

Ocean Sci. Discuss., author comment AC2  
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## Reply on RC2

Simon Barbot et al.

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Author comment on "Background stratification impacts on internal tide generation and abyssal propagation in the western equatorial Atlantic and the Bay of Biscay" by Simon Barbot et al., Ocean Sci. Discuss., <https://doi.org/10.5194/os-2021-19-AC2>, 2021

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We do thank Referee#2 for his/her careful reading of our manuscript and relevant comments. Below his/her comments are listed (after #, in italic) and followed by our answers (after >>) as well as the modification in the manuscript (between "..."). Changes made in the manuscript are highlighted in red and blue in the revised version of the manuscript. As some remarks of the General comments are also addressed in more details in the Specific comments, we took the liberty to reorganize the document by gathering together the comments tackling the same issue, in order to make our answers clearer. Each subject of the general and specific comments is gathered under a dedicated title. We hope this will ease the reviewer's reading.

### General comment #1

*#I have a few concerns about the idealized internal tide modeling. In particular, I am not sure of the utility of the chosen model, especially because its current implementation is not well-explained in the current manuscript.*

>>The model used in this study does not include any background currents and only resolves the tidal currents, pressure and free surface for the ITs generation and propagation. Some modifications have been made in the introduction and the model description sections to emphasize this. See the answers to the related comments below.

*#On Line120, the authors state: "Because the ocean circulation affects the ITs propagation, the complexity of its impacts on the ITs is beyond the scope of this study. Even though the stratification will be derived from the circulation, the stratification will be investigated as stationary in order to prevent further interaction with the circulation." These are very confusing sentences.*

>>Reformulation:

"In addition of the stratification, the currents also affect the ITs propagation and complexify the ITs signal. The investigation of such dynamical impacts over ITs is beyond the scope of this study. Here, the stratification is investigated without background current

in order to only quantify the ITs signal response to the stratification alone. Such stratification is further named background stratification."

*#The second motivation difficulty is that the distinction between two regions is attributed to differences in factors controlling stratification. Namely, the authors suggest the significance of solar radiation compared to geostrophic currents controlling stratification. But then in all of the simulations, the stratification is horizontally uniform. So, how are the effects of currents on stratification actually retained in these results?*

>>The effect of currents on stratification is retained because the realistic stratification profiles are the sum of every processes taking place and the currents are one of them. The current-driven stratifications are used to investigate the response of ITs without any other complexification in order to properly quantify it. The simulations enable to prove that the waters inside the core of the NBC rings (deeper pycnocline) implies a longer wavelength of the ITs than outside the NBC rings (shallower pycnocline). We choose to not deepen the interpretation because the ITs also dynamically interact with the currents and the simulations are not designed for that as there is no background currents.

*#My final general comment concerns the use of the tidal model in this study. I think there needs to be much more care in this section. The choice of bathymetry (Equation 4) seems very generic, while the two basins shown in figure 2 are very different. How relevant is this choice of idealized bathymetry to either region? Perhaps comparing a transect of bathymetry from figure 2 to equation 4 would be useful.*

>>This generic bathymetry enables to simulate really clean ITs and to only focus on the stratification impacts only. A new figure about the bathymetry comparison is added in the appendix. Following sentence is added in the model description (l.456):

"This bathymetry is similar to an averaged continental slope, a comparison to realistic bathymetry of the two areas of interest is shown on Figure C1."

*#If the overall point that the authors want to make concerns the variability in IT wavelength at multiple vertical modes, a linear eigenvalue solution to the stratification profiles would give that result without needing this idealized model.*

>>Such approach could provide the wavelength but not the amplitude of the ITs. As shown on the figure 7 and 9, the amplitudes of the modes are also highly affected by the stratification.

*#I do not recommend the authors use the Nugroho, 2017, reference as a primary citation for 3D T-UGO model configuration or for the modal decomposition as this work has not been through peer-review. Instead, if these modelling results are to be used, many more details can be provided: What are the equations solved, boundary conditions, and solution procedure?*

>>Actually, there is no other references that describe 3D T-UGOm. For short, it solves for the quasi-linearized, frequency domain 3D equations, formulated in the 3D, vertically lagrangian, equivalent of the well-known 2D wave equation. Level displacements and density anomalies (due to advection), governing pressure anomalies, are the primary unknowns. The discretized equations form a linear, complex-valued system which solution is obtained through a single inversion. Once solved, horizontal velocities are obtained by the use of the horizontal momentum equations. However, non-linearities (such as bottom friction/vertical momentum diffusion) are solved by iterating the tidal solver with non-linear terms set from the previous inversion. Boundary conditions are formulated to prescribe the barotropic tidal component and a buffer zone is implemented to avoid internal tide reflection at open boundaries. A complete article is in preparation, based on

