ATTREX 2014 and 2013 in Sect. 4, and calculating the modelled contribution of active bromine from very short lived brominated substances, CHBr3 and CH2Br25 to the TTL (Sect. 5).

# 3.1 Individual ATTREX 2014 Flight: Research Flight 02

Figure 1 shows the vertical distribution of  $CH_3I$ ,  $CHBr_3$  and  $CH_2Br_2$  in the TTL observed during the individual research flight, RF02, during ATTREX 2014. Held on 16-17 February 2014, RF02 was conducted in a confined area east of Guam (12-14° N, 145-147° E) due to a faulty primary satellite communications system for Global Hawk command and control (Jensen, et al., 2017). 26- Twenty six vertical profiles through TTL were made, with 86 AWAS measurements taken in total. A high degree of variability of  $CH_3I$  in the TTL was observed (from > 0.4 ppt at 14-15 km, to near-zero ppt values at 17-18 km). Each profile, in general, showed a gradation in  $CH_3I$  distribution in the TTL. Higher values were measured in the lower TTL up to 16 km, with values decreasing with altitude. The same pattern was observed for  $CHBr_3$  and  $CH_2Br_2$ , with the highest concentrations measured in the lower TTL (14-15 km), and the lowest at 17-18 km.

#### 3.1.1 NAME modelled boundary layer contribution

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Figure 2(a) shows the vertical distribution of the boundary layer air contribution to the TTL (corresponding to the AWAS measurement locations along the RF02 flight track). It reveals higher boundary layer air influence in the lower TTL, decreasing with altitude (similarly to the VSL halocarbon observations). Cumulatively, the highest fractions from below 1 km are found for the lower TTL (14-15 km). A noticeable decrease occurs between the lower and upper TTL (15 to 17 km). From 16 km up, little influence (indicated by <10 % and <5 % 1 km fractions of trajectories below 1 km for 16-17 km and 17-18 km, respectively) of the low-level air masses is seen.

269 Figure 2(b) shows all NAME runs for RF02 grouped into four 1 km TTL bins: 14-15 km, 15-16 km, 270 16-17 km and 17-18 km. In the 14-15 km bin, most particles from the low troposphere are calculated 271 to have arrived in the preceding 4 days with many in the preceding 2 days. This represents the fast 272 vertical uplift of the low tropospheric air masses to the lower TTL. At 15-16 km, two particle 273 populations are observed: the first group results from recent vertical uplift, while the second group 274 has been in the upper troposphere for longer than a couple of days (see Fig. 2c in Navarro et al., 2015 275 for similar example). Above 16 km, the overwhelming majority (>90 %) of the released particles are 276 277 calculated to be in the TTL for the previous 12 days, with negligible evidence for transport from the low troposphere. This shows the dominance of the long-range, horizontal transport for the 16-17 and 278 17-18 km NAME runs (also shown in Navarro et al., 2015).

Figure 3 shows the locations at which trajectories crossed 1 km, thereby indicating boundary layer source regions for the RF02 TTL air masses. Boundary layer sources in the Wwestern and Ceentral Pacific are the most important for the lowest TTL bin (14-15 km, Fig. 3a) in this flight. The Maritime Continent, the Northern Australia coast, the Indian Ocean and the equatorial band of the

African continent increase in <u>relative</u> importance as altitude increases, though the overall

contribution of recent boundary layer air masses decreases with increasing altitude.

Figure 4 shows the NAME modelled boundary layer contribution to the TTL for CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> during RF02. It is important to note that this contribution corresponds to uplift from below 1 km in the preceding 12 days, the length of the trajectories. The calculated boundary layer contributions for CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> from the 1 km fractions are highest at 14-15 km, dropping off with altitude. Almost no boundary layer contribution is found for 17-18 km (with values

#### 3.1.2 NAME modelled background contribution

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close to 0 ppt).

Here we explore the two approaches described summarised in Sect. 2.3.2 for estimating the CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> background mixing ratios. Similar values are seen in ATTREX 2013 and 2014. Less variation is observed for CH<sub>2</sub>Br<sub>2</sub> due to its longer atmospheric lifetime.

ATTREX 2013 and 2014 are treated separately in the analysis presented below due to the difference in CH<sub>3</sub>I background estimates. The approach using the lowest 10 % of the boundary layer fractions is used to estimate the background contribution for the 2014 flights as not enough data meet the former condition due to the proximity of the flights to strong convection. The background values, inferred from all the ATTREX 2014 flights, are used in the individual flight calculations as again there are not enough data from an individual flight to make background calculations for that flight. In ATTREX 2013 we use the boundary layer fractions less than 5 % approach for the CH<sub>3</sub>I background estimation. The ATTREX 2014 background estimates should be taken as upper limits as it is hard to identify samples with no convective influence in 2014. This is especially true for the lower TTL since the ATTREX 2014 flights were close to the region of strong convection.

Figure 5 shows the VSL background mixing ratios calculated for the ATTREX campaigns in 2013 and 2014. In ATTREX 2013, low CH<sub>3</sub>I background mixing ratios are found. All approaches show similar background mixing ratios. In 2014, higher CH<sub>3</sub>I background mixing ratios are calculated due to ubiquity of air from recent, vertical uplift. No boundary layer fractions less than 1 % are found for the 14-17 km bins, and less than 5 % for the 14-15 km.

