

1 | **Vegetation and fire anomalies during the last ~70 ka in the Ili Basin, Central Asia, ~~and their~~**
2 | **~~implications for the ecology change caused by human activities~~**

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17 |
18 | **Abstract:** ~~Changes in Records of~~ vegetation characteristics and fire ~~activity occurrence during the~~
19 | ~~last glacial period obtained from the same profile can~~ offer an opportunity to better understand
20 | paleoclimatic ~~and paleoecological changes~~ and ~~their underlying past human activities as well as~~
21 | ~~the relationships among them driving forces~~. However, in central Asia, records of both vegetation
22 | ~~and fire have rarely been obtained from the same profile~~. Here, ~~for the first time~~, we present
23 | ~~sporopollen (spores and pollen)~~ and microcharcoal data collected together from the wind-blown
24 | loess Nileke (NLK) section, representing the past ~70 thousand years (ka) in the Ili Basin;
25 | (Northwest China), Central Asia. ~~These records enable investigation of the pollen-based~~
26 | ~~vegetation and microcharcoal-based fire proxies as well as their possible relationships with ancient~~
27 | ~~human activities~~. The ~~r~~Results ~~reveal~~show that the temperate woody herbaceous taxa (e.g.,
28 | Cupressaceae) remained at ~~relatively high~~low levels before 36 ka, ~~while whereas the temperate~~
29 | ~~woody taxa, especially Cupressaceae, were abundant~~. At the same time, the total microcharcoal
30 | concentrations (MC)~~fire frequencies~~ were relatively low. After 36 ka, the herbaceous taxa (e.g.,

31 Artemisia, Chenopodiaceae) abruptly replaced the woody taxa Cupressaceae and the MCfire
32 occurrence gradually increased. This vegetation degeneration at 36 ka is notable~~We named this~~
33 ~~change as the local vegetation degeneration event~~, because no equivalent changes have been
34 identified anywhere else across Eurasia. Another interesting observation is that ~~Prior to the~~
35 ~~vegetation degeneration event immediately followed~~, a period characterized by an ~~of~~ increased
36 number of larger ~~intensified~~ microcharcoal particles, in contrast to the smaller sizes ~~fire activity~~
37 ~~occurring~~ between 47.5 and 36 ka, ~~although the background fire activity was relatively low.~~
38 This pattern can be explained in terms of (1) a special, localized environment event caused by the
39 particular special taphonomic effects or sedimentary processes unrelated to the fire
40 strength/frequency; or (2) an ecological event~~We argue that the intensified local fire activity was~~
41 ~~the primary factor causing the vegetation event and was mainly~~ driven by human activities, such
42 as burning the local vegetation near the NLK site. The latter case is argued to be more likely.
43 ~~Following migrations from Africa after 200 ka, humans began to colonize the Ili Basin at least~~
44 ~~47.5 ka ago, bringing their skills of fire control and consequential destruction of woody vegetation.~~
45 Future analysis of first-hand archeological sites in this area will be an important step in checking
46 supporting this~~our~~ hypothesis.

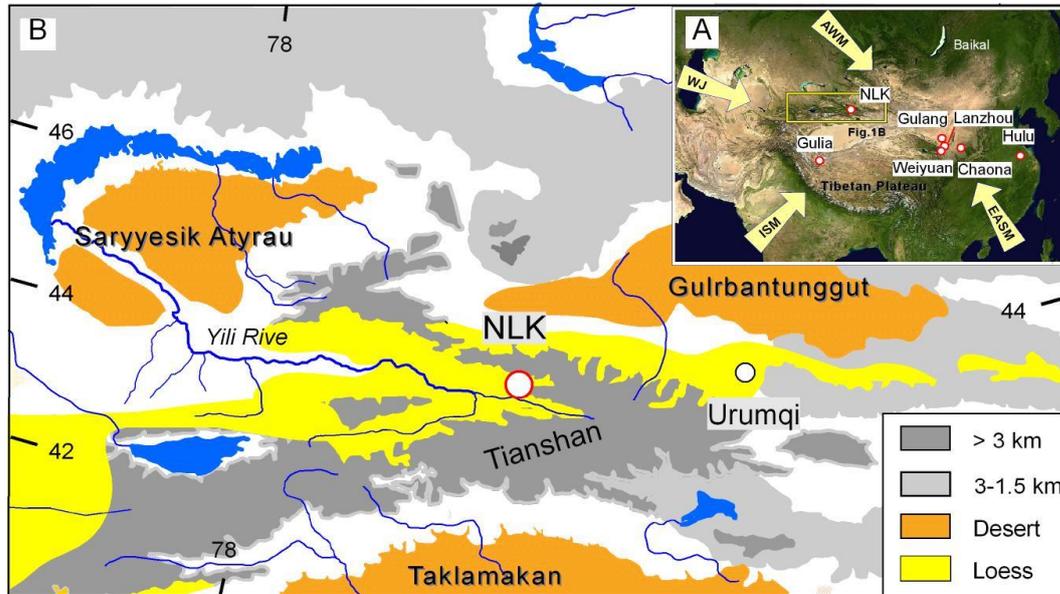
47
48 **Keywords:** Vegetation; Fire; Anomaly~~Ecology~~; Human activities; Last glacial period

49 50 **1. Introduction**

51 The climate, vegetation, fire and human activities, as well as the relationships among them
52 ~~during over~~ the late Quaternary, especially the last glacial period, provide basic insights by which
53 to understand the future (e. g., Behling and Safford, 2010; Cheng et al., 2012; Li et al., 2013;
54 Hubau et al., 2015; Varela et al., 2015). High-resolution stalagmite (Wang et al., 2001; Cheng et
55 al., 20126), ice core (Thompson et al., 1997; Petit et al., 1999; Augustin et al., 2004) and loess
56 (e.g., Chen et al., 1997; Hao et al., 2012; Sun et al., 2012; Rao et al., 2013) analysis has yielded
57 ~~highly reliable, integrated many~~ paleoclimate records. These are characterized by a series of strong
58 fluctuations, named cold Heinrich or warm Dansgaard-Oeschger events, as well as a warm middle
59 Holocene (e.g., Bond et al., 1997). ~~However, At the eastern margin of Central Asia, precipitation~~
60 ~~has followed the same patterns as these events: lower precipitation during the cold events and vice~~

