

1 **Coherent response of Antarctic Intermediate Water and Atlantic Meridional**
2 **Overturning Circulation during the last deglaciation: reconciling contrasting**
3 **neodymium isotope reconstructions from the tropical Atlantic**

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15 **Key Points:**

- 16 • Antarctic Intermediate Water northward penetration is controlled by the Atlantic
17 Meridional Overturning Circulation strength.
- 18 • Atlantic Intermediate Water becomes deeper and thicker during weaker Atlantic
19 Meridional Overturning Circulation period.
- 20 • The contradictory ϵ_{Nd} reconstructions from the tropical Atlantic are due to the site
21 location and depth and the influence of different water masses.

Abstract

Antarctic Intermediate Water (AAIW) plays important roles in the global climate system and the global ocean nutrient and carbon cycles. However, it is unclear how AAIW responds to global climate changes. In particular, neodymium isotopic composition (ϵ_{Nd}) reconstructions from different locations from the tropical Atlantic, have led to a debate on the relationship between northward penetration of AAIW into the tropical Atlantic and the Atlantic Meridional Overturning Circulation (AMOC) variability during the last deglaciation. We resolve this controversy by studying the transient oceanic evolution during the last deglaciation using a neodymium-enabled ocean model. Our results suggest a coherent response of AAIW and AMOC: when AMOC weakens, the northward penetration and transport of AAIW decreases while its depth and thickness increase. Our study highlights that as part of the return flow of the North Atlantic Deep Water (NADW), the northward penetration of AAIW in the Atlantic is determined predominately by AMOC intensity. Moreover, the inconsistency among different tropical Atlantic ϵ_{Nd} reconstructions is reconciled by considering their corresponding core locations and depths, which were influenced by different water masses and ocean currents in the past. The very radiogenic water from the bottom of the Gulf of Mexico and the Caribbean Sea, which was previously overlooked in the interpretations of deglacial ϵ_{Nd} variability, can be transported to shallow layers during active AMOC, and modulates ϵ_{Nd} in the tropical Atlantic. Changes in the AAIW core depth must also be considered. Thus, interpretation of ϵ_{Nd} reconstructions from the tropical Atlantic is more complicated than suggested in previous studies.

44 **1 Introduction**

45 Antarctic Intermediate Water (AAIW) is a key component of the global ocean
46 circulation. Large volume northward flowing AAIW plays an important role in the northward
47 nutrient transport to sustain primary production in the North Atlantic [*Sarmiento et al.*, 2004;
48 *Palter and Lozier*, 2008]. It also contributes to the anthropogenic carbon sink [*Sabine*, 2004;
49 *Gruber et al.*, 2009] and the ocean acidification [*Ito et al.*, 2010; *Resplandy et al.*, 2013].
50 However, how AAIW responds to global climate changes has remained poorly understood. In
51 particular, how AAIW interacts with the Atlantic Meridional Overturning Circulation (AMOC)
52 remains highly controversial. The last deglaciation presents an ideal target to test our
53 understanding of the relation between AAIW and AMOC. Some previous observational studies
54 of the last deglaciation suggested that the northward penetration of AAIW in the tropical Atlantic
55 should be positively correlated with the AMOC strength [*Came et al.*, 2008; *Xie et al.*, 2012;
56 *Huang et al.*, 2014]. This positive correlation seems to be consistent with the notion that AAIW,
57 as part of the North Brazil Current (NBC), contributes to the return branch of North Atlantic
58 Deep Water (NADW) [*Rintoul*, 1991; *Schmitz and McCartney*, 1993; *Lumpkin and Speer*, 2003;
59 *Zhang et al.*, 2011]. However, other observational studies infer an enhanced AAIW penetration
60 into the tropical Atlantic with a collapsed AMOC during the last deglaciation, or a negative
61 correlation between the AAIW penetration and AMOC intensity [*Zahn and Stüber*, 2002;
62 *Rickaby and Elderfield*, 2005; *Pahnke et al.*, 2008]. This negative correlation appears to be
63 consistent with some other modeling studies, which simulate an increased AAIW transport into
64 the North Atlantic in a counterclockwise shallow AAIW cell after the initial collapse of AMOC
65 [*Saenko et al.*, 2003; *Weaver et al.*, 2003; *Stouffer et al.*, 2007]. The different relationship
66 between AAIW northward penetration in the Atlantic and the AMOC strength suggests different

67 roles of AAIW in AMOC: a positive correlation implies the AAIW penetration as a subsequent
68 response to the AMOC reorganization while a negative correlation indicates that the AAIW
69 penetration may provide a positive feedback or a trigger for AMOC reorganization as more fresh
70 water is transported to the North Atlantic by AAIW when AMOC is weaker [*Pahnke et al.*,
71 2008]. In addition, understanding the relationship between the AAIW northward penetration in
72 the Atlantic and AMOC also helps to understand the mechanisms of nutrient supply change in
73 low latitude Atlantic across the deglaciation, which is also under debate [*Meckler et al.*, 2013;
74 *Hendry et al.*, 2016].

75 In studying the AAIW evolution during the last deglaciation, we will pay particular
76 attention to neodymium (Nd) isotopic composition (ϵ_{Nd}), which has emerged as a promising
77 quasi-conservative tracer for water masses [*Goldstein and Hemming*, 2003]. ϵ_{Nd} is defined as
78 $[(^{143}\text{Nd}/^{144}\text{Nd})_{\text{sample}}/(^{143}\text{Nd}/^{144}\text{Nd})_{\text{CHUR}} - 1] * 10^4$, where $(^{143}\text{Nd}/^{144}\text{Nd})_{\text{CHUR}}$ is 0.512638, which is
79 the bulk earth composition defined by the Chondritic Uniform Reservoir [*Jacobsen and*
80 *Wasserburg*, 1980]. The ϵ_{Nd} exhibits distinct values geographically, with the most radiogenic
81 (highest) values in the North Pacific (0~-5), intermediate values in the Southern Ocean and the
82 Indian Ocean (-7~-10) and the least radiogenic (lowest) values in the North Atlantic (-10~-14).
83 This strong ϵ_{Nd} gradient has motivated using ϵ_{Nd} as a tracer for Northern versus Southern water
84 mass mixing. Unlike tracers such as $\delta^{13}\text{C}$ and Cd/Ca, which are highly influenced by biological
85 processes in addition to ocean circulation, biological or chemical fractionation of ϵ_{Nd} is
86 negligible [*Goldstein and Hemming*, 2003]. Furthermore, ϵ_{Nd} is relatively insensitive to potential
87 Nd source changes as unrealistically extreme changes in Nd sources are required in the model to
88 produce the magnitude of ϵ_{Nd} changes comparable to reconstructions [*Rempfer et al.*, 2012b].
89 Variations of ϵ_{Nd} is able to reflect the strength of overturning circulation in idealized fresh water

90 hosing experiments [*Rempfer et al.*, 2012a]. Therefore, ϵ_{Nd} appears to be an effective tracer for
91 water masses and has been increasingly used in paleoceanographic studies.

92 In the tropical Atlantic, a more radiogenic ϵ_{Nd} at the AAIW depth would imply a stronger
93 AAIW influence (from the Southern Ocean) with an enhanced AAIW northward penetration, and
94 vice versa, if the end-member ϵ_{Nd} values are stable. Although the North Atlantic water mass ϵ_{Nd}
95 end-member is complicated by NADW source waters, which are distinct in ϵ_{Nd} [*van de Flierdt et*
96 *al.*, 2016], end-member ϵ_{Nd} of northern-sourced water is suggested to be stable on glacial-
97 interglacial to millennial timescales [*van de Flierdt et al.*, 2006; *Foster et al.*, 2007]. ϵ_{Nd} from the
98 southern Brazil margin at intermediate depth also shows no changes across the last deglaciation
99 [*Howe et al.*, 2016]. Furthermore, a modeling study [*Rempfer et al.*, 2012a] suggests that effect
100 of end-member ϵ_{Nd} changes are much smaller than the effect of changes in water mass
101 distribution on the millennial time scale.

102 The controversy on the relationship between the AMOC intensity and the northward
103 extent of AAIW arises in part from ϵ_{Nd} reconstructions at intermediate depths from the tropical
104 Atlantic, which show two opposite evolution behaviors: from the Last Glacial Maximum (LGM,
105 22 kyr Before Present, B.P.) to the Heinrich Stadial 1 (HS1, 17.5-14.7 kyr B.P.), ϵ_{Nd} decreases
106 (becomes less radiogenic) in some cores [*Xie et al.*, 2012; *Huang et al.*, 2014], but increases
107 (becomes more radiogenic) in some others [*Pahnke et al.*, 2008]. Understanding these opposite
108 responses is critical for understanding the response of AAIW to deglacial AMOC variability.

109 To better understand the evolution of AAIW and the opposite ϵ_{Nd} changes in different
110 tropical Atlantic records, we performed a transient ocean simulation for the last deglaciation
111 (iPOP2-TRACE) [*Zhang*, 2016] under realistic climate forcings using a Nd-enabled ocean
112 model. We find that the AAIW northward penetration in the tropical Atlantic is dominated by

113 AMOC strength but interpreting ϵ_{Nd} reconstructions is not as simple as suggested in previous
114 studies because both the AAIW core depth and the influence of radiogenic bottom water from
115 the Gulf of Mexico and the Caribbean Sea respond to variations in AMOC strength, influencing
116 ϵ_{Nd} values in the tropical Atlantic. We describe the Nd implementation and experiments in
117 section 2. We examine the deglacial AAIW evolution in our simulation and the associated
118 physical mechanism in section 3. Section 4 discusses how the inconsistency in ϵ_{Nd}
119 reconstructions can be understood in terms of the different depth and influence of the radiogenic
120 water from the Gulf of Mexico and the Caribbean Sea. Finally, we summarize our findings in
121 section 5.

122

123 **2 Methods**

124 **2.1 Nd implementation**

125 The Nd module is implemented in the ocean model (POP2) of Community Earth System
126 Model (CESM) [Hurrell *et al.*, 2013] following Rempfer *et al.*, [2011]. Nd has three sources:
127 riverine input, dust deposition and boundary source from continental margins. Dust and river
128 sources enter the ocean at the surface ocean while the boundary source enters through the
129 continental margins above 3,000m. Dust flux is prescribed using a model composite from
130 Mahowald *et al.*, [2005]. We use global mean Nd concentration of 20 ug/g in the dust [Goldstein
131 *et al.*, 1984; Grousset *et al.*, 1988, 1998] and 2% of which is released into the ocean [Greaves *et*
132 *al.*, 1994]. River discharge is taken from the coupler of the model instead of being prescribed as
133 in Rempfer *et al.*, [2011]. Nd concentration in river discharge is prescribed following Goldstein
134 and Jacobsen, [1987] and 70% of the dissolved Nd in rivers is removed in estuaries [Goldstein
135 and Jacobsen, 1987]. Nd flux from the continental margins is assumed to be a globally uniform

136 value and we use 5.5×10^9 g/yr for the global total Nd source from the continental margins
 137 [*Rempfer et al.*, 2011]. ^{143}Nd and ^{144}Nd are simulated separately as two passive tracers and the
 138 fluxes for individual ^{143}Nd and ^{144}Nd are obtained by using prescribed isotopic ratio (IR =
 139 $^{143}\text{Nd}/^{144}\text{Nd}$): IR_{dust} is prescribed following *Tachikawa et al.*, [2003] and IR_{river} and IR_{boundary} are
 140 prescribed following *Jeandel et al.*, [2007].