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## 3.1.3 NAME modelled total concentrations

- 311 The NAME boundary layer and background contribution estimates are added to give an estimate for
- B12 total halocarbon mixing ratio, [X]<sub>NAME\_TTL</sub>, (Eq. (1)), for comparison with the AWAS observations.
- 313 Figure 6 and Table 2 show the vertical distribution of NAME-based estimates for CH<sub>3</sub>I, CHBr<sub>3</sub> and
- CH<sub>2</sub>Br<sub>2</sub> in the TTL for RF02. The sums of the NAME CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> boundary layer and **B14**
- background contribution estimates agree well with the AWAS observations for all the 1 km TTL 315
- bins (compared with Fig. 1). **B**16

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B26

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- 317 At 14-15 km, the modelled boundary layer contribution of CH<sub>3</sub>I is similar to the observations,
- 818 indicating recent, rapid convective uplift. This provides evidence that the improved convection
- **B19** scheme provides a realistic representation of particle displacement via deep convection. At higher
- 320 altitudes, the background contribution is more important and, indeed, the modelled total CH<sub>3</sub>I values
- 321 are greater than the observations. This overestimate of the background contribution results from the
- difficulty of identifying samples with no convective influence in ATTREX 2014. This problem is
- 323 most important for CH<sub>3</sub>I with its very short lifetime.
- 324 CHBr<sub>3</sub> drops off slower with altitude than CH<sub>3</sub>I and quicker than CH<sub>2</sub>Br<sub>2</sub>. At 14-15 km, the
- 325 boundary layer contribution accounts for  $\sim 50$  % of the modelled sums of CHBr3 and CH2Br2, but
  - less than 5 % for CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> at 17-18 km. For the upper TTL, the background contribution
- 327 estimates constitute over 85 % of the modelled sums, thus taking on more importance.

#### 4 The role of transport in the VSL halocarbon distribution in the TTL

- 330 The role of transport in the CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> distribution in the TTL is examined in this
- 331 section by applying the NAME based analysis introduced in Sect. 3 to all CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub>
- AWAS observations in the ATTREX 2013 and 2014 campaigns. 332
- 333 In ATTREX 2013, six flights surveyed the East Pacific TTL in February-March 2013. Four flights
- 334 went west from Dryden Flight Research Centre to the area south of Hawaii, reaching 180° longitude.
- 335 Little influence of convective activity was observed. Most samples with strong boundary layer
- influence were observed in air masses that had originated over the West Pacific and the Maritime 336
- 337 Continent, where it was uplifted to the TTL and transported horizontally within the TTL (Navarro et
- 338 al., 2015). Two flights sampled the TTL near the Central and South American coast. Few convective
- 339 episodes were observed. The sampled air had predominantly a small boundary layer air signature
- 340 from the West Pacific and the Maritime Continent.
- 341 In ATTREX 2014, two transit flights and six research flights were made in the West Pacific in
- 342 January-February 2014. This period coincided with the active phase of Madden-Julian Oscillation
- k43 (MJO) and increased activity of tropical cyclones. A large influence of recent convective events is
- observed (Navarro et al., 2015), reflected in the elevated CH<sub>3</sub>I and CHBr<sub>3</sub> mixing ratios and the high
- 345 values of NAME fractions of trajectories below 1 km. All three aircraft flew together in 2014 and so
- 346 there is a more complete set of measurements from the ground up. Accordingly, this year is
- 347 discussed first.

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#### 4.1 VSL halocarbon distribution in the TTL: ATTREX 2014

- 349 Figure 7 shows the vertical distribution of the observations and of the modelled boundary layer
- 350 contribution and total mixing ratios for CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> for all the ATTREX 2014 flights
- 351 (using only the AWAS measurements made from 20° N southward). As in RF02, CH<sub>3</sub>I is highest in
- 352 the lower TTL, dropping off with altitude. Large flight-to-flight variability in CH<sub>3</sub>I measurements is
- seen. The fraction of NAME particles that travel below 1 km in the previous 12 days (Table 3) are
- highest at 14-15 km (mean of 57 %) and decrease with altitude in a similar fashion. The CH<sub>3</sub>I B54
- boundary layer contribution explains most of the observations for the 14-15 and 15-16 km layers. 355
- 356 Disparities in observed and modelled CH<sub>3</sub>I arise from 16 km up. Estimated bBackground estimate

357 values are minimal very low, oscillating between 0 and the limit of detection of the AWAS Formatted: Font: 12 pt 358 instrument for the iodinated short-lived organic substances, 0.01 ppt. The sums of the CH<sub>3</sub>I boundary 359 layer and background contribution estimates show good agreement with AWAS observations for all 360 the TTL 1 km segments (Table 3). 361 The good agreement for the 14-15 km and 15-16 km layers can be attributed to the improved 862 representation of deep convection in NAME, provided by the new convection scheme (Meneguz et 363 al., in review). However, there is an underestimation of the boundary layer contribution to the upper 364 TTL levels (16-17 and 17-18 km) which we attribute to the new convection scheme not working as 365 well at these altitudes. This is consistent with a known tendency of the Unified Model to 366 underestimate the depth of deepest convection in the tropics (Walters, et al., 2019), Both the CH<sub>3</sub>I Formatted: Font: 12 pt 367 AWAS observations and the modelled sums are higher than reported previously in the literature 368 (Carpenter et al., 2014) for all the TTL segments. This may be explained by sampling the TTL in a 369 region of high convective activity. This result gives confidence in the quality of the new convection 370 scheme and hence in similar calculations of convective influence on the longer-lived CHBr3 and 371  $CH_2Br_2$ . 372 The highest CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> concentrations were observed in the lower TTL (14-15 km), 373 dropping off more slowly with altitude than CH<sub>3</sub>I. The weight of the modelled boundary layer 374 contribution estimates to the modelled total amounts varies from approximately 50% at 14-15 km 375 (unlike for CH<sub>3</sub>I where over 85 % of the modelled sum is attributed to the boundary layer B76 contribution at 14-15 km) to < 20% at 17-18 km. The sums of the modelled boundary layer and Formatted: Font: 12 pt background contributions n estimates are in show good agreement with the CHBr3 and CH2Br2 Formatted: Font: 12 pt AWAS observations. The ATTREX observations and the NAME modelled sums are within the Formatted: Font: 12 pt 379 range of values reported in the literature (Carpenter, et al., 2014). Formatted: Font: 12 pt 380 4.2 VSL halocarbon distribution in the TTL: ATTREX 2013 381 Figure 8 shows the vertical distribution for CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> in the TTL, observed and 382 modelled from the ATTREX 2013 flights. Only dusing only the AWAS measurements taken south Formatted: Font: 12 pt 383 of 20°N are used). Much lower CH<sub>3</sub>I values are found in 2013 than in 2014 (Fig. 7). The NAME 1 Formatted: Font: 12 pt 384 km fractions are considerably lower (~fourfold), and the corresponding CH3I boundary layer 385 contribution shows values close to the limit of detection of the AWAS instrument for CH<sub>3</sub>I. The 886 background contribution comprises over 85-90 % of the sums of the modelled CH<sub>3</sub>I estimate in the 887 TTL. Good agreement is found between the AWAS observations and the sums of the modelled Formatted: Font: 12 pt 388 boundary layer and background contributions estimates, against the AWAS observations. Both the Formatted: Font: 12 pt 389 observed and modelled values are in the low end of the CH<sub>3</sub>I concentrations reported by the WMO Formatted: Font: 12 pt 390 2014 Ozone Assessment (Carpenter et al., 2014). Formatted: Font: 12 pt 391 The ATTREX 2013 mixing ratios are also-lower for CHBr3 and higher CH2Br2 than shown in Fig. 7 392 for 2014. The NAME calculated CHBr3 and CH2Br2 boundary layer contributions are small, 393 constituting approximately 10 % of the NAME modelled sums for 14-15 km, and less for the upper 394 TTL segments. The background contribution estimates comprise over 85 % of the modelled sums.