61 ~~versa (e.g., Rao et al., 2013). Vegetation is regarded~~ as ~~one of~~ the most sensitive organic proxies
62 for terrestrial climate change, ~~and~~ a limited number of complete vegetation records have been
63 obtained to show how the terrestrial ecological landscape responded to the climate change (e.g.,
64 Guiot et al., 1993; Allen et al., 1999; Jiang et al., 2011; Nigst et al., 2014). ~~These have revealed~~
65 ~~that the vegetation changes are largely a response to natural climate change, with no strong~~
66 ~~evidence to suggest that humans have significantly disturbed/changed the vegetation/ecology until~~
67 ~~the late Holocene (e.g., Nigst et al., 2014). Additionally, f~~Fire is another sensitive proxy used for
68 reconstructing climate and ecology (e.g., Fillion, 1984; Bird and Cali, 1998; Bowman et al., 2009).
69 Besides climate and ecology, records of vegetation and fire together are also unique indicators of
70 human activities, owing to the impact of human activities such as vegetation cutting and burning
71 (e.g., Patterson et al., 1987; Whitlock and Larsen, 2002; Huang et al., 2006; Aranbarri et al., 2014;
72 Miao et al., 2016a, 2017b; Sirocko et al., 2016); however, most relevant studies have been limited
73 to the late Holocene, especially at or near archeological sites (Miao et al., 2017), although
74 anthropogenic fire has been evidenced earlier than 1000 ka ago (e.g., Clark and Harris, 1985;
75 Gowlett and Wrangham, 2013). ~~In fact, Few studies have attempted to reconstruct~~ the last glacial
76 period, ~~despite this period being is~~ considered as a key period of modern human's migration: the
77 human migration from Africa started at ~~around~~ ~200 ka ago and spread into Eurasia (Templeton,
78 2002; Sun et al., 2012). ~~Furthermore, so~~ studies of vegetation and fire within the same profile
79 (section or core) are helpful in understanding the vegetation, fire and climate change, as well as
80 human activities (e.g., Zhao et al., 2010; WangXiao et al., 2013; Miao et al., 2016a; 2017b).

81



82
 83 Figure 1. A. Asian morphological map with climate systems showing the NLK section location and
 84 climatic proxy sites covering the past 70 ka. These sites include the Gulia glacial core (Thompson
 85 et al., 1997), Gulang wind-blown sediments (Sun et al., 2012), Chaona (Wang et al., 2016), Hulu
 86 stalagmite oxygen isotope records (Wang et al., 2001), Weiyuan summer precipitation
 87 reconstruction (Rao et al., 2013) and Lanzhou pollen analysis (Jiang et al., 2011). B. A
 88 morphological map showing the location of the ~~Nileke~~NLK section in this study. ASM: Asian
 89 summer monsoon; ISM: Indian summer monsoon; WJ: Westerly jet; AWM: Asian winter monsoon.
 90

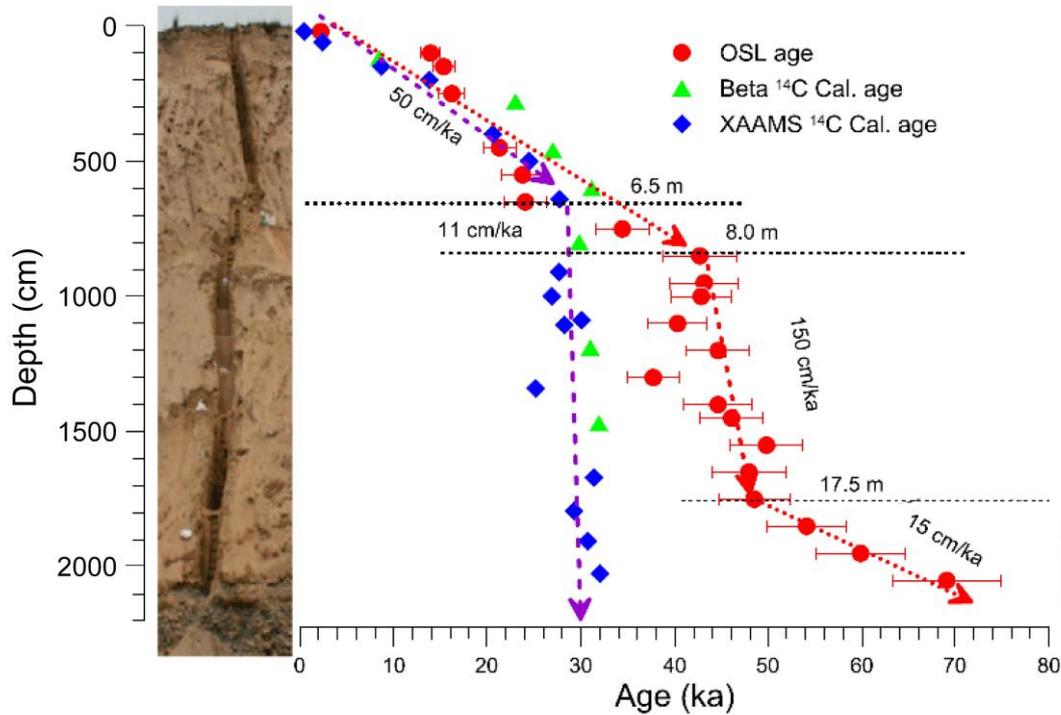
91 Central Asia is dominated by a dry climate (Figure 1A), which is very sensitive to any
 92 climate changes (fluctuations or abnormalityanomalies) and human activities. In this study, we
 93 firstly present pollen and microcharcoal results from a wind-blown loess sediment section (Figure
 94 1B) to reveal how vegetation and fire activity have changed during the past 70 ka; we then analyze
 95 the mechanisms underlying these changes.