141 The sink of Nd in the ocean is the reversible scavenging process. It describes the
 142 adsorption of Nd onto particles (particulate organic carbon (POC), opal, calcium carbonate
 143 (CaCO_3) and dust), settling downward along with these particles and the desorption from
 144 particles due to particle dissolution. In the bottom layer in the water column, if particles still
 145 exist, the Nd associated to these particles will be removed from the ocean. The balance between
 146 the dissolved Nd ($[\text{Nd}]_d$) and the particle related Nd ($[\text{Nd}]_p$) is described by equilibrium
 147 scavenging coefficient which is also prescribed following *Rempfer et al.*, [2011]. Therefore, the
 148 conservation equation for ^{143}Nd and ^{144}Nd is as follows:

$$149 \quad \frac{\partial [\text{Nd}]_t^j}{\partial t} = S_{tot} - \frac{\partial (v \cdot [\text{Nd}]_p^j)}{\partial z} + T([\text{Nd}]_t^j) \quad (j = 143, 144)$$

150 The three terms on the right-hand side represent the total sources, the reversible scavenging, and
 151 the ocean transport, respectively. The settling velocity of particles, v , is chosen as 1000 m/yr as
 152 in *Rempfer et al.*, [2011]. Detailed description and parameterization are given in *Rempfer et al.*,
 153 [2011]. Our Nd module is not coupled with a marine biogeochemical model. We use export
 154 production of POC, opal and CaCO_3 from the biogeochemical component from Bern3D model
 155 and prescribe the remineralization profile following *Rempfer et al.* [2011]. Overall, our Nd
 156 concentration and ϵ_{Nd} capture the major features in the observations (in Section 2.2).

157 **2.2 Nd module validation**

158 Our Nd-enabled CESM can simulate the global distribution of both Nd concentration and
159 ϵ_{Nd} reasonably well under present day climate forcing. We first run a present day control
160 experiment (CTRL) forced by 1948-2007 atmospheric data from Coordinated Ocean-ice
161 Reference Experiments [*Large and Yeager, 2008*]. Nd concentrations (both ^{143}Nd and ^{144}Nd)
162 were initialized from zero. CTRL has been integrated for more than 4,000 model years until the
163 Nd inventory has reached equilibrium. The Nd global inventory in CTRL is $3.64 \times 10^{12}\text{g}$, which is
164 comparable to the observational estimates of $4.2 \times 10^{12}\text{g}$ [*Tachikawa et al., 2003*]. The mean
165 residence time is 508 years, which is in the range reported previously [*Tachikawa et al., 2003*].
166 Both simulated Nd concentration and ϵ_{Nd} in CTRL are also in reasonable agreement with a
167 compilation of available observations [*van de Flierdt et al., 2016*] (Figs.1, Fig.2 and Fig. S1) as
168 discussed below.

169 Our model can simulate 64% of the Nd concentration observational points within ± 10
170 pmol/kg (70% in *Rempfer et al., [2011]*) and 83% of the ϵ_{Nd} observational points with $\pm 3 \epsilon_{\text{Nd}}$
171 unit (83% in *Rempfer et al., [2011]*). Nd concentration in CTRL captures the general feature of
172 increasing with depth and also increasing along with the circulation pathway, consistent with
173 observations (Fig. 1B and Fig. S1). Similar to observations [*Goldstein and Hemming, 2003*], ϵ_{Nd}
174 values exhibits an inter-basin gradient as the North Pacific has the most radiogenic ϵ_{Nd} values,
175 the North Atlantic has the least radiogenic values and the Indian and Southern Oceans have
176 intermediate values (Fig. 1C and Fig.2). The linear regression coefficient between model ϵ_{Nd} and
177 observational ϵ_{Nd} is 0.67 ($r^2 = 0.7$, $N = 1699$).

178 Since our study focuses on the Atlantic basin, especially the tropical Atlantic, we show
179 several ϵ_{Nd} vertical profiles in the Atlantic (Fig.2). Overall, our model can simulate the vertical

180 structure of ϵ_{Nd} , indicating the influences of water mass from different origins. For example, the
181 zig-zag pattern in observations [*Goldstein and Hemming, 2003*] are successfully simulated in our
182 model (Fig.2 profile 9 and 10), as AAIW and Antarctic Bottom Water (AABW) carry radiogenic
183 ϵ_{Nd} northward and NADW carries unradiogenic ϵ_{Nd} southward. In particular, our model
184 successfully captures the relative magnitude among different water masses, suggesting it can be
185 used to study the relative changes of different water masses during the deglaciation. Another
186 important feature is that our model is able to simulate the very radiogenic water from the
187 Caribbean Sea (Fig. 2 profile 7) [*Osborne et al., 2014*]. This turns out to be an important water
188 mass that is the source of some of the discrepancies in the ϵ_{Nd} reconstructions, as will be
189 discussed later in Section 4.

190 In spite of the overall agreement of the model simulation and the observations, there are
191 also some deficiencies in the model. The Nd concentration at shallow depth is lower in the model
192 than in observations and the vertical gradient is larger in the model than the observations (Fig.1B
193 and D, Fig.S1), as in the case of *Rempfer et al., [2011]*. These deficiencies in simulating surface
194 Nd is due partly to our choice of model parameters that optimize ϵ_{Nd} instead of Nd, as in
195 *Rempfer et al., [2011]*. With extensive sensitivity experiments, *Rempfer et al., [2011]* shows that
196 it is impossible to optimize the simulation for both Nd concentration and ϵ_{Nd} simultaneously.
197 They chose the parameters that yield the best ϵ_{Nd} simulation, since ϵ_{Nd} is the proxy used for
198 reconstructing past circulations. These parameter values are also used in our model setting.
199 Overall, our model can simulate the major ϵ_{Nd} features of the main water masses over both global
200 scale and local scale of the tropical Atlantic and therefore should help us interpret ϵ_{Nd}
201 reconstructions in the tropical Atlantic in the past.

202

203 **2.3 Transient deglacial simulation**

204 The transient simulation (iPOP2-TRACE) is carried out using Nd-enabled ocean-alone
205 model CESM-POP2 to simulate the global ocean evolution from the LGM (21ka) to the late
206 Bølling-Allerød Interstadial (13ka) under realistic surface forcings. The model was first spun up
207 under LGM condition and then integrated to the present under surface climate forcing taken from
208 a transient simulation in a fully coupled climate model (TRACE21k, using CCSM3), which
209 reproduced many features in last deglaciation [*Liu et al.*, 2009; *He*, 2011]. The horizontal
210 resolution is nominally 3° and it has 60 vertical layers with a 10-m resolution in the upper 200m,
211 increasing to 250m below 3000m. Detailed experiment descriptions are described in *Zhang*,
212 [2016].

213 We keep Nd sources and ϵ_{Nd} in Nd sources unchanged during the deglacial simulation
214 iPOP2-TRACE. Surface dust flux and origin [*Grousset et al.*, 1998; *Wolff et al.*, 2006; *Lupker et*
215 *al.*, 2010] and river runoff magnitude and origin [*Harris and Mix*, 1999; *Burton and Vance*,
216 2000; *Nurnberg and Tiedemann*, 2004; *Lézine et al.*, 2005; *Stoll et al.*, 2007; *Rincon-Martinez et*
217 *al.*, 2010] were reported to be changing throughout time. Boundary source of Nd is not well
218 constrained [*Amakawa et al.*, 2000; *Johannesson and Burdige*, 2007; *Rickli et al.*, 2010],
219 therefore it is hard to estimate the change in the past, although it is highly likely to happen due to
220 changes in different processes such as groundwater discharge [*Zektser and Loaiciga*, 1993;
221 *Johannesson and Burdige*, 2007] and continental erosion [*Tütken et al.*, 2002]. Results from a
222 modeling study suggest that changes in the sources are unlikely to be important, as the
223 magnitude of the reconstructed glacial-deglacial ϵ_{Nd} variations is hard to obtain by only changing
224 the Nd sources and/or ϵ_{Nd} in Nd sources [*Rempfer et al.*, 2012b]. We also keep the particle fields
225 as the present, with no change throughout the simulation. This choice, although is not very

226 realistic [Kohfeld *et al.*, 2005], is limited by our model capability which is not fully coupled with
227 a marine ecosystem model. This limitation will be addressed in a future study when an active
228 marine ecosystem model is enabled. Here, our simplified model has the advantage that the
229 change of the ocean circulation is the only factor that affects ϵ_{Nd} distribution, enabling us to
230 focus on the influence of ocean circulation.

231 **3 Coherent AAIW response and AMOC strength**

232 **3.1 Reduced AAIW northward penetration but increased depth and thickness of AAIW** 233 **water mass during weaker AMOC**

234 In the modern ocean, AAIW can be identified by a low salinity (or radiogenic ϵ_{Nd}) tongue
235 originating from the subantarctic surface ocean extending northward at the intermediate depth
236 [Talley, 1996] (Fig. 3). Here, consistent with convention, we define σ_{AAIW} as the potential
237 density at the salinity minimum point in the South Atlantic mean potential temperature-salinity
238 (θ -S) diagrams. For convenience, the AAIW depth is defined as the zonal mean depth of σ_{AAIW} at
239 the equatorial Atlantic. The AAIW ϵ_{Nd} is defined as the zonal mean ϵ_{Nd} value at σ_{AAIW} (or AAIW
240 depth) at the equatorial Atlantic. The σ_{AAIW} in CTRL is 27.36 kg/m³, which is comparable to the
241 observation value of 27.3 kg/m³ [Talley, 1996]. The isopycnal line of σ_{AAIW} is also consistent
242 with the low salinity and the high ϵ_{Nd} tongue in the Atlantic (Fig. 3, green line), suggesting that
243 this is a good approximation for the location of AAIW core layer. The AAIW depth in CTRL is
244 778 meters, which is also in the range of modern observations [Talley, 1996].

245 iPOP2-TRACE simulates the key oceanic changes during the last deglaciation. The
246 simulated AMOC collapses during HS1 in response to freshwater forcing in the North Atlantic
247 and then recovers rapidly in the Bølling-Allerød warming (BA, ~14.5 kyr B.P.) (Fig. 4B, black),

248 consistent with $^{231}\text{Pa}/^{230}\text{Th}$ records from Bermuda Rise [*McManus et al.*, 2004](Fig. 4B, green)
249 and the original coupled model simulation [*Liu et al.*, 2009].