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Good agreement is found between the sums of the modelled boundary layer and background

Clear differences in the vertical distributions of CH<sub>3</sub>I in the TTL are found in ATTREX 2013 and

2014. CH<sub>3</sub>I estimates, corresponding to high values in the NAME modelled 1 km fractions, are high

in 2014, whereas in 2013 almost no CH<sub>3</sub>I is estimated to be in the TTL. This is due to the minimal

contribution of the boundary layer air within the previous 12 days: ATTREX 2013 was in the East

horizontal transport and were more important in ATTREX 2013, with much less recent convective

Pacific away from the main region of strong convection. Longer transport timescales result from

contributions estimates and the CHBr3 and CH2Br2 AWAS observations.

4.3 ATTREX 2013 and 2014: Inter-campaign comparison

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influence than in ATTREX 2014. More chemical removal of CH<sub>3</sub>I and CHBr<sub>3</sub> thus took place,

405 leading to lower concentrations in the East Pacific TTL.

406 The trajectories are analysed to investigate the timescales for vertical transport by calculating how 407

long it took particles to go from below 1 km to the TTL. In 2013, almost no episodes of recent rapid

408 vertical uplift are found, with most particles taking 8 days and more to cross the 1 km. This is

indicative of the dominant role of long-range horizontal transport. In 2014, by way of contrast, a 409

410 considerable number of trajectories (10's of per cent) come from below 1 km in less than 4 days, 411

representing the 'young' air masses being brought from the low troposphere via recent and rapid

412 vertical uplift.

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413 The spatial variability in the boundary layer air source origins mixing ratios corresponding to

414 different source strengths coupledled wit, as wellh as the variation in atmospheric transport pathways

and transport timescales can explain the differences in the distribution of the NAME 1 km fractions 415 416

in the TTL. In 2014 (2013), higher (lower) boundary layer fractions corresponded well with higher

(lower) CH<sub>3</sub>I and CHBr<sub>3</sub> values in the TTL, especially with the highest concentrations occurring for

418 the flights with the most convective influence and the highest fractions of particles arriving within

419 the 4 days.

420 In the ATTREX 2014 flights, the western and central Pacific is the dominant source origin of

421 boundary layer air to the TTL (Navarro et al., 2015). Increased tropical cyclone activity in this area

422 (particularly Faxai 28 February – 6 March 2014 and Lusi 7-17 March 2014) and the strong signal 423

from the Madden Julian Oscillation (MJO - an intraseasonal phenomenon characterised by an

424 eastward spread of large regions of enhanced and suppressed tropical rainfall, mainly observed over

425 the Indian and Pacific Ocean) MJO\_related convection contributed to the more frequent episodes

426 of strong and rapid vertical uplifts of the low-level air to the TTL. A significant contribution is also

seen from the central Indian Ocean, marking the activity of the Fobane tropical cyclone (6-14

427 428 February 2014). Minimal contribution from the other remote sources (Indian Ocean, African

429 continental tropical band) is found (Anderson et al., 2016; Jensen et al., 2017; Newton et al., 2018).

## 5 How much do VSL bromocarbons contribute to the bromine budget in the TTL?

431 The NAME modelled CHBr3 and CH2Br2 estimates in the TTL are used to calculate how much

432 bromine from the VSL bromocarbons, Br-VSL<sub>org</sub>, is found in the lower stratosphere, based on how 433

much enters the TTL in the form of bromocarbons (as in-Navarro et al. (2015)). CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub>

434 are the dominant short-lived organic bromocarbons, and the minor bromocarbons: CH<sub>2</sub>BrCl,

435 CHBr<sub>2</sub>Cl and CHBrCl<sub>2</sub> are excluded here (as-their combined contribution is less than 1 ppt to Br-436

VSL<sub>org</sub> at 14-18 km, Navarro et al., 2015). The NAME modelled CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> estimates are

437 multiplied by the number of bromine atoms (bromine atomicity), and then summed to yield the total

438 of Br-VSLorg.

Figure 9 shows the contribution of CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub>, the two major VSL bromocarbons

440 contributing to the bromine budget in the TTL. For ATTREX 2013 and 2014, similar contributions 441

of CHBr3 and CH2Br2 to Br-VSLorg are found in the lower TTL. In 2014, CHBr3 in the lower TTL

442 was abundant enough to contribute as much Br-VSL<sub>org</sub> as CH<sub>2</sub>Br<sub>2</sub>. A combination of larger boundary

443 layer air influence in the TTL and shorter mean transport times to reach the TTL result in the

444 observed higher CHBr<sub>3</sub> contribution to the Br-VSL<sub>org</sub> in the lower TTL in 2014, than in 2013. The 445 CH<sub>2</sub>Br<sub>2</sub> contribution dominates in the upper TTL due to its longer atmospheric lifetime.

446 Good agreement is found between the bromine loading from the VSL bromocarbons, inferred from

447 the NAME modelled estimates initialised with BAe-146 and GV measurements, and the Global

448 Hawk AWAS observations. Higher organic bromine loading is seen around the cold point tropopause

449 (16-17 km) in ATTREX 2014.