96 2. Materials and methods

97 2.1 Lithostratigraphy and chronology

98 The Ili Basin is surrounded by the Tianshan orogenic belt in east Central Asia, with gentle
 99 topography to the west. The basin opens to the west and funnels winds and cyclonic disturbances,
 100 often associated with prevailing westerly winds, ~~down its axis~~ (Ye, 2001). The Ili Basin has a
 101 temperate, continental, arid climate with a mean annual temperature that varies from 2.6 °C at
 102 1850 m to 10.4 °C at 660 m; the mean annual precipitation varies correspondingly from 512 to 257

103 | mm (Ye et al., 1997; ~~Ye, 2001~~). The surface soils are a sierozem (aridosols) with widely
 104 | distributed desert steppe vegetation. The vegetation coverage is <50%, mainly comprising
 105 | *Artemisia* spp. and *Chenopodiaceae* spp. (~~Ye et al., 2000~~). There are no obvious accumulations of
 106 | organic matter in the surface horizon of the modern soil.



107 |
 108 | *Figure 2. Stratigraphy and dating for the NLK Section. Radiocarbon ages (Beta and XAAMS)*
 109 | *appear to saturate below a depth of 6.5 m at ca. 30 cal ka BP (purple dashed line), while the OSL*
 110 | *ages continue to increase with depth. The OSL ages are used as an age-depth model (for more*
 111 | *details see Song et al. 2015).*

112 |
 113 | To the west of the Ili Basin are the vast central Asian Gobi Deserts, such as Saryesik-Atyrau
 114 | Desert (Figure 1B), the probable source of dust for Late Pleistocene loess deposits. The loess
 115 | deposits are widely distributed across the piedmont of the Tianshan Mountains, river terraces and
 116 | desert margins. The loess thickness ranges from several meters to approximately two hundred
 117 | meters, and there are two primary depocenters: around Sangongxiang in the northwest and
 118 | Xinyuan in the east Ili basin (Song et al., 2014). Most of the loess appears to have been deposited
 119 | since the last interglacial period (ca. 130 ka ago; Ye, 2001; Song et al., 2010; 2014; Li et al.,

120 2016).

121 The [NilekeNLK](#) section (83.25 °E, 43.76 °N, 1253 m a. s. l) is located on the second terrace of
122 the Kashi River, a branch of the Ili River, in the east [of the](#) Ili Basin (Figure 1B). The loess
123 sequence is 20.5 m thick, largely homogeneous in appearance with two diffuse paleosols at depths
124 of 5-7.5 m and 15.5-18.5 m ~~identified by the extent of rubification~~ (Figure 2) (Song et al., 2015).
125 The loess sequence rests conformably on fluvial sand and gravels. The contact between the loess
126 and fluvial sediment is abrupt, with no obvious lag, erosion or pedogenesis. The loess is composed
127 of 70%-84% silt and 3%-17% very fine sand (63-100 μm), with the remaining fraction being clay.
128 A high-resolution quartz optically stimulated luminescence (OSL) chronology has already been
129 established (Yang et al., 2014; Song et al., 2015). Based on [these](#) OSL ages, two intervals of
130 higher mass accumulation rate occurred at 49-43 ka and 24-14 ka [ago](#) (Song et al., 2015).

131 2.2 Pollen and charcoal collection

132 A total of 104 samples of 49-56 g weight were taken at 20 cm intervals from the [NilekeNLK](#)
133 section for palynological analysis. The samples were treated with standard palynological methods:
134 acid digestion (treatment with 10% HCl and 40% HF acid to remove carbonates and silicates,
135 respectively) (~~Li et al., 1995~~) and fine sieving to enrich the spores and pollen grains. The prepared
136 specimens were mounted in glycerol for identification. All samples were studied at the Cold and
137 Arid Regions Environmental and Engineering Research Institute (CAREERI), Chinese Academy
138 of Sciences (CAS), by comparison with official published pollen plates and modern pollen
139 references. Each pollen sample was counted under a light microscope at 400× magnification in
140 regularly spaced traverses. More than 150 spores and pollen grains were counted within each
141 sample. A known number of *Lycopodium clavatum* spores (batch # 27600) were initially added to
142 each sample for calculation of pollen and microcharcoal concentrations (Maher, 1981).

143 The concentration of pollen or microcharcoals can be calculated according to the following
144 formula: $C=N_x/L_x \times 27600/W_x$

145 C: concentration; N: identified number of charcoals; L: number of *Lycopodium clavatum*; W:
146 sample dry weight; x: sample number; 27600: grain numbers of *Lycopodium clavatum* per pill.

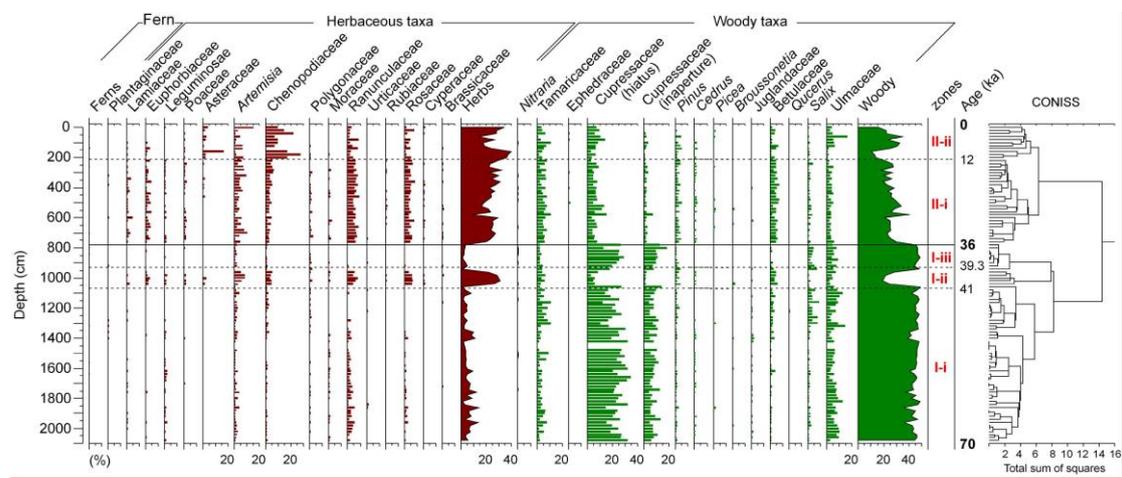
147 For the microcharcoal identification, four particle size units were defined as follows: <30 μm,
148 30-50 μm, 50-100 μm and >100 μm (Miao et al., 2016a), then the total microcharcoal
149 concentrations (MC) were obtained by summing over all sizes and using the above formula. As

150 the residual matter from the incomplete burning of vegetation, charcoals are usually characterized
 151 ~~by~~ either by spherical bodies without structure or by particles with some original plant structures
 152 preserved.