250 In iPOP2-TRACE, the northward penetration of AAIW in the Atlantic is closely linked to
251 the change of AMOC. During LGM and HS1, σ_{AAIW} surface also tends to follow the low salinity,
252 or the radiogenic ϵ_{Nd} , tongue of AAIW (green lines in Figs. 5C and D), as in CTRL. To better
253 quantify the northward penetration of AAIW in the Atlantic, we estimate the AAIW northward
254 penetration latitude using Atlantic zonal mean ϵ_{Nd} : we first calculate the maximum ϵ_{Nd} value in
255 the South Atlantic above 1,200 meters, then we find the latitude that ϵ_{Nd} value of 1.3 ϵ_{Nd} unit less
256 than the maximum can reach above 1,200 meters. The AAIW northward extent varies over an
257 approximately 15° latitude range during the deglaciation (Fig. 4C blue dots), with a high positive
258 correlation with the AMOC intensity (Fig. 4B black). AAIW in the Atlantic reaches 2°N during
259 the LGM, and withdraws southward after 19ka, when the AMOC starts to decrease in response
260 to the meltwater input in the North Atlantic. By late HS1, the AAIW retreats to its southernmost
261 latitude of 17°S , followed by a rapid intrusion during the BA to 1°N , in response to the AMOC
262 recovery. This HS1 southward retreat of the AAIW tongue is also obvious in the Atlantic zonal
263 mean salinity or ϵ_{Nd} (Fig. 5 C and D) and the horizontal distribution of ϵ_{Nd} at σ_{AAIW} surface (Fig.
264 5E and F).

265 Physically, the change of latitudinal extent is also consistent with that of the cross-
266 equator transport of the AAIW (Fig. 4B red), which is defined as the northward transport
267 between the isopycnal surfaces of $\sigma_{\text{AAIW}}\pm 0.5$, and more generally, the subsurface component of
268 the NBC, in the model. The AAIW transport is reduced during the HS1 and increased again
269 during the BA, also following the AMOC [*Nace et al.*, 2014]. This result is insensitive to the

270 choice of density interval (d), between $\sigma_{AAIW} - d$ and $\sigma_{AAIW} + d$, because similar results are
271 produced with density intervals (d) ranging from 0.1 to 0.4 (Fig. S2).

272 The equatorial Atlantic ϵ_{Nd} at the AAIW depth (AAIW ϵ_{Nd}) also varies closely with the
273 AAIW northward penetration, as hypothesized in previous ϵ_{Nd} reconstructions [*Pahnke et al.*,
274 2008; *Xie et al.*, 2012; *Huang et al.*, 2014]. Our model shows an almost linear relationship
275 between the equatorial AAIW ϵ_{Nd} (Fig. 4D solid black, which follows σ_{AAIW} and varies with
276 depth) and the northward penetration latitude of AAIW (Fig. 4C navy dot), with decreased ϵ_{Nd}
277 during HS1 and its subsequent increase during BA corresponding to the southward withdraw and
278 the subsequent northward re-advance in the penetration latitude, respectively. In the model, we
279 calculate the ϵ_{Nd} of the AAIW southern end-member, which is the average ϵ_{Nd} in the AAIW
280 production region. It remains unchanged at -8.3 during the deglaciation prior to BA and shifts
281 abruptly to -9.1 during BA due to the quick AMOC recovery during BA, which brings
282 unradiogenic ϵ_{Nd} water from the North Atlantic to the Southern Ocean. The evolution of the ϵ_{Nd}
283 difference between the equatorial Atlantic and its southern end-member (Fig. 4D, red) is similar
284 to the evolution of the ϵ_{Nd} in the equatorial Atlantic (Fig. 4D, solid black). Therefore, ϵ_{Nd} in the
285 equatorial Atlantic at AAIW depth can indeed be used as an indicator for AAIW northward
286 penetration in the Atlantic.

287 Another important feature of AAIW is that its depth changes significantly during the last
288 deglaciation in iPOP2-TRACE. The AAIW depth is also closely linked to the AMOC evolution,
289 deepening from around 230-m during LGM to around 670-m during HS1, shoaling back to 240-
290 m during BA (Fig.4C red) and deepening again slowly to ~530-m in the Holocene (Fig. 4C
291 triangle on right Y axis), which is consistent with the present day observation [*Talley*, 1996].
292 This deepening of AAIW from LGM to HS1 has been illustrated in previous modeling studies

293 [e.g. *Vallis, 2000; Wolfe and Cessi, 2010*]. When the surface density in the source region of
294 NADW is between the surface density in the source region of AAIW and AABW ($\sigma_{AAIW} <$
295 $\sigma_{NADW} < \sigma_{AABW}$), which is the case during LGM in our simulation (Fig. 4A), NADW fills the
296 mid-depth and AAIW is shallow and partially entrained in the main thermocline. However,
297 when the surface density in the source region of NADW is less than AAIW, which is the case
298 during HS1 in our simulation, as no NADW is produced due to the melt water input to the North
299 Atlantic (Fig. 4A), AAIW fills the middepth between abyssal and main thermocline. Therefore,
300 AAIW becomes deeper and thicker during HS1. In addition, this magnitude of deepening of
301 middepth water during HS1 has also been suggested by the deglacial atmospheric radiocarbon
302 decline [*Hain et al., 2014*]. Finally, the Holocene deepening compared with the glacial period
303 may be caused partly by the sea ice retreat in the Southern Ocean [*Ferrari et al., 2014*].

304 The depth change of AAIW core layer may also contribute to ϵ_{Nd} change at a fixed depth.
305 As the AAIW deepens, any site above (below) AAIW core layer would experience a less (more)
306 radiogenic ϵ_{Nd} shift, which may complicate the interpretation of ϵ_{Nd} evolution as AAIW
307 northward penetration. However, the ϵ_{Nd} in the western boundary of equatorial Atlantic shows a
308 change of about 1 unit ϵ_{Nd} change from the LGM to the HS1 at a fixed intermediate depth of
309 1000m (Fig. 4D black dash) (similar at 500m and 800m, not shown), and this change at fixed
310 depth is comparable with the ϵ_{Nd} change at the AAIW core depth that changes with time (Fig.4D
311 black solid). Therefore, the ϵ_{Nd} change from the tropical Atlantic is dominated by the change in
312 the AAIW northward penetration change rather than AAIW depth change.

313 Overall, our model shows a coherent response between the AMOC intensity and the
314 AAIW northward penetration latitude, northward transport, AAIW ϵ_{Nd} value and AAIW depth in
315 iPOP2-TRACE. These relationships are robust in the model and have been reproduced in several

316 idealized hosing experiments (Fig. S4 and S5). Our simulation is also consistent with a climate
317 model of intermediate complexity [*Rempfer et al.*, 2012a] (their Figure 12a), where the zonal-
318 mean ϵ_{Nd} becomes more radiogenic with a maximum increases of 4 ϵ_{Nd} units in the upper 1,200
319 meters of the equatorial Atlantic and decreases at greater depths for a transitions from an
320 NADW-on state to an NADW-off state.

321

322 **3.2 Mechanism**

323 How does a weaker AMOC reduce the AAIW northward penetration in the Atlantic?
324 Intuitively, one might think the AAIW northward penetration of AAIW is determined mainly by
325 its production rate: a larger AAIW production rate would favor a stronger northward penetration
326 towards the North Atlantic. This is not the case in iPOP2-TRACE: AAIW northward penetration
327 is not controlled by upstream AAIW production. We compare the AAIW subduction rate, which
328 is the subduction across the base of the ocean mixed layer in the South Atlantic AAIW formation
329 region [*Goes et al.*, 2008]. The AAIW subduction rate is 4.6 Sv during LGM and 6.0 Sv during
330 HS1 in iPOP2-TRACE, indicating the upstream AAIW production during HS1 is not lower but
331 even higher. This stronger HS1 AAIW production rate during HS1 also occurs in the fully
332 coupled experiment TRACE21k, which shows a subduction rate of 16 Sv during LGM (
333 consistent with *Wainer et al.*, [2012]) and 19 Sv during HS1, although the overall magnitudes of
334 the subduction rate are different. The relatively smaller magnitude of AAIW subduction in the
335 ocean-alone simulation (iPOP2-TRACE) than in the fully coupled simulation (TRACE21k) is
336 because the AAIW subduction rate depends on the mixed layer depth, which is much smaller in
337 iPOP2-TRACE than in TRACE21k, probably because that iPOP2-TRACE is forced by monthly
338 atmospheric forcings, in which the high frequency signals are filtered out. Regardless of these

339 differences, the results from both simulations indicate that the retreat of AAIW northward
340 penetration during HS1 cannot be caused by AAIW formation in the Southern Ocean.

341 Since the meltwater flux to the North Atlantic can reverse the density contrast between
342 AAIW and NADW such that AAIW becomes heavier than NADW, it could encourage the
343 northward penetration of AAIW and the southward compensating flow from the North Atlantic
344 above AAIW, forming a reversed counterclockwise shallow overturning cell that circulates in the
345 opposite direction to the modern AMOC [*Keeling and Stephens, 2001; Saenko et al., 2003;*
346 *Weaver et al., 2003*]. In our model, the higher surface density in the NADW formation region
347 during LGM ($\sigma_{\text{NADW}}=28.5 \text{ kg/m}^3 > \sigma_{\text{AAIW}}=28.2 \text{ kg/m}^3$) is indeed reduced to lower than that of
348 AAIW during HS1 ($\sigma_{\text{AAIW}}=28.0 \text{ kg/m}^3 > \sigma_{\text{NADW}}=26.8 \text{ kg/m}^3$) (Fig. 4A). However, no reversed
349 AAIW cell is generated (Fig. 5B). The detailed mechanism of the reversed AAIW cell remains to
350 be fully understood in future studies. Here, we note that, during LGM, the AAIW lies above
351 NADW, contributing to the return flow of NADW as in modern observation [*Lumpkin and*
352 *Speer, 2003*]; in response to the freshwater input during HS1, the southward export of NADW at
353 depth collapses, which then reduces the compensating flow in the upper ocean, including AAIW.
354 As such, the AAIW retreats to south of the equator during HS1 (Fig. 5 B, D and F). This
355 response is consistent with the present day observational [*Zhang et al., 2011*] and modeling
356 studies of the multi-decadal variability of the NBC, which is found to be determined
357 predominantly by the changes of the AMOC and NADW formation [*Rühs and Getzlaff, 2015*].

