Reply on RC2
Lauric Cécillon et al.

Author comment on "Partitioning soil organic carbon into its centennially stable and active fractions with machine-learning models based on Rock-Eval® thermal analysis (PARTYsoccv2.0 and PARTYsoccv2.0eu)" by Lauric Cécillon et al., Geosci. Model Dev. Discuss., https://doi.org/10.5194/gmd-2021-16-AC2, 2021

Reply to reviewer 2

R2: This work presents a model (updated from previously published work) that predicts the proportion of centennially stable soil organic carbon (SOC). The manuscript is well written, the method is interesting, and described in a good level of detail. However, I have raised a number of concerns in the text below which need to be addressed. My primary concern being how accurate the model really is, given the poor performance when applied to sites outside the training dataset. Since the performance is so poor, I think the conclusions are overly positive and I question the recommendation that this model be used for other sites outside of the training dataset.

Reply: we deeply thank reviewer 2 for spending some of his/her time to evaluate our work.

In the lines below, we discuss the main concern of reviewer 2 regarding the actual performances of the second version of the PARTY_{SOC} machine-learning model, i.e. its accuracy to predict the respective sizes of the centennially stable and active soil organic carbon (SOC) fractions in unknown soils.

In particular, we point out that the model performance is not “so poor”, as stated by reviewer 2, notably for a large portion of Cambisols and Luvisols, two major soil types in Europe (European Commission, 2008), where soil organic pool partitioning is currently unknown and urgently needed to improve our understanding and projections of the fate of soil carbon. Our assertion is supported by new results (added in the Results section at lines 527–532 of the revised manuscript (MS), and reported in the new supplementary Figure S1). The text has been changed accordingly (see the revised abstract and discussion on this specific topic).

On the other hand, we fully agree with reviewer 2 and also with reviewer 1 (see our reply to reviewer 1) that the application of machine-learning models outside the range of their training set cannot be recommended. We accordingly revised the abstract and the main text, specifying for instance that “To this respect, we consider that applying the second version of PARTY_{SOC} to unknown soils from pedoclimates outside its training set cannot be
recommended” (lines 607–608 of the revised MS).

We also followed the recommendations of both reviewer 1 and 2 regarding the tone of our conclusions that appeared to be overly optimistic in the abstract and in the main text. We significantly toned down our conclusions in the revised MS and we further refined them in line with the new results mentioned above, regarding the applicability of the model to unknown European agricultural soils.

R2: Specific comments #1: Inconsistent handling of sites

Reply: we disagree with reviewer 2 and we detail below how reference sites, soil samples and their carbon analysis were, for this second model version, handled more rigorously compared with the first published version of the PARTY$_{SOC}$ machine-learning model (Cécillon et al., 2018).

R2: Table 1 and the text outlines that different ways of measuring SOC content and different ways of calculating the centennially stable SOC fraction content were used for different sites. This is concerning as it is not clear what is being predicted here.

Reply: at all sites, SOC contents were determined by elemental analysis (dry combustion) and by Rock-Eval® thermal analysis. Here, we have chosen to use SOC contents determined by Rock-Eval® to determine the centennially stable soil carbon proportions in reference soil samples, contrary to the first version of the model that used SOC contents determined by elemental analysis (this modification of the PARTY$_{SOC}$ model is listed in Table S1 and described in the Methods section of the MS).

As explained in the main text (lines 275–277 of the revised MS): "the reason behind this choice was to link the Rock-Eval® parameters measured on a reference topsoil sample to a calculated proportion of the centennially stable SOC fraction that better reflected the organic carbon that actually evolved during its Rock-Eval® analysis”.