153 3. Results and analysis

154 In the pollen assemblages, dominant palynomorphs originated mainly from the herbaceous
 155 taxa such as Chenopodiaceae, *Artemisia*, Ranunculaceae, Asteraceae and Rosaceae. Woody taxa
 156 were Cupressaceae, *Pinus*, *Betula*, Ulmaceae and Tamaricaceae; the other temperate taxa with low
 157 percentages were *Quercus*, *Picea*, *Cedrus* and *Broussonetia* etc.

158



159

160 *Figure 3. Pollen percentage diagram for the NilekeNLK section, Ili Basin.*

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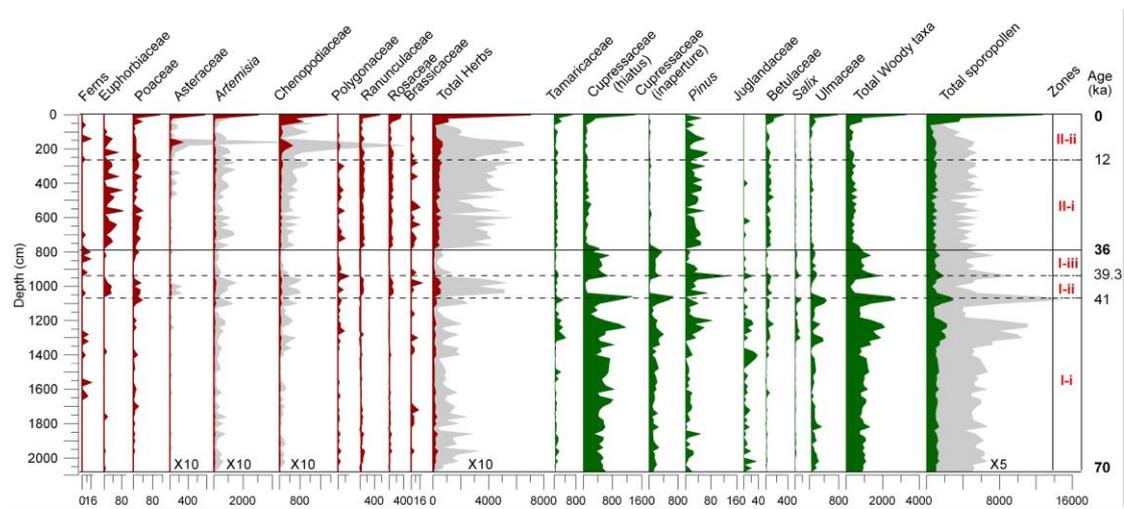
162 The pollen diagram was divided into two pollen assemblage zones based on variations in the
 163 percentages according to stratigraphically-constrained cluster analysis (CONISS) carried out using
 164 Tilia software (E. Grimm of Illinois State Museum, Springfield, Illinois, USA) (Figure 3) and
 165 concentrations of the dominant taxa, from the older to the younger samples. The two zones are as
 166 follows.

167 Zone I (2080-780 cm; 70-36 ka ago): the assemblages were characterized by high
 168 percentages of Cupressaceae (hiatus) (ca. 5.2%-68.7%, with an average of 42.4%) and
 169 Cupressaceae (inaperture) (ca. 1.4%-34.7%, average 14.0%), Ulmaceae (ca. 2.8%-26.1%, average
 170 11.3%) and, Tamaricaceae (ca. 1.9%-20.9%, average 7.3%). In the herbaceous taxa, only
 171 *Artemisia* (ca. 0-14.8%, average 3.3%), Rannunculaceae (ca. 0-14.2%, average 3.0%) and
 172 *Chenopodiaceae* (ca. 0-8%, average 1.8%) were dominant, and were present at much lower

173 abundances relative to the woody taxa. In more detail, three subzones were identified according to
 174 the assemblages: I-i, I-ii and I-iii with divisions at 1070 and 930 cm, corresponding to ages of 41
 175 ka and 39.3 ka. The subzones I-i and I-iii were both characterized by high Cupressaceae, whereas
 176 subzone I-ii was relatively dominated by herbaceous taxa.

177 In the pollen concentrations, the same zones were also identified at a depth of 780 cm. The
 178 woody taxa were dominant below this boundary, and those such as Cupressaceae (hiatus and
 179 inaperture), Ulmaceae and Tamaricaceae reached counts of around 1000 grains/g, 200 grains/g
 180 and 100 gains/g, respectively. Others such as *Pinus*, Juglandaceae, *Betula* and *Salix* were also
 181 common. By contrast, all herbaceous taxa were very low (Figure 4). We also added the boundary
 182 at a depth of 780 cm to divide the MC assemblages. Below the boundary, the fluctuations in all
 183 different sizes and shapes were stronger, especially in Zones I-ii and I-iii (Figure 5).