358 Our study suggests a remote dynamical control on the AAIW northward penetration from
359 the North Atlantic, as opposed to a local control of AAIW production and transport from the
360 Southern Ocean. Typically, the AAIW is transported northward first through the southern
361 subtropical gyre circulation and then across the equator by the western boundary current, as in

362 modern observations [*Schmid et al.*, 2000]. During the LGM, the AAIW flows northwestward to
363 $\sim 20^{\circ}\text{S}$ in a broad interior pathway, following the counterclockwise subtropical gyre in the South
364 Atlantic at intermediate depth (Fig. 6A); most of the AAIW water, however, recirculates back
365 through the southward Brazil Current along the western boundary (Fig. 6B). A small residual of
366 AAIW advances beyond 20°S northward along the western boundary into the tropical Atlantic;
367 this part of AAIW then crosses the equator as a part of the subsurface component of the NBC
368 along the western boundary, generating a low salinity/high ϵ_{Nd} tongue there. The AAIW
369 penetrates across the equator only in the western boundary current because the cross-equator
370 penetration is largely prohibited in the interior ocean due to the conservation of potential
371 vorticity [*McCreary and Lu*, 2001]. During HS1, there is little AAIW transported across the
372 equator (Fig. 6D), confining the low salinity/high ϵ_{Nd} tongue south of the equator (Fig. 5D).
373 Upstream in the subantarctic South Atlantic, however, the northward transport of AAIW is
374 actually increased relative to the LGM (Figs. 6B and 6D); this increased AAIW transport,
375 however, is returned southward almost entirely in the Brazil Current, leaving little AAIW
376 penetrating into the equatorial Atlantic (Fig. 6D). Thus, the deglacial evolution of the AAIW
377 penetration to the tropical Atlantic appears to be determined predominantly by the remote
378 processes in the North Atlantic, rather than by the local forcing in the South Atlantic subantarctic
379 region. This remote control of AAIW in the Atlantic is similar to that in the Pacific, where the
380 cross-equator penetration of AAIW is caused predominantly by the opening of the Indonesia
381 Throughflow, rather than the climate forcing in the South Pacific subantarctic region [*McCreary*
382 *and Lu*, 2001]. We also did an idealized hosing experiment (not shown), in which constant fresh
383 water forcing of 1Sv is added to North Atlantic for the first 100 years and then removed. It

384 shows similar equatorial ϵ_{Nd} response as in iPOP2-TRACE and ϵ_{Nd} lags AMOC change for 30-40
385 years.

386

387 **4 Reconciling ϵ_{Nd} reconstructions controversy with core depth**

388 As noted above, available tropical ϵ_{Nd} reconstructions show contradictory ϵ_{Nd} evolutions
389 across the last deglaciation. The ϵ_{Nd} reconstruction from the Tobago Basin (MD99-2198,
390 12.09°N, 61.23°W, 1330m) [Pahnke *et al.*, 2008] shows an increase (becomes more radiogenic)
391 during the HS1 (Fig. 4F), which was interpreted as enhanced northward advection of AAIW.
392 However, ϵ_{Nd} records from the Florida Strait (KNR166-2-26JPC, 24°19.62'N, 83°15.14'W,
393 546m) [Xie *et al.*, 2012] (Fig. S3C) and the Demerara Rise (KNR197-3-46CDH, 7.836°N,
394 53.663°W, 947m) [Huang *et al.*, 2014] (Fig. 4E) show decreases (become less radiogenic)
395 during the HS1, and were interpreted to indicate decreased penetration of AAIW into tropical
396 North Atlantic. The controversy may be due to deficiencies of each data site. On the one hand, it
397 was argued that MD99-2198 lies beneath the modern AAIW depth range and fails to record the
398 AAIW northward penetration signals [Xie *et al.*, 2012]. On the other hand, present day
399 hydrographic data from the Gulf of Mexico shows much warmer and saltier water mass than
400 AAIW, suggesting that if any AAIW has arrived at this site, it has already been modified by
401 other water masses. Therefore, site KNR166-2-26JPC from the Florida Strait has been suggested
402 not ideally situated to record the deglacial AAIW changes [Pena *et al.*, 2013; Osborne *et al.*,
403 2014].

404 Our model reproduces the ϵ_{Nd} evolutions at different sites from intermediate depth. The
405 ϵ_{Nd} from the Demerara Rise (~950m) (Fig. 4E and S3 A, B) and from the Florida Strait (~540m)

406 (Fig.S3 C) exhibit less radiogenic excursion during HS1, while ϵ_{Nd} from the Tobago Basin
407 (~1330m) shows a more radiogenic shift during HS1 (Fig. 4F). Our model is able to simulate the
408 diverse ϵ_{Nd} evolutions consistent with the reconstructions at these three tropical North Atlantic
409 sites and suggest that the opposite ϵ_{Nd} evolutions at these locations are physically consistent with
410 a common deglacial ocean circulation change. The interpretation, however, is more complex
411 than suggested in previous studies because it involves both the change of the AAIW depth and
412 the radiogenic water from the Gulf of Mexico and the Caribbean Sea, as discussed below.

413 Our model simulation shows that the less radiogenic shift of ϵ_{Nd} from the Florida Strait
414 site (KNR166-2-26JPC) during HS1 [Xie *et al.*, 2012] is due to the reduced influence of the
415 radiogenic water from the bottom in the Gulf of Mexico and the Caribbean Sea. Deep water from
416 the Gulf of Mexico and the Caribbean Sea features very radiogenic ϵ_{Nd} sources from boundary
417 exchange as discussed in Section 2.2 [Jeandel *et al.*, 2007; Osborne *et al.*, 2014]. During LGM,
418 active AMOC drives strong upwelling in this region (Fig. 7A black contour), which, in turn,
419 influences the shallow layers with very radiogenic ϵ_{Nd} water in this region and the nearby open
420 ocean in the subtropical North Atlantic. The influence of this regional radiogenic ϵ_{Nd} source can
421 also be seen in the Atlantic zonal mean ϵ_{Nd} as a high ϵ_{Nd} center located at 600m-900m from 20°N
422 to 40°N (Fig. 5C) (also in Fig. 3 in modern CTRL). During HS1, however, this radiogenic ϵ_{Nd}
423 bottom water is trapped in the bottom locally because of reduced upwelling (Fig. 7A black
424 contour). This leads to a great reduction in the transport of radiogenic ϵ_{Nd} water from bottom to
425 shallow layers and therefore, a unradiogenic ϵ_{Nd} shift in the upper 1,500 m in the Gulf of Mexico
426 and the Caribbean Sea (Fig. 7 A color contour) and, eventually, in the upper 1,000 m in
427 subtropical North Atlantic as there is no more a radiogenic ϵ_{Nd} center in subtropical North
428 Atlantic in the zonal mean ϵ_{Nd} (Fig. 5D). Furthermore, the ϵ_{Nd} from the Florida Strait site is

429 dominated by radiogenic horizontal advection (Fig. S7 A) by an eastward flow from the Gulf of
430 Mexico (Fig. S7 B). ϵ_{Nd} at this site experiences an unradiogenic shift during HS1 because with
431 reduced input of deep radiogenic waters, the upper ocean in the Gulf of Mexico becomes less
432 radiogenic and at the same time, the eastward flow also becomes weaker (Fig. S7 B). Thus, ϵ_{Nd}
433 variations in the Florida Strait are not due to variations in AAIW as previously suggested [Xie *et*
434 *al.*, 2012]. Overall, the relationship between the weakened AMOC and the weakened influence
435 from the regional radiogenic ϵ_{Nd} influence from the Gulf of Mexico and the Caribbean Sea is
436 also robust in our idealized hosing experiment (Fig. S5 C and D), although detailed dynamics
437 that relates the weakened AMOC and the reduced upwelling in the Gulf of Mexico and
438 Caribbean Sea remains to be further studied.

439 Our model simulation further suggests that the opposite ϵ_{Nd} behaviors at two nearby sites
440 from the Demerara Rise and the Tobago Basin discussed above are caused by the different
441 depths of the sediment cores as well as the influence of radiogenic ϵ_{Nd} water from the Caribbean
442 Sea. Both locations experience similar ϵ_{Nd} change in the upper 2,000m (Fig. 7 C and D). During
443 the LGM, the Demerara Rise site is located in the lower limb of AMOC (as shown in southward
444 meridional velocity in Fig. 8A and 9C), with water transported from the subtropical North
445 Atlantic and the Caribbean Sea. Starting from 19ka, AMOC begins to decrease in response to the
446 fresh water forcing applied to the North Atlantic, ϵ_{Nd} in the subtropical North Atlantic becomes
447 less radiogenic due to the reduced influence of the radiogenic source water from the bottom of
448 the Gulf of Mexico and the Caribbean Sea as discussed above. In the meantime, the meridional
449 velocity also begins to decrease (Fig. 9C), leading to a decrease in the radiogenic ϵ_{Nd} advection
450 term (Fig. 9A). During HS1, the flow is almost stagnant (Fig. 9C) and all the ϵ_{Nd} tendency terms
451 are greatly reduced compared with LGM (Fig. 9A). Therefore, the less radiogenic shift in ϵ_{Nd}

452 during HS1 from the Demerara Rise is due to the reduced influence of radiogenic water from
453 bottom of the Gulf of Mexico and the Caribbean Sea as well as the reduced southward flow,
454 instead of the retreat of northward advection of AAIW suggested in *Huang et al.*, [2014].

455 The Tobago Basin site is about 400 meters deeper than the Demerara Rise site and is
456 mainly influenced by the NADW from the north, which features unradiogenic ϵ_{Nd} values.
457 Although NADW ϵ_{Nd} is complicated by distinct west and east NADW source waters [van de
458 Flierdt et al., 2016], in our simulation, changes in the relative contribution from west versus east
459 NADW formation does not have much influence on the NADW ϵ_{Nd} value (SI. text 2), which is
460 consistent with the finding that the influence of the endmember ϵ_{Nd} change is rather small
461 compared with ϵ_{Nd} changes due to changes in watermass distribution [*Rempfer et al.*, 2012a].
462 During LGM, strong southward western boundary current contributes to the unradiogenic ϵ_{Nd}
463 advectations at the Tobago Basin site (Fig. 8B and Fig. 9B). When AMOC collapsed during HS1,
464 this unradiogenic ϵ_{Nd} advection of NADW is also reduced (Fig. 9B and D), which then
465 contributes to the more radiogenic shift of ϵ_{Nd} during HS1 as in the ϵ_{Nd} reconstruction. In
466 addition, circulation change in the Caribbean Sea also contributes to the more radiogenic ϵ_{Nd}
467 shift in the Tobago Basin during HS1. During LGM, flow at the location where the Caribbean
468 Sea connects with the Atlantic (12°N, 75°W, 1330m) is westward and therefore leads to a less
469 radiogenic ϵ_{Nd} advection into the the Caribbean Sea (Fig. 8B and Fig. S6A). During HS1,
470 however, the westward flow is changed to eastward flow out of the Caribbean Sea, because of
471 the reduced deep west boundary current (Fig. 8D and Fig. S6B). This eastward flow out of the
472 Caribbean Sea transports radiogenic ϵ_{Nd} water from the Caribbean Sea out to influences the
473 Tobago Basin site. Therefore, the more radiogenic ϵ_{Nd} shift during HS1 in Tobago Basin site is
474 caused by both the retreat of the unradiogenic ϵ_{Nd} NADW and the leak of radiogenic ϵ_{Nd} water

475 from the Caribbean Sea. Again, variations in the northward extent of AAIW did not control the
476 ϵ_{Nd} evolution in this Tobago Basin site, contrary to what was suggested previously [*Pahnke et*
477 *al.*, 2008].