450 Using the upper troposphere measurements taken during the SHIVA campaign in the western Pacific

451 in November-December 2011, Sala et al. (2014) calculated an estimate for VSLS (CHBr<sub>3</sub>, CH<sub>2</sub>Br<sub>2</sub>, Formatted: Font: 12 pt Formatted: Font: 12 pt

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CHBrCl<sub>2</sub>, CH<sub>2</sub>BrCl, CHBr<sub>2</sub>Cl) contribution to the organic bromine at the level of zero radiative heating (15.0 - 15.6 km). Air masses reaching this level are expected to reach the stratosphere. This VSLS mean mixing ratio estimate of 2.88 (+/- 0.29) ppt (2.35 ppt for CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub>, excluding minor short-lived bromocarbons) is lower due to a lower contribution from CHBr<sub>3</sub> estimate (0.22 ppt compared to the CHBr<sub>3</sub> estimate for NAME / ATTREX in Table 5). Compared to other literature values reported in Sala et al., (2014), Qour estimates of the contribution of CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> to the organic bromine at the LZRH are slightly higher largely than those in Sala et al. (2014) due to a higher estimate for a shorter-lived CHBr<sub>3</sub>.

Several papers use the same measurements from the combined ATTREX/CAST/CONTRAST campaign in 2014 and from the other ATTREX phases. Navarro et al. (2015) report slightly higher bromine loading from the Br-VSL<sub>org</sub> at the tropopause level (17 km) in the West Pacific, 2014 than in the East Pacific, 2013 (the Br-VSL<sub>org</sub> values from the AWAS observations were of 3.27 (+/-0.47) and 2.96 (+/-0.42) ppt, respectively). The minor short-lived organic bromine substances were included in the analysis of Navarro et al. (2015), accounting for the higher Br-VSL<sub>org</sub>.

Butler et al. (2017/2018), report a mean mole fraction and range of 0.46 (0.13-0.72) ppt and 0.88 (0.71-1.01) ppt of CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> being transported to the TTL during January and February 2014. This is consistent with a contribution of 3.14 (1.81-4.18) ppt of organic bromine to the TTL over the region of the campaign. The most recent study on stratospheric analysis of the injection of brominated VSLS into the TTL by Wales et al. (~2018), using the CAM-cehem-SD model combined with a steady state photochemical box model has shown, using different methodology and CONTRAST and ATTREX data found -that 2.9 +/- 0.6 ppt of bromine enters the stratosphere via organic source gas injection of VSLS. The NAME modelled results presented here (Fig. 9, Table 5) are thus in good agreement with the values reported by Navarro et al. (2015), Butler et al. (2017/8) and Wales et.al. (2018).

The NAME modelled results presented here (Fig. 9, Table 5) are in good agreement with the values reported by Navarro et al. (2015) and Butler et al. (2017).

# 6 Summary and Discussion

We have used the NAME trajectory model in backward mode to assess the contribution of recent convection to the mixing ratios of three short-lived halocarbons,  $CH_3I$ ,  $CHBr_3$  and  $CH_2Br_2$ . 15,000 back-trajectories are computed for each measurement made with the whole air samples on the NASA Global Hawk in ATTREX 2013 and 2014, and the fraction that originated below 1 km is calculated for each sample. A steep drop-off in this fraction is observed between 14-15 km and 17-18 km. Low level\_measurements of  $CH_3I$ ,  $CHBr_3$  and  $CH_2Br_2$  from the FAAM BAe-146 and the NCAR GV are used in conjunction with these trajectories and an assumed photochemical decay time to provide estimates of the amount of each gas reaching the TTL from below 1 km. Comparison of these modelled estimates with the  $CH_3I$  measurements shows good agreement with the observations at the lower altitudes in the TTL values, with less good agreement at altitudes > 16 km, though it should be noted that the amounts are very small here. The lifetime of  $CH_3I$  is 3-5 days, and so there is a > 90 % decay in the 12 day trajectories. The comparison between the modelled and measured  $CH_3I$  thus indicates that the NAME convection scheme is realistic up to the lower TTL but less good at reproducing the small number of extreme convective events that penetrate to the upper TTL. In order to perform similar calculations for the longer-lived bromocarbons, an estimate of the

background free tropospheric concentration is required. This is <u>ealeulated found</u> by considering bromocarbon values in samples where there was only a small influence from the boundary layer, i.e. where very few NAME trajectories passed below 1 km. This is possible in 2013 when the ATTREX flights were away from the region of strong convection, but much harder in 2014 when (as planned.)

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499 the flights were heavily influenced by convection. By summing the boundary layer and background 500 contributions, an estimate of the total bromocarbon mixing ratio is obtained.

The resulting modelled estimates are found to be in generally good agreement with the ATTREX measurements. In other words, a high degree of consistency is found between the low altitude halocarbon measurements made on the BAe-146 and GV and the high altitude measurements made on the Global Hawk when they are connected using trajectories calculated by the NAME dispersion model with its updated convection scheme and driven by meteorological analyses with 25 km horizontal resolution. There are some indications of the modelled convection not always reaching quite high enough, but this is consistent with a known tendency of the Unified Model to underestimate the depth of the deepest convection in the tropics.

509 The resolved winds are likely to be well represented, at least partly because the wind data is analysis 510 rather than forecast data. Hence we expect the main errors in the modelling to arise from the 511 representation of convection. Individual convective events are hard to model and can have significant errors. However because the upper troposphere concentrations depend on a number of convective 513 events and we are considering a range of flights and measurements locations, our conclusions on 514 general behaviour should be robust. The consistency between the aircraft measurements and the

515 NAME simulations supports this.

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516 In the above, the boundary layer contribution arises from trajectories which visit the boundary layer within 12 days while the background contribution involves air that has been transported into the TTL 518 from outside the boundary layer on timescales up to 12 days. Sensitivity tests were performed in which the trajectories were followed for longer than 12 days: the effect was to re-allocate some of 520 the air from the background category into the boundary layer contribution with no net change in the 521

The approach using NAME trajectories and boundary layer measurements produces Br-VSLorg estimates of 3.47-5, +/- 0.4 (3.3 +/- 0.4) ppt in the lower East (West) Pacific TTL (14-15 km) and 2.5 +/-0.2 (2.4 +/-0.4) ppt in the upper East (West) Pacific TTL (17-18 km). These lie well-within the range of the recent literature findings (Tegtmeier et al., 2012; Carpenter et al., 2014; Liang et al., 2014; Navarro et al., 2015; Butler et al., 2017; Wales et al. 2018). The validation with the ATTREX measurements provides confidence that a similar approach could be used for years when high altitude measurements are not available assuming that realistic estimates of the background tropospheric contributions can be obtained from either models or measurements.