The SOC contents determined by Rock-Eval® were either obtained as the TOC$_{RE6}$ parameter (5 reference sites) or alternatively as the sum of TOC$_{RE6}$+MinC parameters (reference sites of Askov and Bad Lauchstädt; Table 1). The rationale behind the selection of TOC$_{RE6}$ or TOC$_{RE6}$+MinC for determining SOC content is straightforward and already described in a specific paragraph of the Methods section (lines 273–294 of the revised MS). Briefly, TOC$_{RE6}$ was used as a proxy of SOC content at sites where TOC$_{RE6}$ reliably reproduced the value determined by elemental analysis without any systematic bias (5 sites out of 7). At the reference sites of Askov and Bad Lauschstädt, however, TOC$_{RE6}$ parameter systematically underestimated the results from elemental carbon analysis, while adding the MinC parameter to TOC$_{RE6}$ improved the carbon yield of Rock-Eval® analysis. The sum of TOC$_{RE6}$+MinC was thus used to determine SOC content of the 30 reference soil samples from Askov and Bad Lauchstädt.

R2: This is especially true since Table S2 and Table 1 show very different values of centennially stable SOC fraction calculated from the Bayesian curve-fitting and that measured on site. I’m not convinced there is a valid reason to ever have inconsistent handling of sites, and if there is a reason, it needs to be thoroughly explained in the text.

Reply: we disagree with reviewer 2 on this point. We argue that the values of the centennially stable SOC contents calculated from the Bayesian curve-fitting method and those measured on-site are not differing strongly (5.50 gC/kg vs. 6.22 gC/kg at Versailles, 9.72 gC/kg vs. 10.46 gC/kg at Rothamsted, 15 gC/kg vs. 16.22 gC/kg at Bad Lauschstädt, 4.75 gC/kg vs. 5.12 gC/kg at La Cabaña; see Tables 1 and S2). In particular, the
hierarchy in the centennially stable SOC content of the seven reference sites is unchanged whatever the calculation method.

The reason for preferring on-site measurements to the Bayesian curve-fitting method at four out of the seven reference sites is described in the main text. At lines 190–196 of the revised MS, we explain that this choice has been made to reduce the risk of overestimating the actual value of the centennially stable SOC content, as SOC inputs to bare fallow topsoils are low but not null, and as C4-plant derived SOC inputs are possible after conversion to C3 plants (Jenkinson and Coleman, 1994; Petersen et al., 2005). This modification (improvement) of the PARTYSOC machine-learning model, compared to the first published version, is also listed in Table S1.

On the other hand, we agree with reviewer 2 that this point could be better explained in the main text. We thus added a few sentences on this topic in the Results section (lines 432–437 of the revised MS): “These values did not differ strongly from the values of the centennially stable SOC contents calculated from the Bayesian curve-fitting method (Table 1, Table S2). In particular, the hierarchy in the centennially stable SOC content of the seven reference sites was unchanged whatever the calculation method. These values were retained as the best estimates of the site-specific content of the centennially stable SOC fraction in topsoils of the four sites in order to reduce the risk of overestimating the actual value of the centennially stable SOC content, compared to the first published version of the model (see Sect. 2.1, Table 1, supplementary Table S1)”.

R2: Specific comments #2: Needs discussion on which validation method is more informative

R2: Two methods of validation are presented in the text and visually shown in Figure 2. These two methods show substantially different accuracies of the method. Whilst I think it is helpful to have both methods included, I think discussion on which method should be used to judge the model needs to be included. For example, from my understanding of the method, the test dataset for “internal validation” is made up of data from the sites in the training dataset. This means the test dataset is not truly independent of the training dataset. This means the test dataset is not truly independent of the training dataset, so it is not surprising that the “internal validation” looks a lot better.

Reply: we thank reviewer 2 for this comment. In fact, as described in the Methods section (lines 372–378 of the revised MS), three complementary procedures were used to assess the predictive ability of the PARTYSOCv2.0 machine-learning model: (1) internal, (2) random splitting (mean statistics of 15 different models) and (3) leave-one-site-out validation procedures. To better clarify this point in the main text, we modified a sentence in the Methods section (lines 372–373 of the revised MS): “The performance of PARTYSOCv2.0 and PARTYSOCv2.0EU was assessed by statistical metrics comparing the predicted vs. the estimated values of their reference topsoil sample set using three complementary validation procedures”.