478 The discussion above suggests that deglacial ϵ_{Nd} in the low latitude North Atlantic at the
479 depth of modern AAIW can be complicated by the radiogenic ϵ_{Nd} end-member from the Gulf of
480 Mexico and the Caribbean Sea. From LGM to HS1, our model ϵ_{Nd} exhibits an unradiogenic shift
481 above around 1,100-m and a more radiogenic shift from 1,100-m to 2,000-m at both the
482 Demerara Rise and the Tobago Basin (Fig.7 C and D), consistent with the respective proxy
483 records. Above 1,100-m, low latitude North Atlantic ϵ_{Nd} can be influenced by both southern
484 sourced water of AAIW in the upper layers and northern sourced water from the Caribbean Sea,
485 both of which become weaker and lead to an unradiogenic shift of ϵ_{Nd} when AMOC strength is
486 reduced. Below 1,100-m, water is influenced mainly by the NADW as well as water from the
487 Caribbean Sea. The retreat of NADW and the advance of the Caribbean Sea water both lead to a
488 radiogenic shift of ϵ_{Nd} during reduced AMOC. Therefore, radiogenic ϵ_{Nd} water from the Gulf of
489 Mexico and the Caribbean Sea provides effectively the third ϵ_{Nd} end-member in addition to the
490 radiogenic ϵ_{Nd} south sourced AAIW and unradiogenic ϵ_{Nd} north sourced water. This third source
491 should be taken into consideration when interpreting ϵ_{Nd} reconstructions from low latitude North
492 Atlantic at modern intermediate depth.

493 It should also be pointed out that the interpretation of the deglacial ϵ_{Nd} records from the
494 tropical Atlantic can also be complicated by the changing depth of the AAIW during the
495 deglaciation. Our model shows a much shallower AAIW during LGM than the present day (Fig.
496 4C). Sites located at modern AAIW depth may not be influenced by AAIW in the past. In
497 iPOP2-TRACE, in the western boundary of equatorial Atlantic, for the upper 900 meters, flow is

498 northward which contributes to a radiogenic ϵ_{Nd} advection, indicating an AAIW influence.
499 Therefore, we suggest that ϵ_{Nd} reconstructions shallower than 900 meters from equatorial and
500 tropical Atlantic are more suitable to reconstruct past AAIW northward penetration change. The
501 complicated mechanisms controlling ϵ_{Nd} reconstruction at different sites from the tropical North
502 Atlantic, however, also indicates that more reconstructions from different locations and depths
503 are needed to infer past circulation changes as suggested by *van de Flierdt et al.*, [2016].

504

505 **5 Conclusions**

506 Overall, our transient Nd-enabled ocean model simulation suggests a coherent AAIW
507 response to the change of AMOC strength. The northward AAIW penetration in the tropical
508 Atlantic is determined predominantly by the AMOC intensity or climate in the high latitude of
509 the North Atlantic remotely, with a stronger AMOC enhancing AAIW northward penetration
510 (Fig. 10 A and B). In addition, AAIW water mass sinks to a greater depth and dominates a wider
511 water depth range in response to the freshening of NADW. Our results suggest that AAIW is a
512 critical part of the return flow of the southward flowing NADW and, in turn, the global
513 thermohaline circulation, and therefore can contribute significantly to the global climate change.
514 Also, monitoring changes of AAIW can contribute to our understanding of climate changes in
515 the past and help future projections.

516 During HS1, the reduced AMOC strength is caused by fresh water forcing in the North
517 Atlantic. Under this North Atlantic buoyancy forcing scenario, we find that AAIW becomes
518 deeper when AMOC is weaker. *Toggweiler and Samuels*, [1995] suggests that NADW formation
519 in the North Atlantic is also controlled by wind forcing in the Southern Ocean: weaker winds

520 over Drake Passage will lead to weaker NADW formation. Interestingly, the pycnocline depth
521 becomes shallower under weaker Southern Ocean wind forcing. This relationship between
522 pycnocline and AMOC strength under Southern Ocean wind forcing is opposite to our finding
523 under North Atlantic buoyancy forcing. Therefore, the response of the circulation at middepth to
524 the forcings from the North Atlantic and the Southern Ocean needs to be further studied.

525 In addition, ϵ_{Nd} reconstructions from the tropical and subtropical North Atlantic from
526 within and near modern AAIW depths do not inform us about northward AAIW extent as
527 previously assumed. Our simulation reproduces the contrasting deglacial ϵ_{Nd} evolutions at three
528 intermediate-depth sites in the tropical North Atlantic. The inconsistency among reconstructions
529 relates to the individual site locations and depths. With the AAIW depth changing in the past,
530 core sites bathed by AAIW in present day, such as the Demerara Rise site, may not be influenced
531 by AAIW in the past. In addition, our results point out the importance the radiogenic ϵ_{Nd} water
532 from the Gulf of Mexico and the Caribbean Sea as the third end-member for regulating ϵ_{Nd}
533 values at intermediate depth in tropical North Atlantic, which complicates the interpretation of
534 ϵ_{Nd} reconstruction in the tropical North Atlantic. During the AMOC-on state (LGM), upwelling
535 in the Gulf of Mexico and the Caribbean Sea brings very radiogenic water from the bottom to
536 shallow depth, influencing the upper 1,000 m of the tropical and subtropical Atlantic (Fig. 10 C).
537 During the AMOC-off state (HS1), this upwelling is greatly reduced and the upper 1,000 m
538 subtropical and tropical Atlantic ϵ_{Nd} experience an unradiogenic shift (Fig. 10 D), which,
539 combined with a weak deep western boundary current, lead to the unradiogenic shift in
540 reconstruction of the Demerara Rise site (Fig. 10C and D). The radiogenic shift in the
541 reconstruction of the Tobago Basin site during HS1 is due to the reduced deep western boundary
542 current as well as leakage of radiogenic water from the Caribbean Sea (Fig. 10E and F).

543 Therefore, we cannot interpret ϵ_{Nd} reconstructions from the tropical Atlantic within and near
544 modern AAIW depth without taking the influence of radiogenic water from the Gulf of Mexico
545 and the Caribbean Sea into consideration. Eventually, more reconstructions from different depths
546 and latitudes, and comparison of these records to simulations using Nd-enabled models, will help
547 to improve our understanding of past circulation.

548

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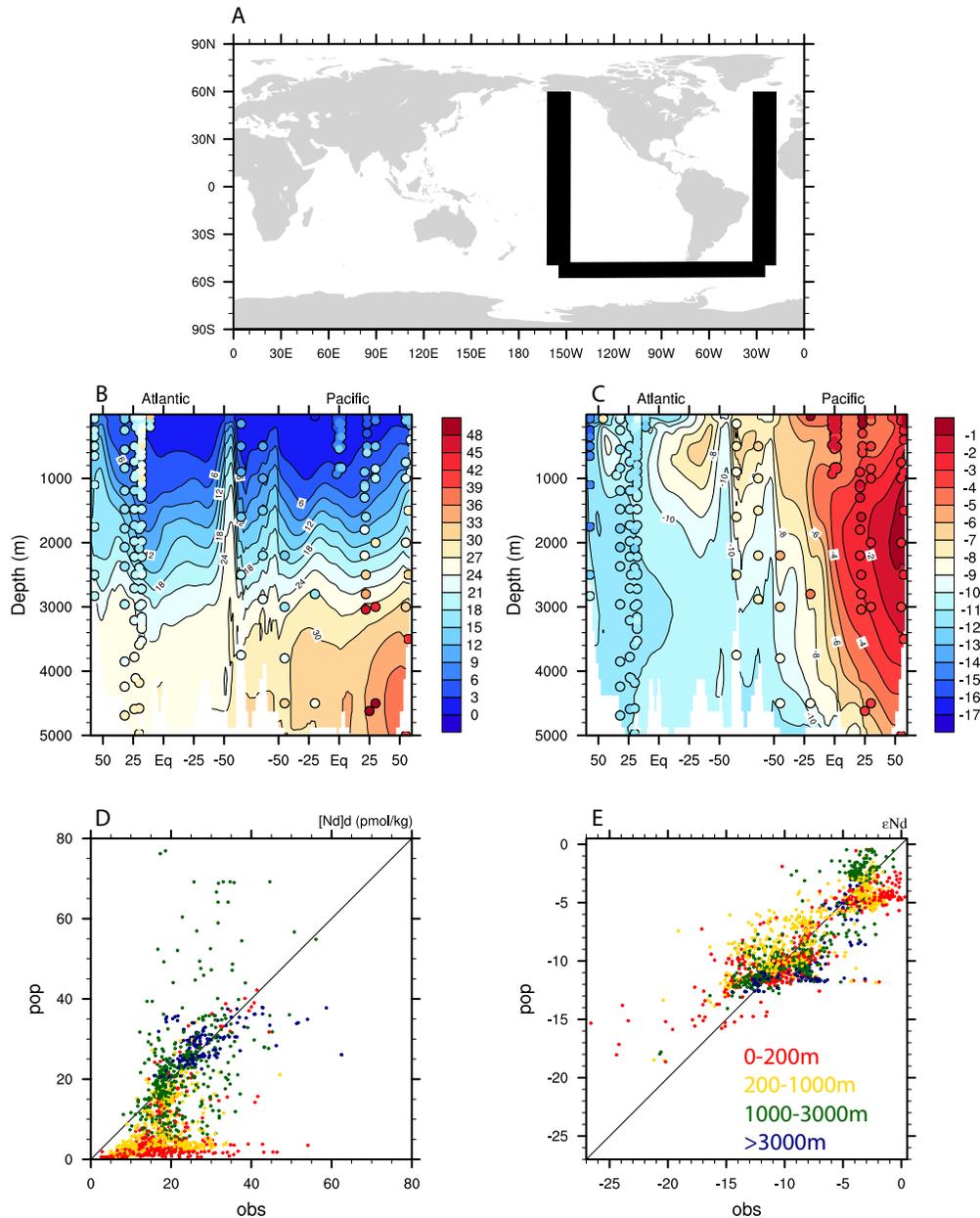
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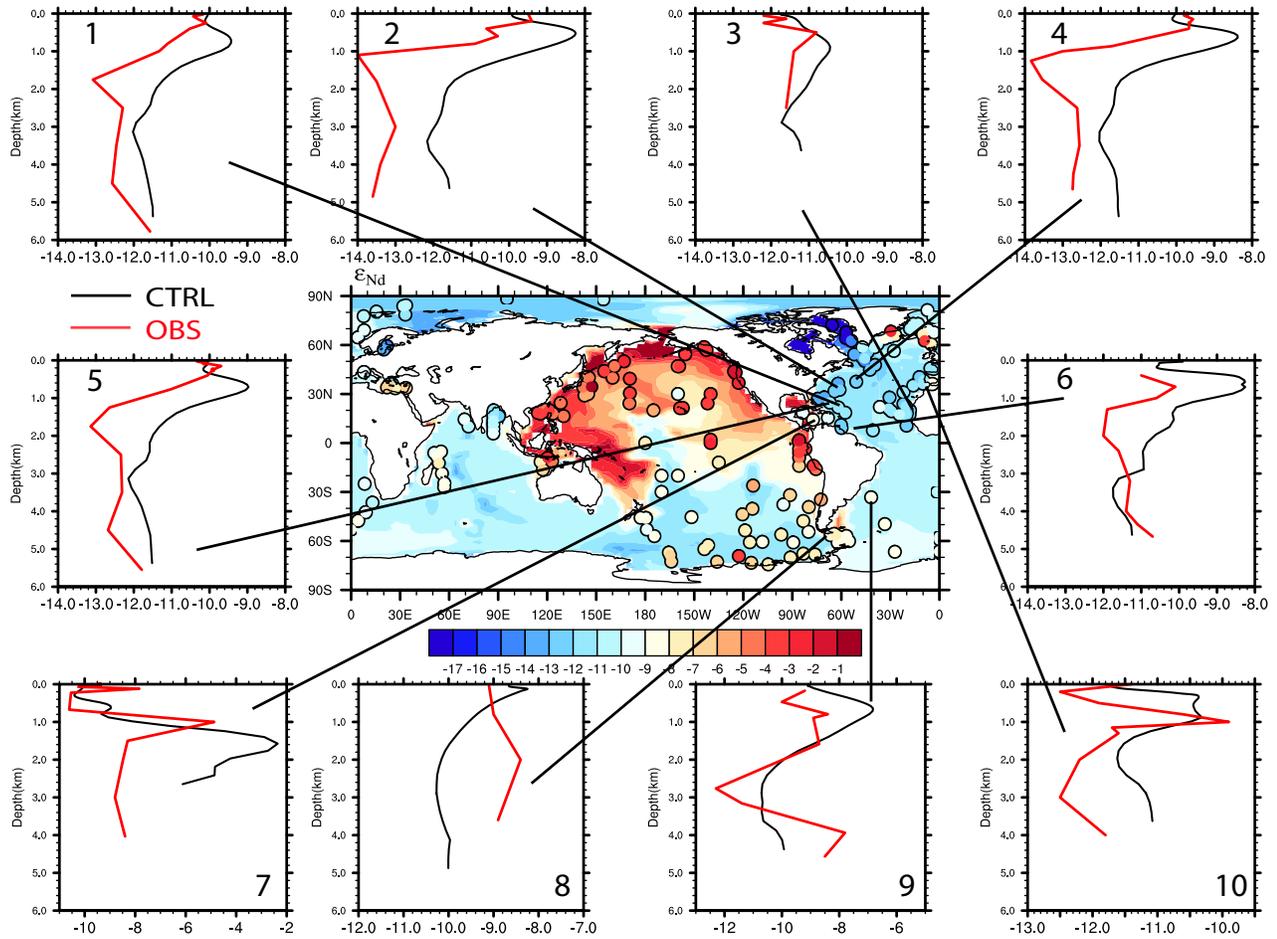
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- 770 Figures