Our study of boundary layer contribution of bromoform and dibromomethane into the TTL in the West Pacific, using a combined approach of NAME Lagrangian dispersion modelling and CAST, CONTRAST and ATTREX 2014 measurements, has successfully validated an improvupdated convection scheme for use with the NAME trajectory model. The previous parameterisation scheme was reasonable for convection at mid-latitudes but was far too weak to represent the stronger tropical convection. Comparison with the extensive CH<sub>3</sub>I measurements made in this campaign provides good support for its use in modelling transport in tropical convective systems. (New scheme: https://www.harmo.org/conferences/proceedings/ Madrid/publishedSections/H15-29.pdf. - please note the full paper is accessible upon request - contact Dr David Thomson from the UK Met Office, Atmospheric Dispersion and Air Quality Unit).

This represents a considerable improvement As the old convective scheme was used ion the earlier study by Ashfold et al. (-2012), which used the old convection scheme and using the East Pacific measurements, this represents a considerable improvement which found reasonable agreement up to and including the level of maximum convective outflow, but not above, when compared to measurements in the East Pacific from CR-AVE and TC4. The approach used by Ashfold et al. (s

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2012) has been further extended so that VSLS mixing ratios can be assigned to contributions from 545 Formatted 546 the boundary layer and from the 'background' TTL. 547 Formatted: Font color: Red Formatted: Space After: 8 pt, Line spacing: Multiple 1.08 li Different studies focussed on transport up to the level of maximum convective outflow, including the 548 ones where FLEXPART chemistry-transport model is applied, during the SHIVA campaign based in 549 550 Malaysian Borneo. The surface concentrations and strength of convection over the South China Sea are different to those over the West Pacific in January March (winter). Another more recent study 551 552 by Wales et al., 2018 is based on the Eulerian 3D CAM chem SD model while this study is based 553 purely on a trajectory based approach. The agreement between these two studies is good. 554 Even though this methodology has been applied to many VSLS transport studies before and is a Formatted: Font: 12 pt 555 common procedure in the research community, we investigate the VSLS transport from the boundary, Formatted: Space After: 6 pt 556 layer to the stratosphere comparing it with a new multi aircraft campaign [below but better phrased] Formatted: Font: 12 pt and a further developed model version of NAME with improved convection scheme. It is one of the 557 Formatted: Font: 12 pt 558 Formatted: Font: 12 pt 559 lower stratosphere in the West Pacific region in 2014, and the UK NAME Lagrangian particle Formatted: Font: 12 pt 560 dispersion model with improved parameterisation scheme for simulating displacement of particles Formatted: Font: 12 pt 561 due to convective motions, to quantify mixing ratios for CH<sub>2</sub>I, CHBr<sub>2</sub> and CH<sub>2</sub>Br<sub>2</sub> and their **Formatted** 562 estimated contributions from the boundary layer and the background. Firstly, our methodology for Formatted: Font: 12 pt 563 quantifying mixing ratios of CH3I works well as modelled estimates were in good agreement with Formatted: Font: 12 pt 564 ATTREX measurements in the TTL. This study also showed that the boundary layer air is the sole 565 source of CH<sub>2</sub>I in the upper troposphere lower stratosphere in the region of deep and frequent Formatted: Font: 12 pt 566 convective activity. A bespoke good agreement between modelled and measured CH<sub>3</sub>L mixing ratios Formatted <u>...</u> 567 in the upper troposphere and the TTL makes us confident about the good performance of the Formatted: Font: 12 pt 568 improved parameterisation scheme for displacement of particles as a result of deep convection Formatted: Font: 12 pt methodology, with validated convection scheme for CH3I, was further applied to quantify mixing 569 Formatted: Font: 12 pt 570 ratios of CHBr3 and CH2Br3 in the TTL. As these compounds are longer lived than CH3L, the Formatted boundary layer contribution estimates tend to have less role, with the challenge of estimating the Formatted: Font: 12 pt 572 background contribution estimate in a confident manner. The agreement between modelled and **Formatted** measured CHBr3 mixing ratios was good, and for CH2Br2 satisfactory, and for both within t 573 Formatted: Font: 12 pt 574 We are confident that our methodology for quantifying boundary l 575 contribution of CH<sub>2</sub>I, CHBr, and CH<sub>2</sub>Br, gives good agreement with measured data, and slightly less Formatted: Font: 12 pt 576 confident on the estimates of background contribution, particularly for CH2Br2. We would like to Formatted: Font: 12 pt 577 further test our methodology by applying it to quantify modelled mixing ratios of short lived Formatted: Font: 12 pt 578 bromocarbons and iodocarbons for any future campaigns that feature source receptor measurements Formatted: Font: 12 pt 579 being taken at the same time and region. **Formatted** 580 Formatted: Font: 12 pt Formatted: Font: 12 pt 581 Formatted: Font: 11 pt, Font color: Red 582 Formatted: Space After: 8 pt, Line spacing: Multiple 1.08 li Formatted: Font: (Default) Calibri, 11 pt, Font color: Red 583 584 Formatted: Space After: 6 pt 585 Formatted: Font: 12 pt Formatted: Space After: 6 pt 586

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7 Data availability

588 The CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> AWAS data from the NASA ATTREX measurements are available 589 online in the NASA ATTREX database (https://espoarchive.nasa.gov/archive/browse/attrex). The 590 CAST measurements are stored on the British Atmospheric Data Centre, which is part of the Centre

591 for Environmental Data archive at

592 http://catalogue.ceda.ac.uk/uuid/565b6bb5a0535b438ad2fae4c852e1b3. The CONTRAST AWAS 593 data are available through http://catalog.eol.ucar.edu/contrast. The NAME data are available from

the corresponding author upon request.