Each validation procedure gives different information regarding the performance of the machine-learning model. Clearly, we agree with reviewer 2 that the leave-one-site-out validation procedure gives the most conservative estimation of the true uncertainty of regression models on unknown topsoil samples. We thus added a sentence for clarification (lines 386–387 of the revised MS): “The “leave-one-site-out” validation should be seen as the procedure giving the most accurate estimation of the uncertainty of both regression models on unknown topsoil samples” (see also changes made in the Discussion section at line 602 of the revised MS).

On the other hand, by definition, the leave-one-site-out validation procedure cannot help understanding the potential of the machine-learning model to reliably predict the
centennially stable SOC proportion in soils from “extreme” pedoclimates that are present at only one reference site. This potential is better reflected by the internal and the random splitting validation procedures. That is to say that the leave-one-site-out procedure reveals that the model cannot predict the centennially stable SOC fraction in Chernozem soils (Bad Lauchstädt; see Figure 2b) or under tropical climate (La Cabaña; see Figure 2b) when no site with such pedoclimate is included in the training set. Conversely, the internal and the random splitting validation procedures suggest that once soils from Chernozem soil type or tropical climate are included in the training set, the predictions of the machine-learning model get more accurate for those soils (see Figure 2a; though this is not demonstrated in unknown Chernozem or tropical soils and will thus require further validation in the next version of the PARTYSOC model).

R2: Additionally, in the methods section (line 337), it is described how the training dataset was chosen to maximise the range of centennially stable SOC. This selection means that the testing dataset will likely have a more central range of values than the true range of values, potentially imparting a bias on the validation (i.e. there will be few extreme values in the test dataset).

Reply: we disagree with reviewer 2 on this point and we argue that his/her interpretation is not correct. As stated at lines 334–335 of the revised MS, "the 15 topsoil samples retained for each reference site were selected: (1) to have a range of proportion of centennially stable SOC fraction as wide as possible". This selection of reference soil samples at each site does not imply that the test sets have necessarily a more central range of values than the training sets. For the three complementary validation procedures (internal, random splitting, and leave-one-site-out; see above), soil samples with "extreme" values of centennially stable SOC proportion still have a reasonable probability to be included in the different test sets. The validation statistics are thus by no means "positively" biased by our selection of training and test samples.

On the contrary, the validation statistics presented in Table 3 could potentially be "negatively" biased by the presence, in many of the different test sets, of soils sampled at very late dates of bare fallow treatments (with extremely high proportions of centennially stable SOC, often above 0.8). For these "extreme" soil samples in the test sets, the machine-learning model often has difficulties to predict their centennially stable SOC proportion, whatever the validation procedure (Figure 2a, b). These “extreme” centennially SOC proportions, however, are very unlikely to occur in unknown topsoils that are not under long-term bare fallow treatments. To better reflect this, we added a sensitivity analysis to the test set, and reported these new results in Section 3.4 (see also below our reply to the specific comments #3 of reviewer 2, and the new material reported at lines 527–532 of the revised MS, and in the new supplementary Figure S1).

R2: Furthermore, when considering the application of this model, it would be applied to new sites not within the training dataset, as in the "leave-one-site-out validation". For these reasons, I do not believe that the “internal validation” method is the best way to judge this work, instead the “leave-one-site-out validation” is more useful when considering the accuracy of the method.

Reply: we have never stated in the abstract or in the main text of our MS that the internal validation procedure is the best way to judge our work. As described above, we have used three complementary procedures (internal, random splitting, and leave-one-site-out) to assess the predictive ability the PARTYSOC machine-learning model.

As also stated above, we agree with reviewer 2 that the leave-one-site-out validation procedure gives the most conservative estimation of the true uncertainty of regression models on unknown topsoil samples. We thus added a sentence to clarify this point (lines 386–387 of the revised MS): "The "leave-one-site-out" validation should be seen as the
procedure giving the most accurate estimation of the uncertainty of both regression models on unknown topsoil samples” (see also changes made in the Discussion section at line 602 of the revised MS).

R2: Specific comments #3: How useful is this model?