Nugroho (2017) and the additional developments that have been made since (Lyard et al.). The mention to such article is added to l.429:

"Initially developed to resolve the two dimensional tidal equations (Piton et al., 2020 ; Lyard et al. 2021), this model has been extended to resolve the three dimensional tidal equations in the frequency domain (Nugroho, 2017; Lyard et al., in prep). "

## **General comment #2**

*#Although overall the analysis and figures are engaging, I found much of the language used to be awkward and at times misleading. I strongly recommend additional editing of the language before resubmission.*

>>The article will be read by an english-native in order to improve the language.

## **Specific Comment #1**

*#My first comments concern the motivation of the work. If one of the motivations are to quantify internal tide non-stationarity, this is not done. Stratification profiles are examined, and non-stationarity is inferred, but don't the realistic models, HRET V8.1 and NEMO (line 605) include non-stationary tides? Why not use the 2D FFT method to actually address the non-stationarity effects?*

>>HRET V8.1 only represents the stationary part of ITs and the NEMO simulations does represent both stationary and non-stationary parts. But the non-stationary part is extremely complex and important. The methods to extract it are very sensitive to the frequency windows used. The quantification of the ITs non-stationarity requires a dedicated study that is in preparation using NEMO outputs (Tchilibou et al.). Here, the motivation is not to quantify the ITs non-stationarity but to improve the comprehension of the ITs variability, to help the community to precise their interpretations of ITs non-stationarity. For this purpose, we focus on the impacts of only one parameter, the stratification, and quantify its impacts on both ITs amplitudes and wavelengths. Reformulation of the goal in the introduction (l. 70):

"The present study aims at contributing to the understanding of the ITs' non-stationarity through the investigation of the ITs variability".

*#In Section 3.3, I believe Figure 10 is not what you want to show?*

>>Indeed, the figure 10 was a duplicate of figure 9, this has been corrected, sorry for the embarrassment. The figure and the text are updated to consider all the 5 clusters (not only AS-108m) for the comparison (l.670):

"The wavelengths in HRET and NEMO are coherent with both modes 1 and 2 wavelengths calculated from the clusters, but models' wavelengths are slightly longer than the averaged cluster wavelengths. As explained in the introduction..."

## **Specific Comment #2**

*#My second comment is on the profile clustering methodology. I have a few suggestions that can clarify: Line 205 - 235: The description here should be improved. For example, why are there only 2 coordinates in the PCA?*

>>Addition of few sentences to clarify this point:

"As the profiles are only described by the density versus depth, only two principal components are used. Thus, the shape of each profile is evaluated according to two orthogonal axes. The PCA manifold is the plan defined by these two new axes and where each profile is characterized by a point. The both axes explain a different part of the standard deviation of the profiles. For example, if the profiles are mainly controlled by the pycnocline depth but also by the surface density, the first axis (PC1) will be controlled by the different depths of the pycnocline whereas the second axis (PC2) will be controlled by the surface depths."

*#Section 2.2.2. discusses some optional parameters in the clustering, but what is the effect? In particular, I am not sure how the authors determined "the best results (line 235)" and why the Ward method would have a stability criteria? Perhaps this sensitivity analysis can be moved to an appendix that includes a few of the examples written in words here, but instead portrayed graphically so that the reader can follow?*

>>Thank you for this advice, most of the section 2.2.2 (now section 3.2.2) have been moved to the appendix A where 3 additional figures illustrate the discussions. The section has been rewritten as follow:

"Three methods of clustering are compared: Ward, Average and Spectral. Those methods have been selected because they can better classify similar PCA manifold that we have. For each method, the sensitivity of two parameters needs to be investigated: the number of final clusters and the number of neighbors used in the calculation of the distance between profiles. The number of neighbors is important to properly manage the profiles that are isolated outside the PCA manifold. If the number of neighbors is weaker than the number of outsider profiles, then they all would be grouped in a dedicated cluster. Otherwise, they would be included in the cluster of the nearest profiles. This latter case can lead to groups of profiles that do not have the same shape inside the same cluster. The number of neighbors also affects the profiles located at the boundary between two clusters: depending on the number of neighbors, they would be included in one cluster or another.