**8 Author Contribution** 

The main part of the analysis was conducted by MF. EA and MN provided CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> AWAS measurements from the ATTREX and CONTRAST research flights. SA and LC provided CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> measurements from the CAST campaign. MA designed initial scripts for NAME runs and products. EM and DT developed the model code for improved convection scheme. MF and NH prepared the manuscript with contributions from all co-authors, NH also supervised this

602 PhD work.

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NNX13AH20G and NNX10AOB3A. We acknowledge use of the NAME atmospheric dispersion 611

612 model and associated NWP meteorological datasets made available to us by the UK Met Office.

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Table 1. Boundary layer concentrations and atmospheric lifetimes for CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> (Carpenter et.al., 2014).

| Boundary Layer Concenti<br>[ppt] | ration, [X] <sub>BL</sub>  | Atmospheric<br>Lifetime, τ [days]   |  |
|----------------------------------|--|---|--|
| CAST and CONTRAST                | Carpenter et al., 2014   |   |  |
| Mean (Range) Median              | Median (Range)   |   |  |
| 0.70 (0.16-3.34) 0.65            | 0.8 (0.3-2.1)  | 4   |  |
| 0.83 (0.41-2.56) 0.73            | 1.6 (0.5-2.4)  | 15  |  |
| 0.90 (0.61-1.38) 0.86            | 1.1 (0.7-1.5)  | 94  |  |
|                                  | [ppt]  CAST and CONTRAST  Mean (Range) Median  0.70 (0.16-3.34) 0.65 0.83 (0.41-2.56) 0.73 | CAST and CONTRAST Carpenter et al., 2014  Mean (Range) Median Median (Range)  0.70 (0.16-3.34) 0.65 0.8 (0.3-2.1) 0.83 (0.41-2.56) 0.73 1.6 (0.5-2.4) |  |

Table 2. ATTREX 2014 Research Flight 02: AWAS observations, modelled boundary layer contribution, the modelled total mixing ratios for  $CH_3I$ ,  $CHBr_3$  and  $CH_2Br_2$ . The boundary layer and background fractions means and standard deviations (in brackets) are given based on the measurements and modelled values for the samples collected during the flight.

| Altitude          | AWAS              | Modelled Boundary   | Modelled Total Mixing |
|-------------------|-------------------|---------------------|-----------------------|
| [km]              | [ppt]             | Layer Contribution  | Ratio [ppt]           |
|                   |                   | [ppt]               |                       |
| CH₃I              |                   |                     |                       |
| 17-18             | 0.06 (0.02)       | 0.00 (0.00)         | 0.06 (0.02)           |
| 16-17             | 0.09 (0.03)       | 0.00 (0.00)         | 0.06 (0.02)           |
| 15-16             | 0.17 (0.03)       | 0.04 (0.04)         | 0.12 (0.06)           |
| 14-15             | 0.23 (0.09)       | 0.17 (0.04)         | 0.21 (0.08)           |
| CHBr <sub>3</sub> |                   |                     |                       |
| 17-18             | 0.34 (0.17)       | 0.01 (0.00)         | 0.29 (0.15)           |
| 16-17             | 0.42 (0.11)       | 0.03 (0.01)         | 0.36 (0.14)           |
| 15-16             | 0.55 (0.06)       | 0.12 (0.07)         | 0.48 (0.17)           |
| 14-15             | 0.67 (0.10)       | 0.35 (0.07)         | 0.58 (0.13)           |
| $CH_2Br_2$        |                   |                     |                       |
| 17-18             | 0.72 (0.02)       | 0.02 (0.01)         | 0.71 (0.03)           |
| 16-17             | 0.79 (0.07)       | 0.06 (0.02)         | 0.76 (0.06)           |
| 15-16             | 0.83 (0.05)       | 0.19 (0.09)         | 0.78 (0.10)           |
| 14-15             | 0.89 (0.05)       | 0.46 (0.08)         | 0.84 (0.12)           |
|                   | Boundary Layer fr | action [%] Backgrou | and fraction [%]      |
| 17-18             | 2.1 (1.1)         | 97.9                |                       |
| 16-17             | 7.2 (2.7)         | 92.8                |                       |
| 15-16             | 22.9 (10.0)       | 77.1                |                       |
| 14-15             | 53.3 (9.0)        | 46.7                |                       |
|                   |                   |                     |                       |

Table 3. ATTREX 2014 all flights: AWAS observations, modelled boundary layer contribution, the modelled total mixing ratios for CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub>. The boundary layer and background fractions are also given. Means and standard deviations (in brackets).

| Altitude | AWAS  | Modelled Boundary  | Modelled Total Mixing |
|----------|-------|--------------------|-----------------------|
| [km]     | [ppt] | Layer Contribution | Ratio [ppt]           |

|                   |                   | [ppt]       |                         |
|-------------------|-------------------|-------------|-------------------------|
| CH <sub>3</sub> I |                   |             |                         |
| 17-18             | 0.04 (0.03)       | 0.02 (0.03) | 0.07 (0.04)             |
| 16-17             | 0.11 (0.10)       | 0.04 (0.04) | 0.09 (0.05)             |
| 15-16             | 0.16 (0.14)       | 0.09 (0.07) | 0.15 (0.08)             |
| 14-15             | 0.17 (0.14)       | 0.15 (0.08) | 0.19 (0.11)             |
| CHBr <sub>3</sub> |                   |             |                         |
| 17-18             | 0.33 (0.14)       | 0.06 (0.06) | 0.32 (0.16)             |
| 16-17             | 0.48 (0.13)       | 0.12 (0.09) | 0.40 (0.17)             |
| 15-16             | 0.54 (0.13)       | 0.21 (0.12) | 0.50 (0.19)             |
| 14-15             | 0.61 (0.13)       | 0.31 (0.12) | 0.55 (0.16)             |
| $CH_2Br_2$        |                   |             |                         |
| 17-18             | 0.73 (0.06)       | 0.11 (0.09) | 0.73 (0.09)             |
| 16-17             | 0.82 (0.08)       | 0.19 (0.14) | 0.78 (0.15)             |
| 15-16             | 0.84 (0.09)       | 0.32 (0.16) | 0.80 (0.17)             |
| 14-15             | 0.86 (0.07)       | 0.44 (0.15) | 0.84 (0.17)             |
|                   | Boundary Layer fr | action [%]  | Background fraction [%] |
| 17-18             | 12.7 (10.9)       |             | 87.3                    |
| 16-17             | 22.3 (16.0)       |             | 77.7                    |
| 15-16             | 37.8 (18.8)       |             | 62.2                    |
| 14-15             | 51.7 (16.1)       |             | 48.3                    |