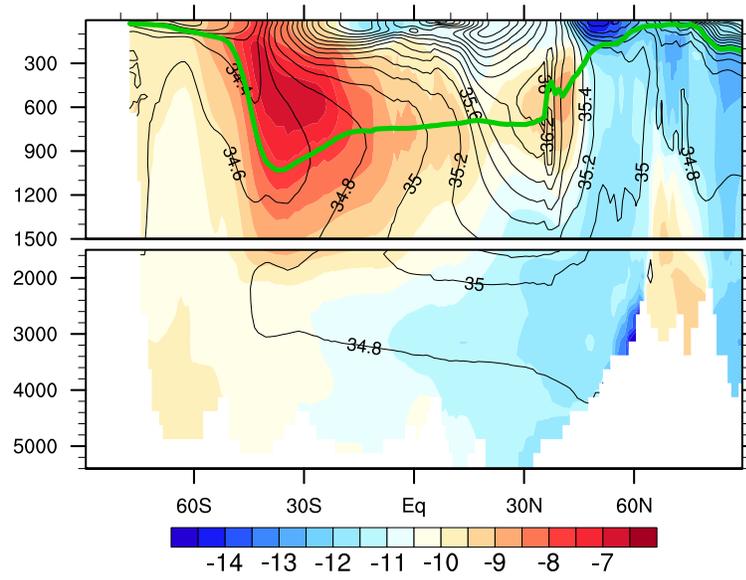
771
 772 Figure.1 Model-data comparison of Nd concentration and ϵ_{Nd} . (A) Location of a track from the
 773 North Atlantic to the North Pacific: 20°W-30°W in the Atlantic, 54°S-56°S in the Southern
 774 Ocean and 150°W-160°W in the Pacific. (B) Nd concentration (pmol/kg)([Nd]_d) along the track.
 775 (C) ϵ_{Nd} along the track. Color contours are model results and observations are attached as filled
 776 cycles using the same color map in B and C. (D) Scatter plot of model and observational Nd
 777 concentration. (E) Scatter plot of model ϵ_{Nd} and observational ϵ_{Nd} . Colors in D and E indicated
 778 different depth range: 0-200m (red), 200m-1000m (yellow), 1000m-3000m (green) and deeper
 779 than 3000m (blue).

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782 Figure 2. Comparison of ϵ_{Nd} fields between model and observation. (A) Global map of ϵ_{Nd} at the
 783 sea floor from the equilibrium state in CTRL. Observations [van de Flierdt et al., 2016] are
 784 superimposed as filled circles, using the same color scale. Selected vertical profiles, focusing on
 785 tropical Atlantic, show observed (red) and simulated (black) ϵ_{Nd} values.

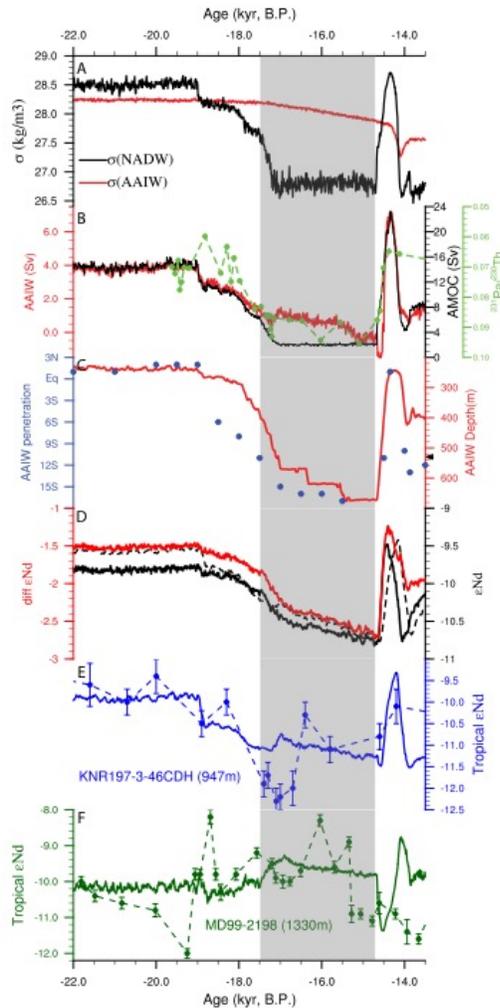


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787 Fig.3 Atlantic zonal mean ϵ_{Nd} (color shading) and salinity (black contour) from CTRL. The
788 green line is the isopycnal line of σ_{AAIW} .

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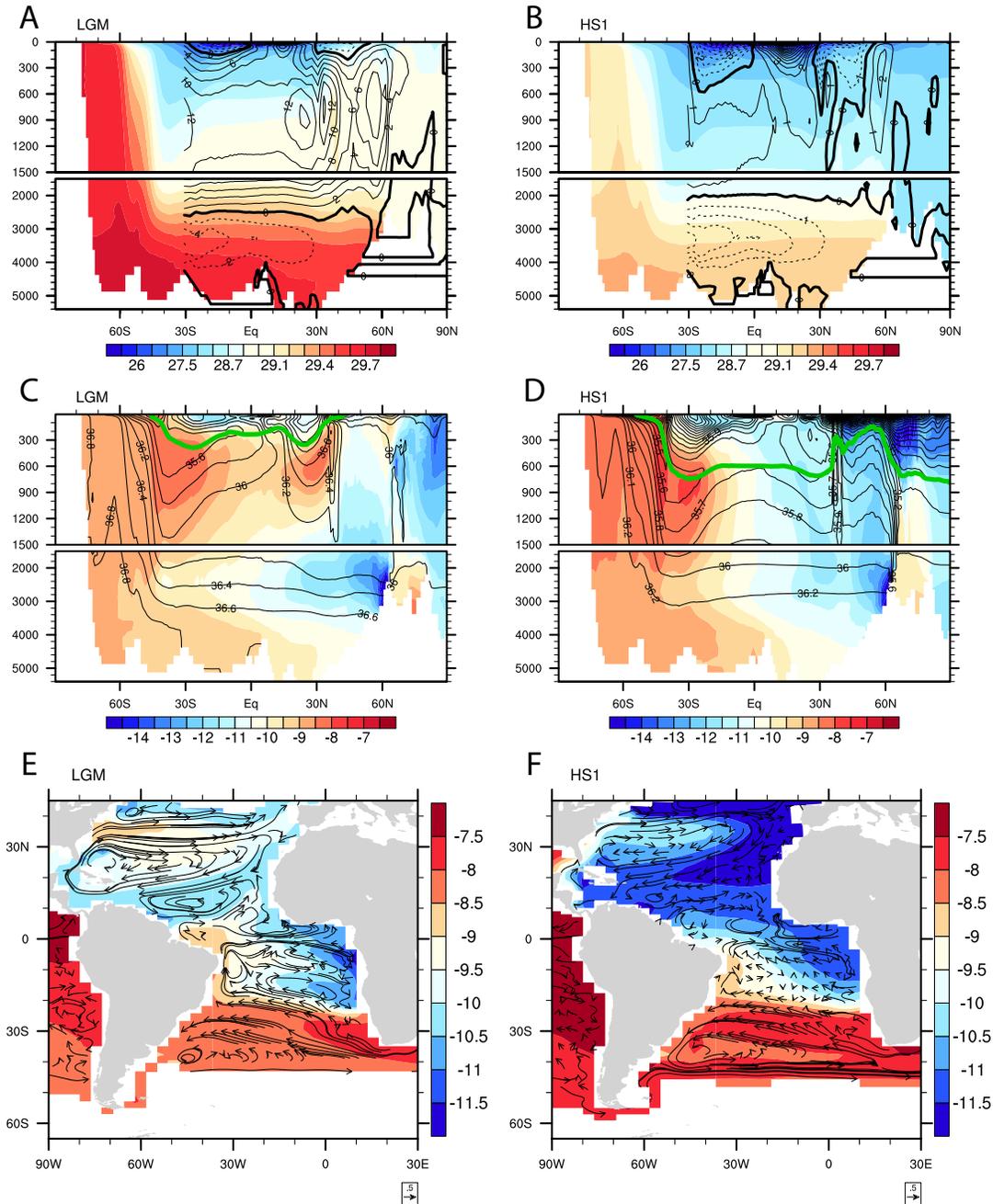
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792 Fig.4: Evolution during the last deglaciation in reconstructions and iPOP2-TRACE. (A) Winter
 793 surface density in NADW (black) and AAIW (red) production region. (B) Model maximum
 794 AMOC transport (under 500m) in iPOP2-TRACE (black), Sedimentary $^{231}\text{Pa}/^{230}\text{Th}$ record
 795 of OCE326-5GGC [McManus *et al.*, 2004] (dashed green) and AAIW transport which is
 796 defined as the meridional transport at equatorial Atlantic of layers between $(\sigma_{\text{AAIW}} - 0.5)$ and
 797 $(\sigma_{\text{AAIW}} + 0.5)$ (red). (C) Estimation of AAIW northward penetration latitude (navy dots).
 798 AAIW depth at equatorial Atlantic (red). Black triangle on the right of Y axis indicates the
 799 late Holocene AAIW depth. (D) Zonal mean AAIW ϵ_{Nd} value at equatorial Atlantic (solid
 800 black), the difference between AAIW ϵ_{Nd} value at equatorial Atlantic and AAIW end-
 801 member ϵ_{Nd} value (red) and ϵ_{Nd} value at 1,000 m at western boundary equatorial Atlantic
 802 (dashed black). (E) ϵ_{Nd} reconstruction in Demerara Rise (dashed navy) and ϵ_{Nd} evolution at
 803 this location in iPOP2-TRACE (solid navy). (F) ϵ_{Nd} records from Tobago Basin (dashed
 804 green) and ϵ_{Nd} evolution at this location in iPOP2-TRACE (solid green). HS1 is indicated
 805 by grey shading.