A wide range of sensitivity tests have been made to choose the best method and the best parameters. The number of neighbors is tested from 4 to 16 and the number of clusters is tested from 2 to 10. These results can be found in the appendix A. The Ward method is used in the rest of the study because it offers a wider range of stratification cases and it is less sensitive to the number of neighbors. The classifications using the 16 nearest neighbors are distributed more equally between the clusters so this parameter is chosen. The number of clusters is set more arbitrarily. For the western equatorial Atlantic, the variability of the density profiles is controlled by the pycnocline depth with almost no modification of the N profile. Thus, few clusters are needed to characterize such variability. For the Bay of Biscay, the variability of the density profiles is way more complex and N profiles are very different even for 10 clusters. But a high number of clusters leads to have some clusters with few profiles. Thus, for both areas, a classification of 6 clusters is a good compromise that enables us to detail the evolution of the density profiles while keeping well represented clusters (more than 100 profiles)."

### **Specific Comment #3**

*#Line 620: "The bathymetry of the T-UGOm simulations is set capped to 4000m whereas the real bathymetry in the area can extend down to 4500 m in the generation zone and down to 5000m further north" How do the authors know that internal tides are generated at these depths?*

>>The term "generation zone" is misleading. The sentence is corrected as follows:

"The bathymetry of the T-UGOm simulations is set capped to 4000 m whereas the real bathymetry in the area can extend down to 4500 m close to the continental slope and down to 5000 m further north."

### **Technical corrections**

*#Please rewrite the sentences on line 275. They are very confusing and grammatically incorrect: "The temporal variability of the clusters (Fig. 3b,e) shows that every cluster happen all the year. There is a seasonality very noisy due to the complexity of the circulation, its spatial distribution and its seasonality (explained below). The cluster classification enable to focus on a simple parameter (the pycnocline depth) rather than being blurred by the noise of a classical seasonal average classification. "*

>>Reformulation :

"The clusters are not strictly defined during a specific period of the year but rather during all along the year (Fig. 3b,e). In addition, the spatial distribution of the clusters is not homogeneous within the area highlighting spatially-bound ocean processes responsible for some specific stratification. As the pycnocline depth is highly controlled by the circulation, the complex spatio-temporal variability of the clusters refers to the complex spatio-temporal variability of the circulation in this region. The clusters classification enables to focus on a simple parameter (the pycnocline depth) that would be smoothed with a classical seasonal average classification."

*#Line 375: Missing a period "... uniform horizontally There, the cluster..."*

>> Corrected.

*#Line 375: I don't understand the use of the word "concise" in this sentence.*

>>Reformulation :

"There, the 6 clusters classification gathers the same amount of information about the seasonality than the 12 groups of the monthly classification. Thus, the cluster classification is a more condensed approach."

*#Line 380: I don't understand what the relevance of these statements. Can you reframe?*

>>Reformulation :

"As the cluster analysis does not preferably consider time dependent or space dependent classification, this method is very effective to investigate circulation-driven stratification variability, such as in the tropics."

*#Line 385: What is the relevance of observing long-term variability here?*

>> Addition of the following sentences:

"In a classical seasonal or monthly averaged classification, such long-term variability would have smoothed the stratification profiles. Here the clusters mean density profiles are based on similar instantaneous profiles, insuring more realistic profiles."

*#Line 390: "Grid"*

>> Corrected.

*#Line 400: "This enables us to compare the simulations with realistic cases." What cases are you referring to?*

>> Reformulation :

" This enables us to compare the simulations with ITs measurements and realistic simulations."

*#Line 630: I am not sure that what the authors propose here would work. Wouldn't the addition of a mesoscale create non-uniform horizontal stratification? How would a cluster analysis help in that situation?*

>>Yes but the clustering methods can detect non-uniform horizontal stratification (like in the western equatorial Atlantic). Then, this spatially-bound stratification could be used to create spatially-bound ITs wavelengths maps over abyssal plains and ITs amplitudes maps over generation areas.

*#Line 670: "The definition of a good parameter controlling the ITs amplitude and wavelengths need to be pursued in mid-latitude to unify the processing of the different regions of the global ocean." I do not understand this sentence. Can you rewrite?*

>> Reformulation :

"The efforts to find a formulation to link the ITs amplitude and wavelengths to the stratification need to be pursued for the mid-latitudes. is to obtain a parametrization that could unify the different regions of the global ocean.

*#Figure 8 caption: I don't understand this, please reword: "...the colored patches represent the part of each mode in the sum: the modes on top of the sum line refer to destructive interaction between the modes."*

>> Reformulation on both Figure 6 and 8 :

"...the colored patches represent the modal contribution to the complex sum: if the patch of mode n is located on top of the sum line, then mode n works against mode n-1."