R2: My next point continues from the previous point on validation. If only the “leave-one-site-out validation” is considered, the accuracy of the method is significantly reduced. Looking at Figure 2b and Table 3, I am not convinced that this model performs much better than if the mean of the training dataset (0.52 or 0.55 from Table 1) was predicted for every datapoint. For example, in Figure 2b, it appears a horizontal line y=0.52 or y=0.55 would equally predict the SOC. If this is true, then there is no point in applying the model to sites outside of the training dataset, so this needs to be addressed in the manuscript.

Reply: we thank reviewer 2 for this comment. The fact that the performances of the PARTYSOC machine-learning model are significantly reduced when assessed using the leave-one-site-out validation procedure was already clearly mentioned in the Results section, at lines 487–488 of the revised MS “The predictive ability of both models decreased when assessed using the "leave-one-site-out" procedure (see Sect. 2.5; Fig. 2b)”. It was also already mentioned in the Discussion section (lines 602–605 of the revised MS).

On the other hand, while we agree that assessing visually (on Figure 2) the predictive ability of the PARTYSOC model (as done by reviewer 2) is useful, we argue that statistical metrics provide a more objective assessment of regression model performance. In particular, the ratio of performance to interquartile range (RPIQ, see Bellon-Maurel et al., 2010 for a detailed description of this indicator), with a value above 2 for the PARTYSOCv2.0EU model (2.39, leave-one-site-out validation procedure; see Table 3), demonstrates that this model “performs better than if the mean of the training dataset was predicted for every datapoint”, as questioned by reviewer 2. We thus argue that the statement of reviewer 2 “it appears a horizontal line y=0.52 or y=0.55 would equally predict the SOC” is not correct, and that on the contrary, it is useful to apply the PARTYSOCv2.0EU model to unknown soils from pedoclimates similar to the ones of the training set.

We agree with reviewer 2, however, that the PARTYSOCv2.0EU model cannot provide accurate predictions in all soil types from Europe. To refine our analysis of model performance, we conducted a sensitivity analysis to the test set, assessing more specifically the predictive ability of PARTYSOCv2.0EU in 5 sites developed on two main soil types: Cambisols and Luvisols (two major soil types in Europe; European Commission, 2008). The performance statistics of the model (leave-one-site-out validation procedure) are improved when considering only these 5 sites.

We reported this new result in the main text (Results section 3.4, at lines 527–532 of the revised MS and in the new supplementary Figure S1): “The sensitivity analysis to the test set showed that when excluding Chernozem samples from the test set (i.e., validating the model exclusively on independent samples from Cambisols or Luvisols), the performance statistics of PARTYSOCv2.0EU were improved (leave-one-site-out validation procedure: R² of 0.56; RMSEP of 0.13; n = 75). The further removal of independent test soils that are unlikely to be encountered in agricultural Cambisols and Luvisols (soils sampled at late dates of bare fallow treatments, more than 25 years after the experiment onset) also improved the performance statistics of PARTYSOCv2.0EU (supplementary Fig. S1; leave-one-site-out validation procedure: R² of 0.71; RMSEP of 0.11; n = 58)”.

Please note that the new supplementary Fig. S1 is provided as a pdf attachment to this reply.
R2: Specific comments #4: Overstatement of conclusions

R2: Given my previous comments on the validation and accuracy of the model, I think that the conclusions have been overstated: e.g. line 633 “the current version of the PARTY_{SOC}v2.0 model ... already provide accurate predictions...”, line 641 “…enables the reliable partitioning of SOC into its centennially stable and active SOC fractions”, line 642 “The validation of the model ... here (PARTY_{SOC}v2.0_{EU}) constitutes a breakthrough in the metrology of SOC kinetic pools. It represents a great improvement...”. I have put examples of the words I do not think are justified in bold, given the points I have raised above.

Reply: We agree with reviewer 2 and also with reviewer 1 that some of our conclusions could have been considered as overoptimistic, both in the abstract and in the Discussion section. We significantly toned them down, and adapted them to the new results provided at lines 527–532 of the revised MS and in the new supplementary Figure S1 (provided as a pdf attachment to this reply).