184 Zone II (780-0 cm; 36-0 ka ago): the woody taxa were extensively replaced by herbaceous
 185 taxa, of which Cupressaceae (hiatus) (ca. 3.5%-51.0%, average 12.1%) and Cupressaceae
 186 (inaperture) (ca. 0-24.5%, average 2.9%), Tamaricaceae (ca. 1.5%-19.4%, average 8.9%) and
 187 Ulmaceae (ca. 0.5%-27.9%, average 5.6%) were dominant; *Betula* and *Pinus* increased slightly
 188 (ca. 0-12.6%, average 6.4% and ca. 0-8.6%, average 2.3%, respectively). In the herbaceous taxa,
 189 *Artemisia* (ca. 0.9-24.1%, average 7.1%), Chenopodiaceae (ca. 0-48.2%, average 9.0%), Rosaceae
 190 (ca. 0-15.0%, average 8.6%) and Rannunculaceae (ca. 0-14.2%, average 3.0%) increased obviously,
 191 and the rest remained broadly stable. In more detail, two sub-horizons were identified: II-i and
 192 II-ii, divided based on the Asteraceae and Chenopodiaceae increase at 210 cm, correlated to an
 193 age of 12 ka -B.P. (Figure 3).



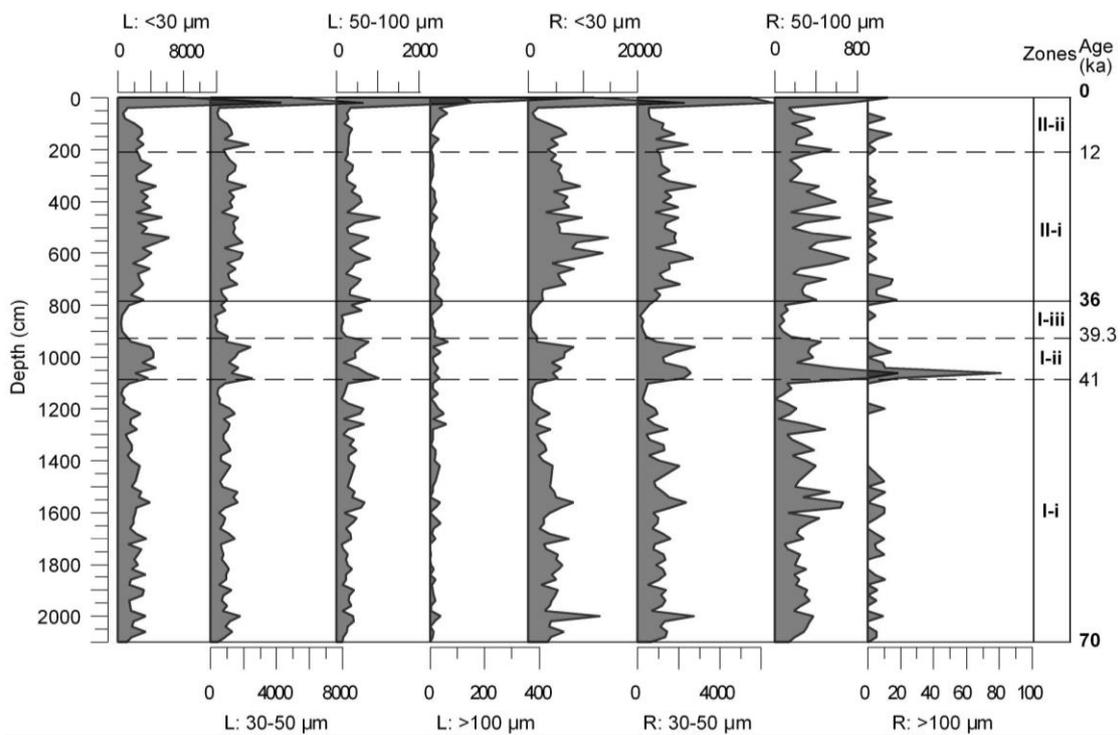
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195 | Figure 4. Pollen concentration diagram for the *NilekeNLK* section, Ili Basin, China (unit:
 196 | *grains/g*; zone divisions follow Figure 3).

197
 198 | The pollen concentrations in Zone II show that the woody Cupressaceae (hiatus and
 199 | inaperture), Ulmaceae, Juglandaceae and Tamaricaceae *obviously* decreased ~~obviously~~ while the
 200 | herbaceous taxa such as *Artemisia*, Chenopodiaceae, Poaceae, Ranunculaceae and Rosaceae
 201 | increased. At the sub-boundary of II-i and II-ii, Asteraceae, *Artemisia* and Chenopodiaceae
 202 | increased strongly (Figure 4). For the MC, all different shapes and sizes remained at generally
 203 | stable and relatively low values in Zone II-i whereas in Zone II-ii the concentrations in all samples
 204 | clearly started to increase, especially in the uppermost layers (Figure 5).

205 | In summary, there are clear divisions at a depth of 780 cm, corresponding to an age of 36 ka.
 206 | Prior to this change, there was a high percentage of woody taxa, but subsequently the herbaceous
 207 | taxa became more dominant, especially after 12 ka. The assemblages of pollen concentrations and
 208 | MC can also be divided into two periods, with a transition at 36 ka.-

209



210

211 | Figure 5. The MC records for different sizes and shapes in the *NilekeNLK* section (unit: grains/g;
 212 | L: elongated *shapes*; R: *rounder shapes*; zone divisions follow Figure 3).

213

214 4. Discussion

215 The modern climate in Central Asia is controlled by the East Asian summer monsoon, Indian
216 summer monsoon, Asian winter monsoon and Westerlies (Figure 1A). In the Ili Basin,
217 meteorological records indicate that strong surface winds from the west, northwest and southwest
218 which occur frequently from April to July play the dominant role in the transportation of dust,
219 suggesting that the wind-blown sediments in the NilekeNLK section are driven by the Westerlies.
220 Therefore, the grain size of the sediments can be regarded as a basic proxy for the intensification
221 of the Westerlies (Li et al., 2015; Li et al., 2016). Furthermore, the Ili Basin is surrounded by the
222 Tianshan Mountains to the south, east and north (with elevations exceeding 3-4 km) but low
223 elevations (~800-1600 m a. s. l) to the west. Consequently, most of the precipitation reaching the
224 basin will have been transported by the Westerlies during the last glacial period. Here, we try
225 firstly to estimate changes in the vegetation and fire characteristics in the Ili Basin; secondly, to
226 discuss the overall climate change across Eurasia over the past 70 ka; and finally, to provide some
227 speculation regarding the observed differences.