Table 4. ATTREX 2013 all flights: AWAS observations, modelled boundary layer contribution, the modelled total mixing ratios for CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub>. The boundary layer and background fractions are also given. Means and standard deviations (in brackets).

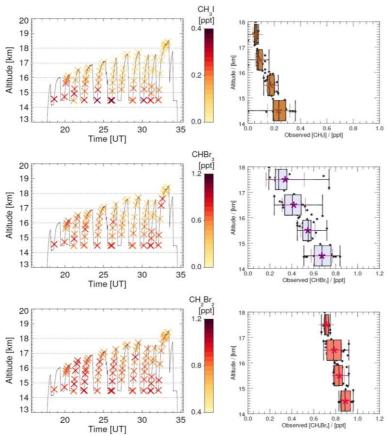
| Altitude          | AWAS              | Modelled Boundary   | Modelled Total Mixing |  |
|-------------------|-------------------|---------------------|-----------------------|--|
| [km]              | [ppt]             | Layer Contribution  | Ratio [ppt]           |  |
|                   |                   | [ppt]               |                       |  |
| CH₃I              |                   |                     |                       |  |
| 17-18             | 0.03 (0.02)       | 0.00 (0.00)         | 0.03 (0.01)           |  |
| 16-17             | 0.03 (0.02)       | 0.00 (0.00)         | 0.03 (0.02)           |  |
| 15-16             | 0.04 (0.02)       | 0.01 (0.01)         | 0.03 (0.03)           |  |
| 14-15             | 0.04 (0.03)       | 0.01 (0.01)         | 0.05 (0.03)           |  |
|                   |                   |                     |                       |  |
| CHBr <sub>3</sub> |                   |                     |                       |  |
| 17-18             | 0.31 (0.10)       | 0.01 (0.01)         | 0.31 (0.09)           |  |
| 16-17             | 0.39 (0.12)       | 0.02 (0.02)         | 0.35 (0.11)           |  |
| 15-16             | 0.54 (0.15)       | 0.04 (0.04)         | 0.49 (0.16)           |  |
| 14-15             | 0.53 (0.15)       | 0.07 (0.05)         | 0.53 (0.18)           |  |
| $CH_2Br_2$        |                   |                     |                       |  |
| 17-18             | 0.79 (0.08)       | 0.02 (0.04)         | 0.78 (0.07)           |  |
| 16-17             | 0.83 (0.07)       | 0.04 (0.04)         | 0.81 (0.07)           |  |
| 15-16             | 0.90 (0.07)       | 0.07 (0.06)         | 0.87 (0.10)           |  |
| 14-15             | 0.91 (0.08)       | 0.12 (0.09)         | 0.89 (0.12)           |  |
|                   | Boundary Layer fr | action [%] Rackgrou | and fraction [%]      |  |

| 17-18 | 1.9 (2.3)   | 98.1 |  |
|-------|-------------|------|--|
| 16-17 | 4.7 (4.9)   | 95.3 |  |
| 15-16 | 9.8 (7.9)   | 90.2 |  |
| 14-15 | 14.7 (11.1) | 85.3 |  |

Table 5. Contribution from the very short-lived bromocarbons: CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> to the bromine in the TTL as given by modelled estimates and AWAS observations for ATTREX 2014 and 2013. [CHBr<sub>3</sub>] and [CH<sub>2</sub>Br<sub>2</sub>] means are shown only.

| Altitude   | [CHBr3] | [CH <sub>2</sub> Br <sub>2</sub> ] | Br from           | Br from    | Br-VSL <sub>org</sub> |
|------------|---------|------------------------------------|-------------------|------------|-----------------------|
| [km]       | [ppt]   | [ppt]                              | CHBr <sub>3</sub> | $CH_2Br_2$ | [ppt]                 |
|            |         |                                    | [ppt]             | [ppt]      |                       |
| ATTREX 201 | 4       |                                    |                   |            |                       |
|            |         |                                    |                   |            |                       |
| NAME       |         |                                    |                   |            |                       |
| 17-18      | 0.32    | 0.73                               | 0.96              | 1.46       | 2.42                  |
| 16-17      | 0.40    | 0.78                               | 1.20              | 1.56       | 2.76                  |
| 15-16      | 0.50    | 0.80                               | 1.50              | 1.60       | 3.10                  |
| 14-15      | 0.55    | 0.84                               | 1.65              | 1.68       | 3.33                  |
|            |         |                                    |                   |            |                       |
| AWAS       |         |                                    |                   |            |                       |
| 17-18      | 0.33    | 0.73                               | 0.99              | 1.46       | 2.45                  |
| 16-17      | 0.48    | 0.82                               | 1.44              | 1.64       | 3.08                  |
| 15-16      | 0.54    | 0.84                               | 1.62              | 1.68       | 3.30                  |
| 14-15      | 0.61    | 0.86                               | 1.83              | 1.72       | 3.55                  |
|            |         |                                    |                   |            |                       |
| ATTREX 201 | 3       |                                    |                   |            |                       |
|            |         |                                    |                   |            |                       |
| NAME       |         |                                    |                   |            |                       |
| 17-18      | 0.31    | 0.78                               | 0.93              | 1.56       | 2.49                  |
| 16-17      | 0.35    | 0.81                               | 1.05              | 1.62       | 2.67                  |
| 15-16      | 0.49    | 0.87                               | 1.47              | 1.74       | 3.21                  |
| 14-15      | 0.53    | 0.89                               | 1.59              | 1.78       | 3.37                  |
|            |         |                                    |                   |            |                       |
| AWAS       |         |                                    |                   |            |                       |
| 17-18      | 0.31    | 0.79                               | 0.93              | 1.58       | 2.51                  |
| 16-17      | 0.39    | 0.83                               | 1.17              | 1.66       | 2.83                  |
| 15-16      | 0.54    | 0.90                               | 1.62              | 1.80       | 3.42                  |
| 14-15      | 0.53    | 0.91                               | 1.59              | 1.82       | 3.41                  |