Specifically, we removed the last sentence of the abstract (“we already recommend the application of PARTY_{SOC}v2.0_{EU} in European agricultural topsoils to provide accurate information on SOC kinetic pools partitioning that may improve the simulations of simple models of SOC dynamics”). We added a new sentence in the abstract that reflects more closely the new results: “More specifically, our results show that PARTY_{SOC}v2.0_{EU} reliably partitions SOC kinetic fractions at its Northwestern European validation sites on Cambisols and Luvisols, which are the two dominant soil groups in this region” (lines 41–42 of the revised MS).

The Discussion section was thoroughly revised accordingly (see Sections 4.2 and 4.3). In the Section 4.2, we modified two important paragraphs:

At lines 602–605 of the revised MS: “On the other hand, the leave-one-site-out validation procedure, the most robust validation procedure (see Sect. 2.5), demonstrates that the second version of PARTY_{SOC} is currently not capable of accurately partitioning SOC into its centennially stable and active fractions in soil samples coming from pedoclimates that differ strongly from the ones included in the training set (Fig. 2b, Table 3b)”.

At lines 607–614 of the revised MS: “To this respect, we consider that applying the second version of PARTY_{SOC} to unknown soils from pedoclimates outside its training set cannot be recommended. The sensitivity analysis to the test set, however, shows that PARTY_{SOC}v2.0_{EU} reliably partitions SOC kinetic fractions at its validation sites on Cambisols and Luvisols (with a mean prediction error on the centennially stable SOC proportion of 0.11; see Sect. 3.4 and supplementary Fig. S1). Cambisols and Luvisols are the two dominant reference soil groups in Europe, covering more than 41% of European land areas (European Commission, 2008). Though the model test set does not include all the within-group pedological variability of Cambisols and Luvisols (FAO, 2014), this suggests that PARTY_{SOC}v2.0_{EU} can accurately partition SOC into its centennially stable and active fractions on a significant portion of Northwestern European agricultural soils”.

We renamed Section 4.3 “Future developments of the PARTY_{SOC} model” (line 624 of the revised MS). In the Discussion section 4.3, we removed the paragraph “Meanwhile, the current version of the PARTY_{SOC}v2.0 model and especially its European version PARTY_{SOC}v2.0_{EU} already provide accurate predictions of the size of the centennially stable and active SOC fraction in agricultural topsoils of a large diversity of pedoclimatic conditions (Fig. 2; Table 3). We consider that PARTY_{SOC}v2.0_{EU} is mature enough (see Sect. 3.3, 3.4 and 4.2) to be reliably applied on agricultural topsoils in Northwestern Europe, or to be tested on topsoils of other ecosystems under similar pedoclimates for research purposes” that contains one of the conclusions meaningfully criticized by reviewer 2.
Similarly, we removed the sentence “The second version of PARTY\textsubscript{SOC} enables the reliable partitioning of SOC into its centennially stable and active SOC fractions (Fig. 2)".

Finally, following the recommendations of reviewer 2, “breakthrough” was replaced with “significant progress” (line 644 of the revised MS); and “great improvement” was changed to “improvement” (line 645 of the revised MS).

R2: Technical comments:

R2: Line 29: “a more accurate modelling” does not quite make sense, it should be something like “a more accurate model” or “a more accurate understanding”

Reply: “a more accurate modelling” was replaced with “for more accurate models of the carbon cycle” (lines 29 of the revised MS).

R2: Table 2 caption: “Symbols for p-values: *** p < 0.001; ** p < 0.01; * p < 0.05; NS p > 0.05 = not significant” But these symbols are not used in this table?

Reply: this sentence was removed from the revised Table 2 caption.

R2: Line 318: “Therefore, to increase the likelihood of verifying our hypothesis…” This is a bit of an odd phrasing, sounds a bit like cherry-picking data to get the desired answer, though I appreciate that is not what is going on. I suggest rephrasing this.

Reply: the corresponding paragraph was rephrased (lines 311–320 of the revised MS).