228 4.1 Vegetation and fire ~~records-anomalies~~ at NilekeNLK

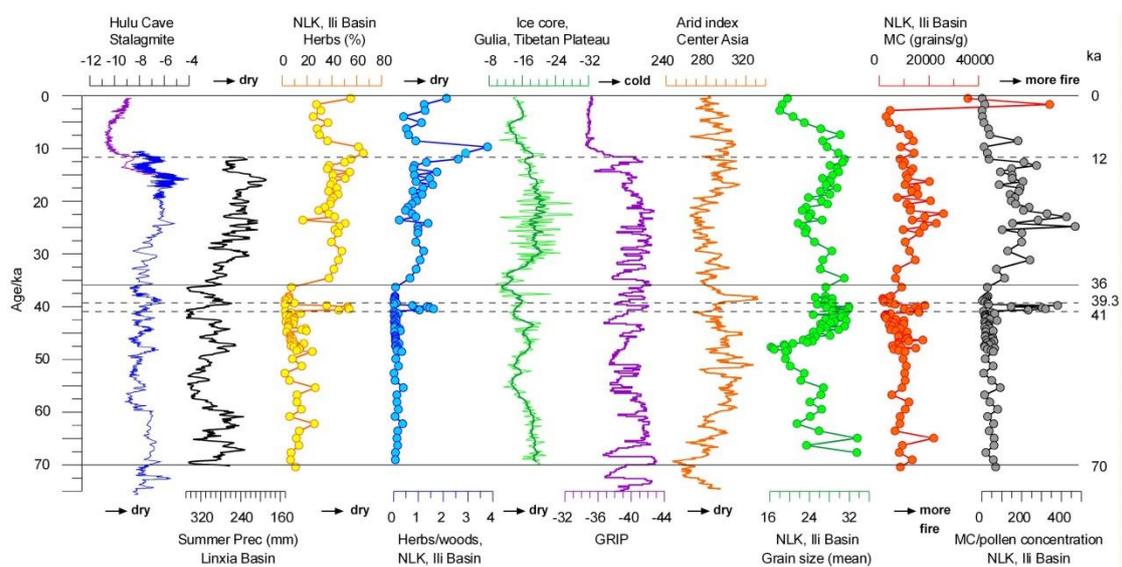
229 The pollen dataset can be regarded as a reliable proxy for investigating the vegetation change
230 in the study area. In the NilekeNLK section, during 70-36 ka, the pollen assemblages show a
231 relatively woody taxa-dominated landscape: during this time, the woody taxa reached their highest
232 levels of the whole section (Figure 6). After 36 ka, the vegetation deteriorated markedly, as
233 evidenced by the rapid disappearance of woody taxa following strong fluctuations during 41-36 ka.
234 This was especially notable for Cupressaceae. In more detail, no obvious fluctuations were noted
235 during these two periods except for during the interval between 41 and 36 ka. The pollen
236 concentrations also followed a similar ly stable trend except for the anomalies between 41 and 36
237 ka, according to the pollen percentages. Overall, the most obvious vegetation change according to
238 the pollen data was at around 36 ka ago, as indicated by the sharp decrease of woody taxa
239 change in the vegetation assemblages. ~~No~~ similar vegetation transition has ~~not~~ been observed in Eurasia
240 ope (e.g., Guiot et al., 1993; Allen et al., 1999;) ~~or elsewhere in Asia (e.g., Jiang et al., 2011).~~

241 Charcoal particles remaining following combustion are entrained by-in the smoke and then
242 carried by the wind. Following deposition, they remain as a direct proxy of fire activity. On the

243 Loess Plateau, smaller charcoal particles can be easily transported over long distances by the wind,
 244 but the larger particles tend to travel only a short distance (Huang et al., 2006). Therefore, the
 245 charcoal particle size can be related to its distance from the fire (Patterson et al., 1987; Clark, 1988;
 246 Luo et al., 20016; Miao et al., 2016a; 2017b), with smaller particles likely to have been
 247 transported further from the fire (Clark, 1988). Moreover, a rounder shape (long axis to short axis
 248 ratio <2.5) is more likely related to forest fires while elongated particles (long axis to short axis
 249 ratio >2.5) are more indicative of grass fires (Umbanhowar and Mcgrath, 1998; Crawford and
 250 Belcher, 2014). The charcoal assemblages in the Ili Basin show a relatively low fire
 251 frequency/severity at regional and local scales, in forest and grass, before 36 ka; activities then
 252 increased gradually after 36 ka (Figures 6, 7). Superimposed on this general trend is the first
 253 notable anomaly, which occurred at 47.5-36 ka and was characterized by a high frequency of local
 254 grass and forest fires. Another similar anomaly occurred at the top of the profile (less than 6 ka
 255 ago) in the layer with the highest levels of regional and local grass fires as well as the highest
 256 regional forest fires (Figure 3-5).

257 In summary, the climate in the Ili Basin abruptly became arid at 36 ka ago, according to
 258 pollen data, while an unexpected strengthening in local fire activity occurred during 47.5-36 ka
 259 according to the microcharcoal data. Both vegetation and fire changes are different to those of the
 260 grain-size and clay mineral analysis from the same section (Figure 8).

261



262

263 Figure 6. Comparison of climate proxies across the Northern Hemisphere and NitekeNLK section.

264 | These are the Hulu cave, Nanjing (Wang et al., 2001); summer precipitation reconstruction in the
265 | Linxia Basin (Rao et al., 2013); ice core, Gulia, Tibetan Plateau (Thompson et al., 1997); NGRIP
266 | (Andersen et al., 2004); and aridity index in central Asia (Li et al., 2013). Divisions follow Fig. 3.