12 Figures



**Figure 1:** Vertical distribution of CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> in the TTL, as measured during Research Fflight 02, ATTREX 2014: AWAS measurements along the flight track (left), observations grouped into 1 km TTL segments (right): means (star symbols), standard deviations (coloured whiskers), minimum, lower and upper quartiles, median and maximum (black box and whiskers). Vertical distribution of CH<sub>2</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> in the TTL, as measured during Research Flight 02, ATTREX 2014: AWAS measurements along the flight track (left), observations grouped into 1 km TTL segments (right, means (star symbols), standard deviations (coloured whiskers), minimum, lower and upper quartiles, median and maximum (black box and whiskers)).

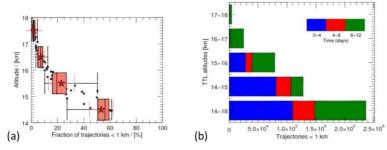
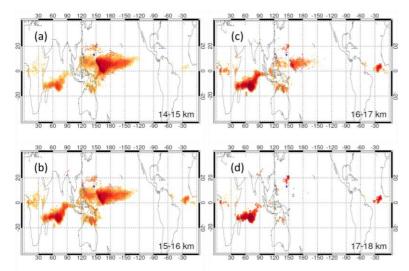


Figure 2: Vertical distribution of NAME 1 km fractions (the fractions which reach the boundary layer within 12 days - indicative of boundary layer air influence) in the TTL (2a, left). Distribution of transport times taken for the trajectories

to first cross below 1 km (reach boundary layer) for all the NAME runs and the NAME runs grouped into 1 km TTL segments, Re, research Flight 02, ATTREX 2014 (2b, right).



**Figure 3:** Crossing location distribution maps for all the NAME runs released from 4 1 km TTL altitudes: 14-18 km. Strong influence of local boundary air is noted for a 14-15 km segment (lower TTL), whereas the boundary air from remote locations dominates for a 17-18 km segment (upper TTL), research flightResearch Flight 02, ATTREX 2014.

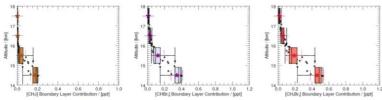


Figure 4: NAME modelled CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> boundary layer contribution to the TTL, research flightResearch Flight 02, ATTREX 2014.

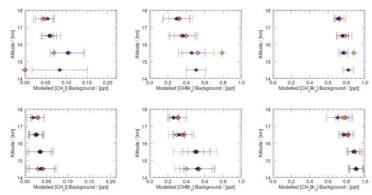
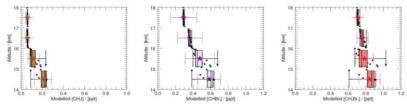


Figure 5: Background mixing ratios for  $CH_3I$ ,  $CHBr_3$  and  $CH_2Br_2$  for all NAME runs for all flights in ATTREX 2014 (top row) and ATTREX 2013 (bottom row). Little convective influence is indicated by selecting means from NAME 1 km fractions of <1 (blue star), 5 (red diamond) and 10 (green diamond) %.





**Figure 6:** Vertical distribution of NAME modelled CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> (sums of boundary layer and background contribution) in the TTL for research flightResearch Flight 02, ATTREX 2014.

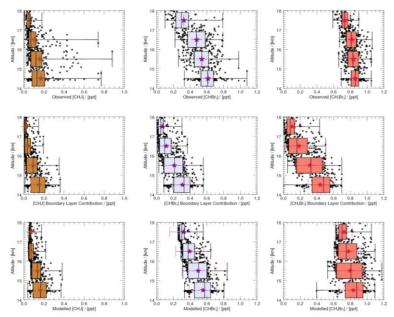


Figure 7:  $CH_3I$ ,  $CHBr_3$  and  $CH_2Br_2$  vertical distribution in the TTL for ATTREX 2014 flights: AWAS observations (top row), NAME modelled boundary layer contribution (middle row), and NAME modelled sums of boundary layer and background contributions (bottom row).

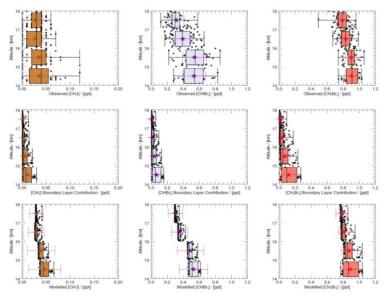


Figure 8: CH<sub>3</sub>I, CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> vertical distribution in the TTL for ATTREX 2013 flights: AWAS observations (top row), NAME modelled boundary layer contribution (middle row), and NAME modelled sums of boundary layer and background contributions (bottom row).

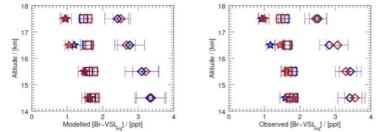


Figure 9: Contribution of  $CHBr_3$  (star symbol) and  $CH_2Br_2$  (square symbol) to the bromine budget in the TTL, inferred from the NAME modelled estimates (left) and AWAS observations (right); separately ATTREX 2014 (red) and 2013 (blue). Star and square symbols represent the bromine atomicity products from  $CHBr_3$  and  $CH_2Br_2$ , respectively. Diamonds show the bromine contribution from the VSL bromocarbons in the TTL (as a sum of the  $CHBr_3$  and  $CH_2Br_2$  bromine atomicity products).