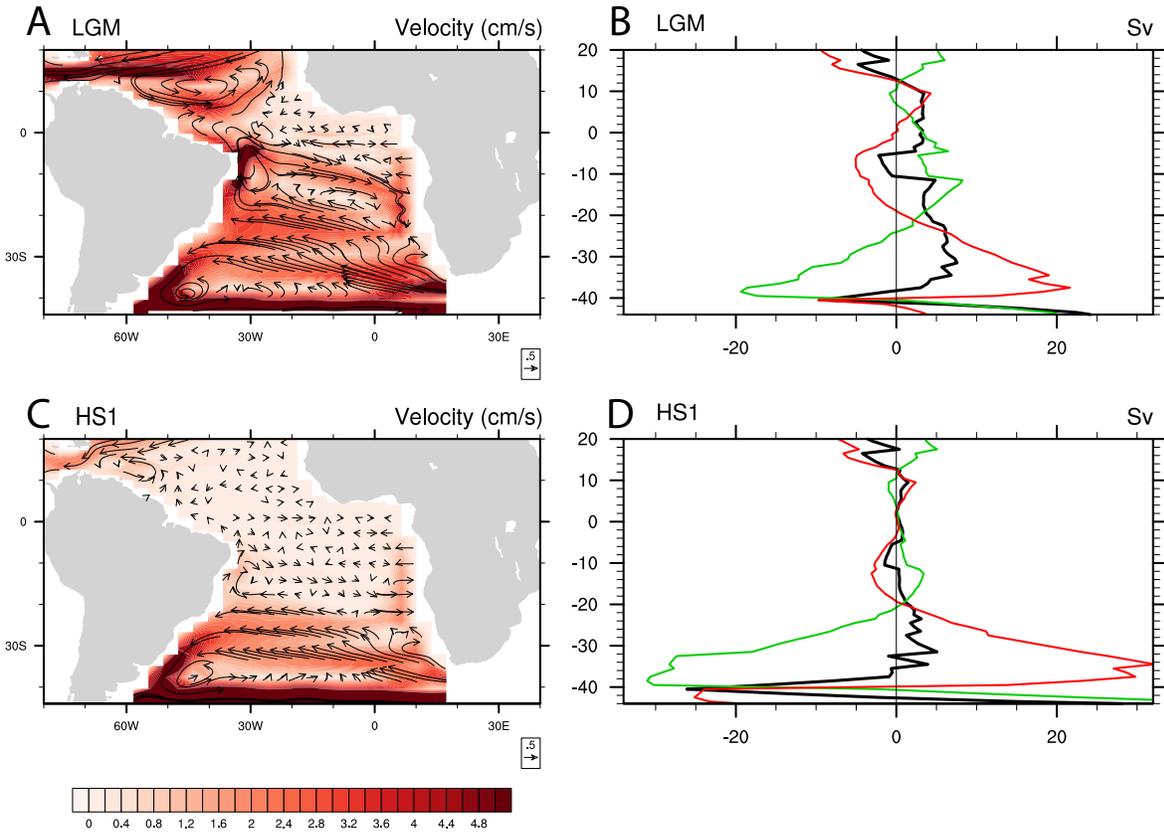
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808 Fig.5: Comparison between LGM (20 kyr B.P.) and HS1 (16 kyr B.P.) in iPOP2-TRACE
 809 experiment. Atlantic overturning streamfunction (black contour) and Atlantic zonal mean
 810 potential density (color shading) during (A) LGM and (B) HS1. Atlantic zonal mean ϵ_{Nd} (color
 811 shading), salinity (black contour) and isopycnal line for σ_{AAIW} (green line) at (C) LGM and (D)
 812 HS1. Circulation (vectors) and ϵ_{Nd} (color) at σ_{AAIW} surface: (E) LGM and (F) HS1.

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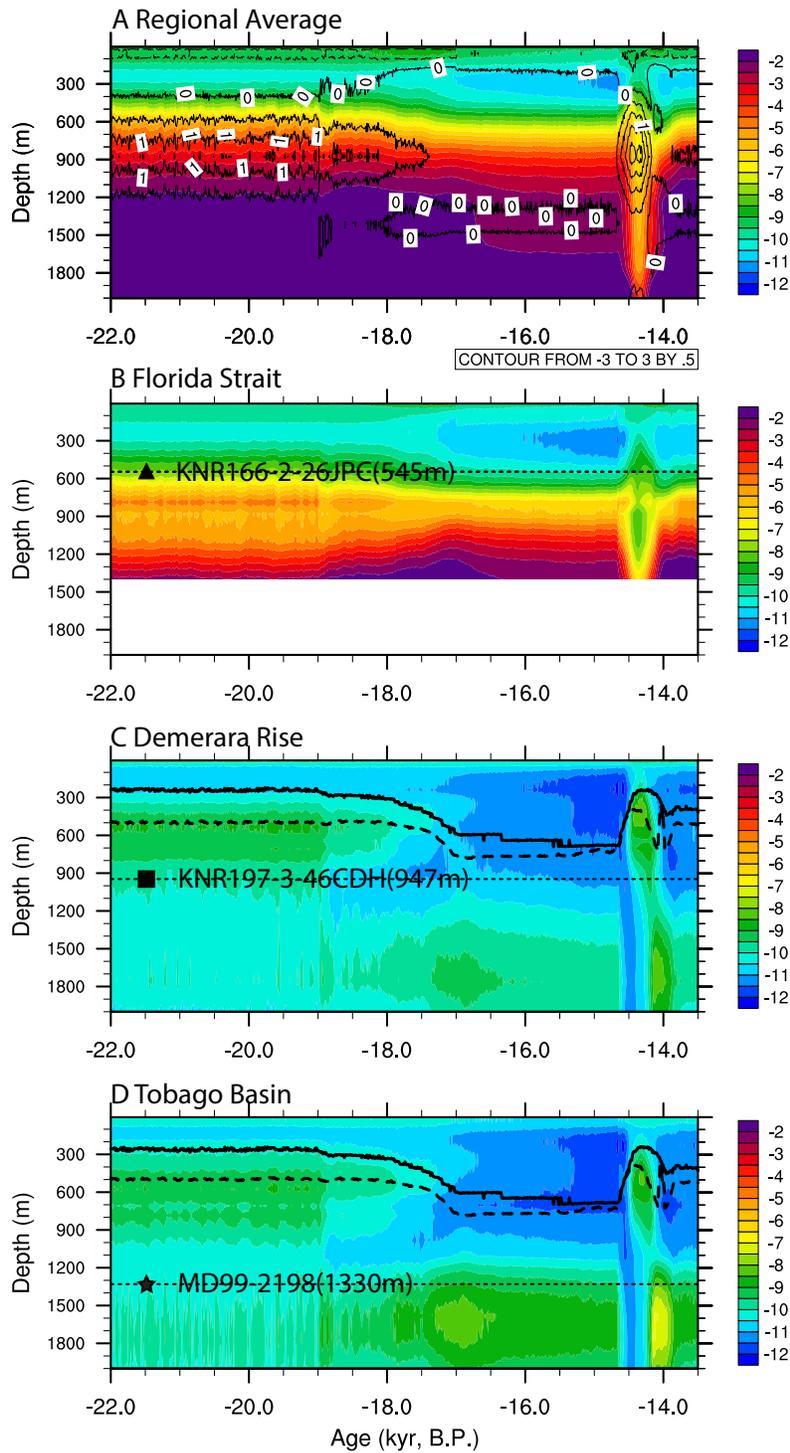
815 **Fig.6** Velocity and meridional transport at annual mean σ_{AAIW} surface during LGM and HS1.

816 (A) Vectors indicate direction and magnitude of (u,v) (cm/s) and color indicate magnitude (cm/s)

817 during LGM. (B) meridional transport (Sv) at different latitudes during LGM, green for western

818 boundary transport, red for interior and black for total transport. Same for (C) and (D) during

819 HS1.



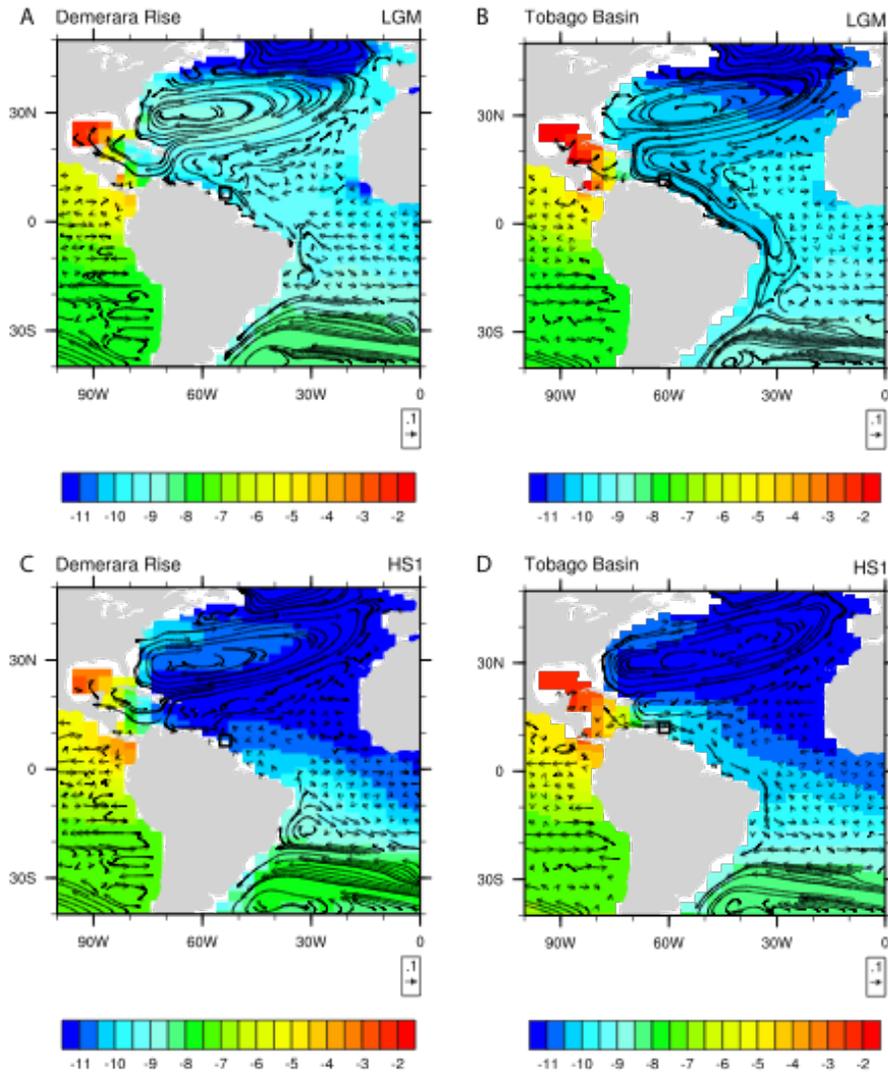
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821 Fig.7: ϵ_{Nd} evolution for the upper 2,000m at four different locations in the tropical North Atlantic sites.