267 | ~~No anomalies occurred during 41–36 ka.~~

268

269 | 4.2 Driving forces

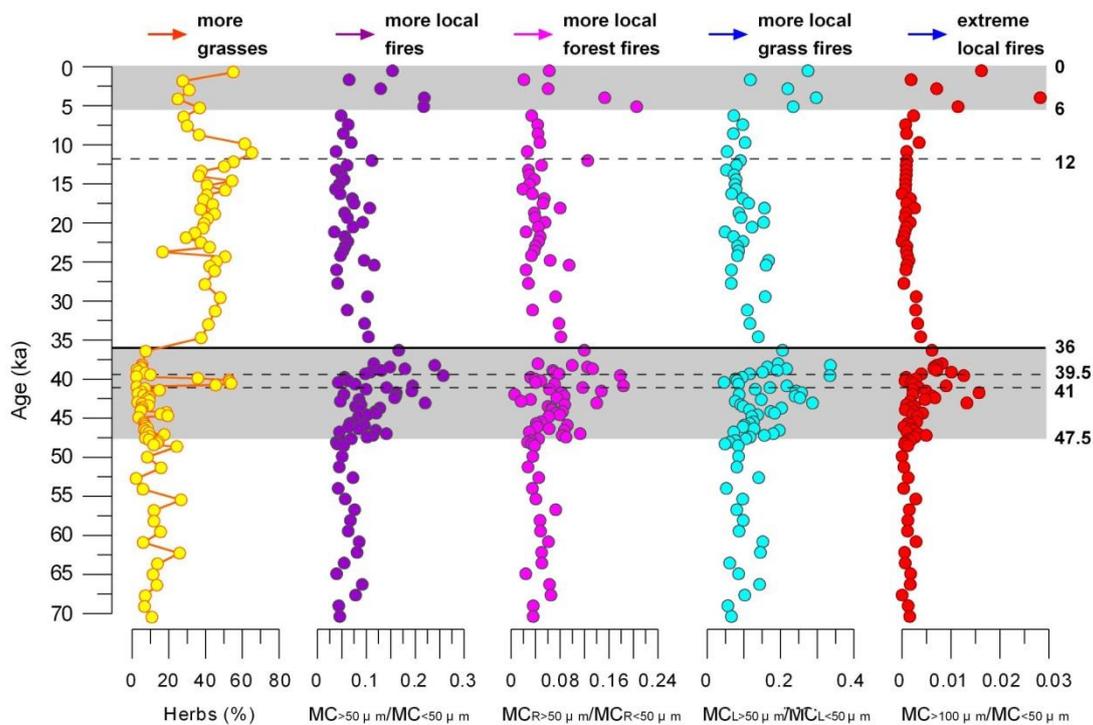
270 | Here, the global/regional climate background as well as its influence on the Central Asian
271 | vegetation and fire will be discussed first, followed by the potential influences of specific factors,
272 | such as taphonomic effects, sedimentary processes and human activities.

273 | 4.2.1 Global climate and fire background in Eurasia

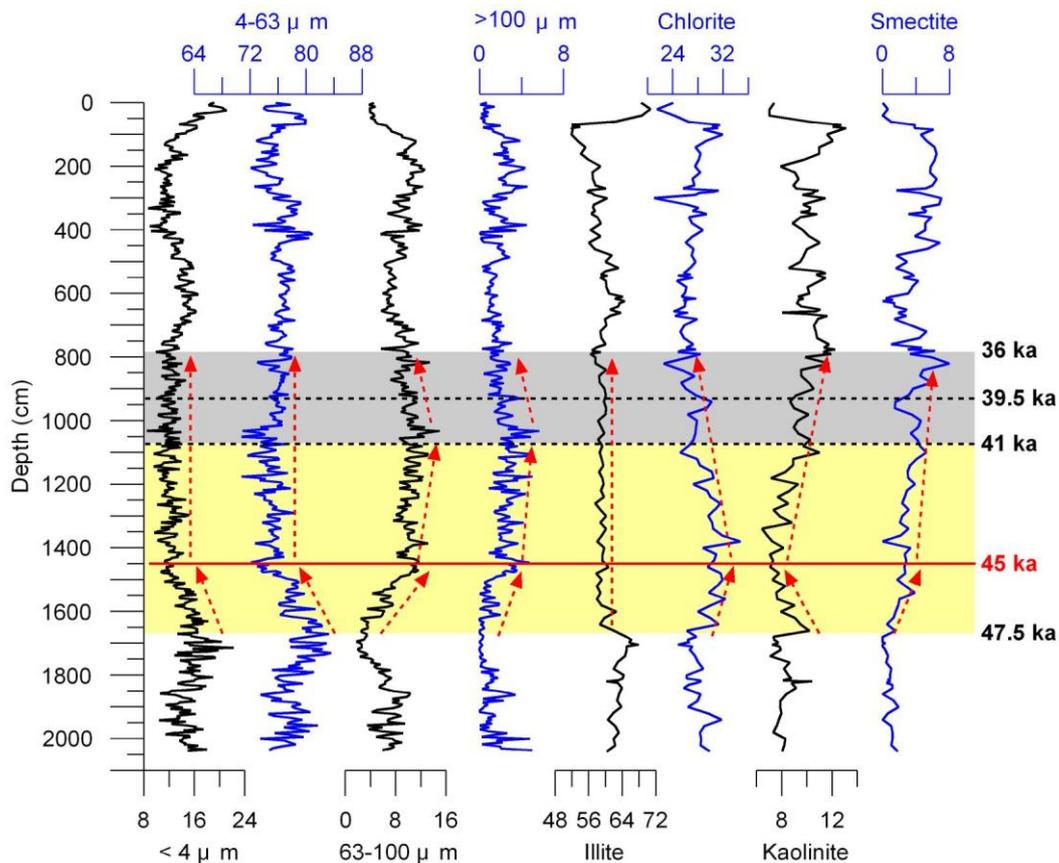
274 | Here, multiple proxies from ~~the~~ terrestrial and marine sources have revealed the basic patterns
275 | of climate change during the last glacial period, characterized by abrupt, millennial-scale cold
276 | events (Petit et al., 1999; Wang et al., 2001; Augustin et al., 2004; Cheng et al., 2012) (Figure 6).
277 | These climate fluctuations are particularly pronounced in records of the East Asian monsoon
278 | system (Porter and An, 1995; Guo et al., 1996; Thompson et al., 1997; Wang et al., 2001; Sun et
279 | al., 2012).