R2: Line 499: “Conversely, the two models show only two Rock Eval® parameters in common out of their five most important ones…” Could this demonstrate that some of those parameters are actually not adding to the predictive power of the model? What happens to the accuracy of the model if just the top x parameters are used? Perhaps the model is overfitted to the training data?

Reply: we agree with reviewer 2 that the different ranking of Rock-Eval® predictor variables for PARTY\textsubscript{SOC}v2.0 and PARTY\textsubscript{SOC}v2.0EU regarding their respective importance in model predictions (except the most important variable; see Table 2) may indicate, not demonstrate, that some of the Rock-Eval® parameters are not adding much to the predictive power of the model. This would be logical as many Rock-Eval® parameters are correlated to each other. On the other hand, it also illustrates the sensitivity of the model to the addition of a new reference site (La Cabaña).

We disagree with reviewer 2, however, when he/she states that this could indicate that the model is overfitted to the training data. Contrary to other multivariate regression methods (e.g. partial least squares regression), random forests regression is, by mathematical construction of its algorithm, relatively insensitive to poorly informative predictor variables or to auto-correlation of predictor variables; see e.g. Strobl et al. (2009) for a presentation of the random forests algorithm, and for a discussion on the risk of overfitting in random forests modelling. Poorly informative predictor variables get a small variable importance in the model predictions, leaving the performance statistics of the model almost unaffected (to illustrate this, as listed in Table S1, the first version of the model used 30 Rock-Eval® predictor variables compared to 18 for the second version, with comparable performance statistics for both versions).

R2: Line 576: “Reference topsoils from the Colombian site of La Cabaña fit well into the global learning set of the statistical model: they did not alter its overall
performance.” Not sure that is true? As stated in line 468: “For most of the calculated statistics, the European version of the model PARTY\textsubscript{SOCv2.0\textsubscript{EU}} showed better performances than the PARTY\textsubscript{SOCv2.0 model}”

Reply: in this sentence of the Discussion section, we are in fact not comparing PARTY\textsubscript{SOCv2.0} and PARTY\textsubscript{SOCv2.0\textsubscript{EU}}. We are comparing the predictive ability of PARTY\textsubscript{SOCv2.0} with the one of the first version of the model. To clarify this point, we associated the two corresponding sentences (lines 587–590 of the revised MS): “Reference topsoils from the Colombian site of La Cabaña fitted well into the training set of the global model: they did not alter its overall performance, as the root-mean-square errors of PARTY\textsubscript{SOCv2.0} (internal or random splitting validation procedures) are comparable to the ones of the model’s first version, where the content of the centennially stable SOC fraction was inferred exclusively from plant-free soils (Fig. 2a, Table 3; Cécillon et al., 2018)”.

R2: Line 585: “currently not capable of accurately partitioning SOC... in soil samples coming from pedoclimates that differ strongly from the ones included in the learning set...” This relates to my earlier points - I would argue that this is true for any sites not included in the training dataset given the poor performance of the “leave-one-site-out validation”.

Reply: following the recommendations of both reviewer 1 and 2, we have thoroughly revised the Discussion section regarding this topic. Details of changes in the Discussion section are provided above, in our reply to the specific comments #4 of reviewer 2.

R2: Line 610 (and abstract): “...conservative estimate of the accuracy of this model...” Why is this a conservative estimate? It does not seem so, given the issues raised above.

Reply: as stated above, we have thoroughly revised the Discussion section regarding this topic, following the recommendations of both reviewer 1 and 2. More specifically, “conservative” was removed from the abstract and the main text.

R2: Table S3 is provided as an excel spreadsheet – a more generic file format would be better, such as csv or netcdf.

Reply: Table S3 will be provided as a csv file in the revised MS.

References


Jenkinson, D. S. and Coleman, K.: Calculating the annual input of organic matter to soil from measurements of total organic carbon and radiocarbon, Eur J Soil Science, 45,


Please also note the supplement to this comment: https://gmd.copernicus.org/preprints/gmd-2021-16/gmd-2021-16-AC2-supplement.pdf