822 (A) Area average from Gulf of Mexico and Caribbean Sea (15°N-30°N, 85°W-100°W). Black contours

823 are vertical velocity in 10^{-4} cm/s. (B) (24.33°N, 83.25°W), which is the horizontal location for site

824 KNR166-2-26JPC in Florida Strait (C) (7.84°N, 53.66°W), which is the horizontal location for site
825 KNR197-3-46CDH in Demerara Rise and (D) (12.09°N, 61.23°W), which is the horizontal location for
826 site MD99-2198 in Tobago Basin. The depth of each core is indicated by a thin black dash line with filled
827 symbol: KNR166-2-26JPC (triangle), KNR197-3-46CDH (square) and MD99-2198 (star). The depth of
828 σ_{AAIW} is indicated by thick black lines in C and D: σ_{AAIW} by salinity (solid, defined in text) and σ_{AAIW} by
829 ϵ_{Nd} (dash, defined as average of potential density where ϵ_{Nd} reaches maximum vertically Atlantic average
830 from 40°S to equator). The maximum ϵ_{Nd} tongue is shifted slightly deeper in the minimum salinity
831 tongue, because of the reversible scavenging by settling particles [Rempfer *et al.*, 2011].



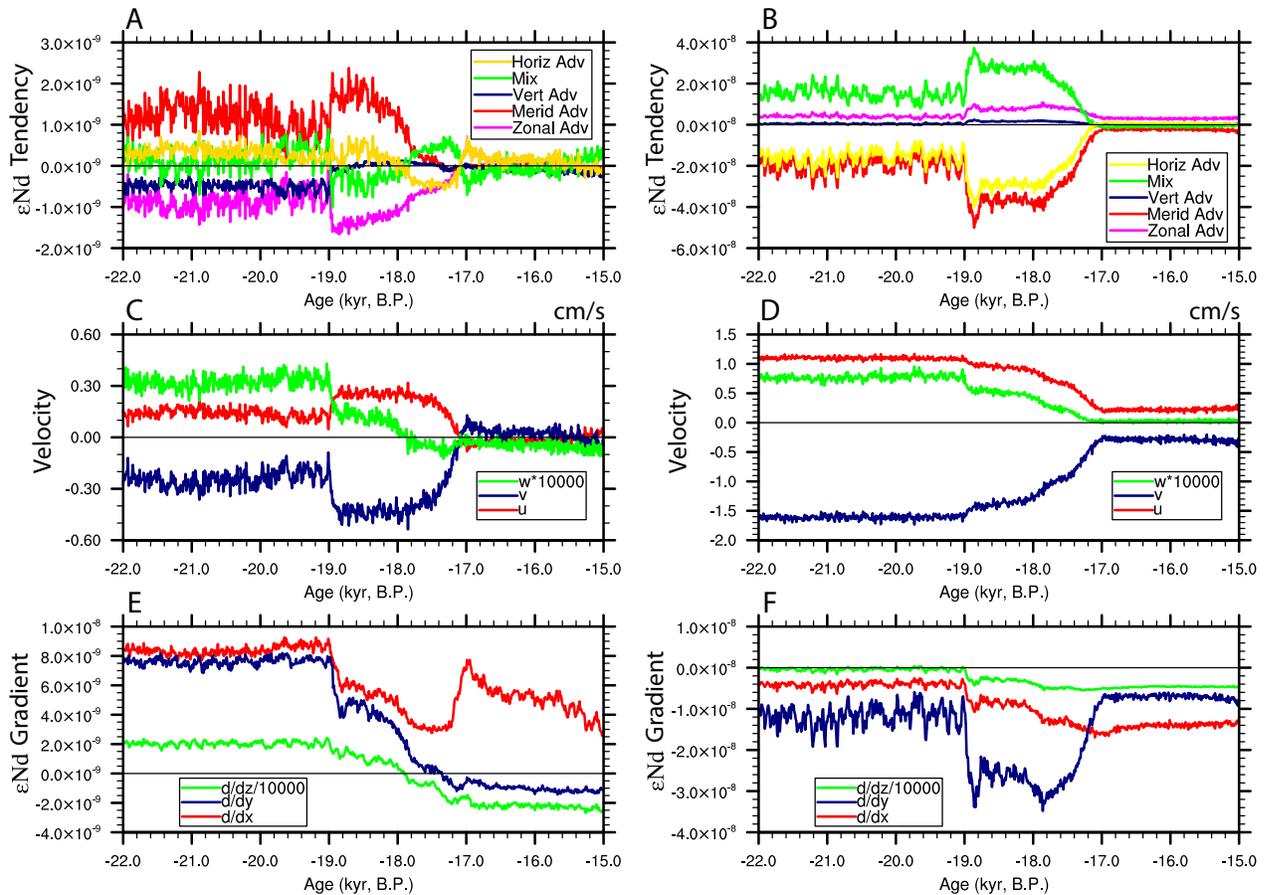
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833 Fig. 8 Ocean current (vector) and ϵ_{Nd} (color) at the depth of KNR197-3-46CDH (947m) (A and C) and
 834 MD99-2198 (1330m) (B and D) during LGM and HS1. The location of each site is indicated by a black
 835 box.

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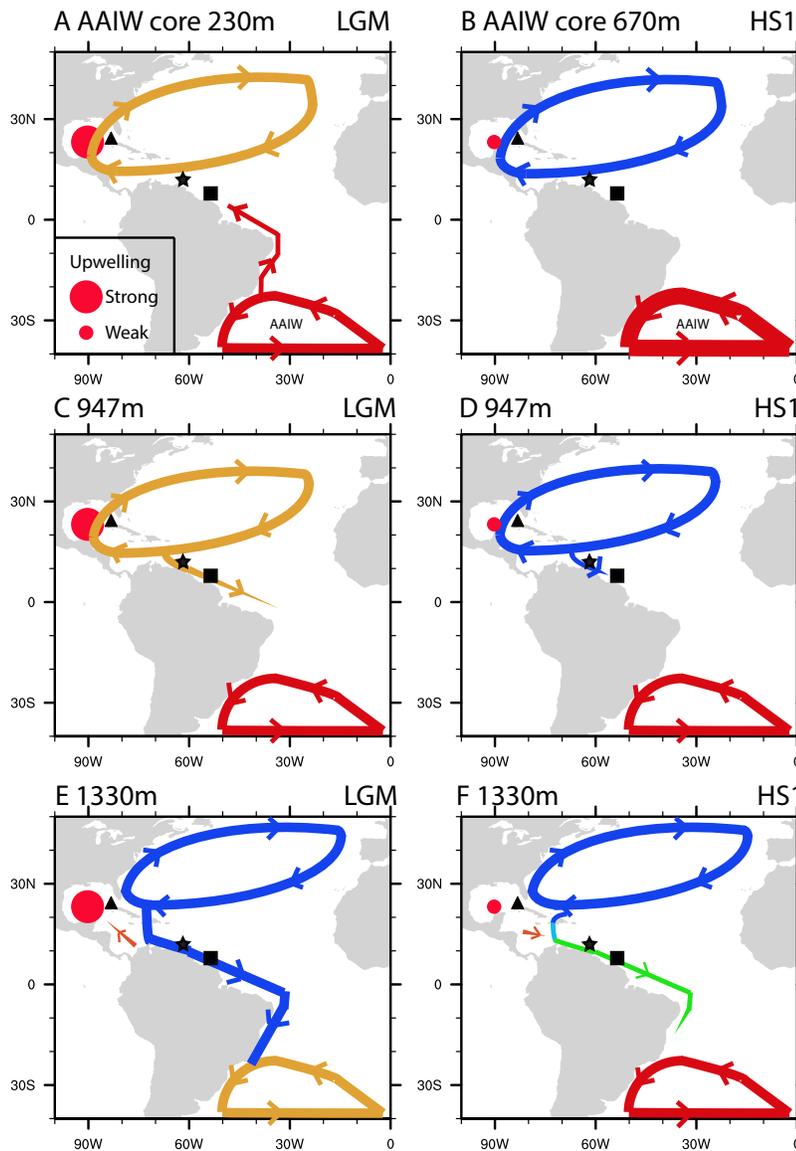
840 Fig.9 ϵ_{Nd} tracer budget analysis for site KNR197-3-46CDH (A, C and E) and MD99-2198 (B, D and F). A
 841 and B, time series of ϵ_{Nd} tendency terms: zonal advection (magenta), meridional advection (red),
 842 horizontal advection (zonal advection + meridional advection) (yellow), vertical advection (navy) and
 843 mixing (green). C and D, evolution of velocity: zonal velocity (u) (red), meridional velocity (v) (navy)
 844 and vertical velocity multiplied by 10^4 (w) (green). E and F, ϵ_{Nd} gradient: zonal gradient (red), meridional
 845 gradient (navy) and vertical gradient (green).

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851 Fig.10 Schematic figure of circulation and ϵ_{Nd} during LGM and HS1 at different depth: AAIW
 852 core depth (A and B), 947 m (C and D) and 1330 m (E and F). Red filled circle represents
 853 upwelling in the Gulf of Mexico and Caribbean Sea, with larger size for stronger upwelling.
 854 Curves with arrows represents flow, with thickness for flow magnitude and color from blue
 855 to green to yellow to red for the increasing of ϵ_{Nd} . Locations of each observational site are
 856 indicated by filled symbols: KNR166-2-26JPC: (24°19.62'N, 83°15.14'W, 546m), triangle;
 857 MD99-2198: (12.09°N, 61.23°W, 1330m), star; KNR197-3-46CDH: (7.836°N, 53.663°W,
 858 947m), square.