280 | The Greenland NGRIP ice core (Andersen et al., 2004) indicates that temperature variations
281 | in the high latitudes of the Northern Hemisphere ~~have been are~~ characterized by high-frequency
282 | fluctuations over the past 70 ka, with the most obvious change occurring at around 12 ka ago but
283 | ~~with~~ no significant anomaly at 36 ka ago. At the same time, high-resolution summer
284 | precipitation variations in the western Chinese Loess Plateau were found to contain similar
285 | anomalies (Rao et al., 2013), yet with no obvious precipitation change at ~~around ca.~~ 36 ka, despite
286 | their proximity to the Lanzhou loess sediments, where the shrubs and herbs reached the highest
287 | abundances after ca. ~40 ka owing to the ~~westerlies~~ strengthened westerlies ing and
288 | ~~supplying bringing increased~~ plenty of moisture to Northwest China (Jiang et al., 2011). Besides
289 | the temperature/precipitation changes, the levels of greenhouse gases, e.g., CH₄ (Blunier and
290 | Brook, 2001) and CO₂ (Ahn and Brook, 2008) during this period remained within the bounds of
291 | normal fluctuations. So, large-scale climate change across Eurasia cannot be the primary factor
292 | explaining the vegetation anomalies at ~36 ka ago and fire anomalies at 47–36.5 ka ago in the Ili
293 | Basin.

294 According to a contemporaneous fire study, in Europe the newest study shows that during
 295 49–36.5 ka, the boreal forest of pine, birch and few spruce with little dust activity, however the
 296 macroscopic charcoals from Eifel (Germany), central Europe reveal frequent drought stress and
 297 frequent forest fires during 49–36.5 ka, which appeared even stronger than those during 6–0 ka.
 298 The former is explained as a result of natural fires, and the latter is linked to the widespread
 299 alteration of the early Holocene forests by humans, as the charcoals contain elements from cereal
 300 and cattle farming indicates drought stress and frequent forest fires. During 36.5–28.5 ka, the
 301 steppe with grass, pine and birch enlarged. Dust storm increased. Spread of anatomically modern
 302 humans in the increasingly open landscape, where horse, reindeer and mammoth, the favored
 303 hunting preys, must have been abundant (Figure 9) (Sirocko et al., 2016). Regardless of the
 304 underlying causes of these changes in Europe, the two periods of fire anomalies correlated well
 305 with the results from the NLK section (Figure 9). This time is correlated with the time of early
 306 modern humans spreading into central Europe (Trinkaus et al., 2003; Mellars, 2006; Conard and
 307 Bolus, 2008; Klein, 2008; Hublin, 2012; Nigst et al., 2014).



309
 310 Figure 7. Vegetation versus fire anomalies identified in the *NilekeNLK* section during 47.5-36
 311 *kyrka*. Gray rectangles show periods of intensified local fire activity during 47.5-36 and 6-0 *kyrka*,
 312 which cannot *easily* be explained as the result of the climate change.

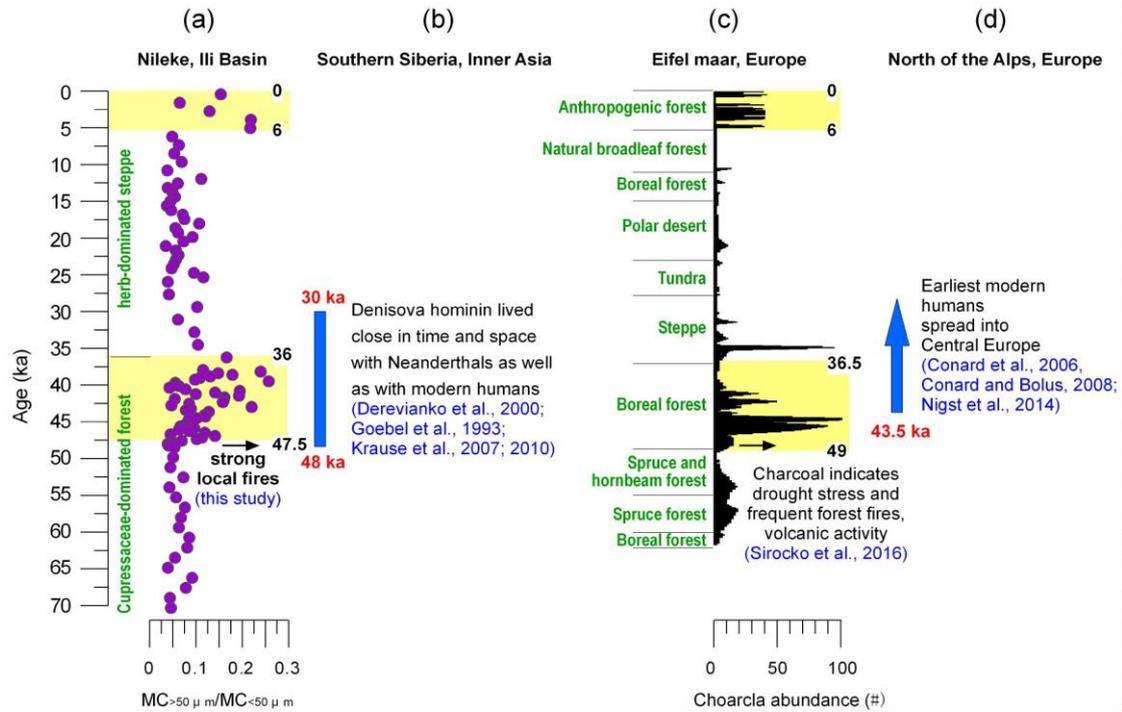


314

315 *Figure 8. Grain-size distributions ($<4 \mu\text{m}$, $4\text{-}63 \mu\text{m}$, $63\text{-}100 \mu\text{m}$, and $>100 \mu\text{m}$, respectively) (Yang*
 316 *et al., 2014) and mineralogy in percentage weight of the main clay fraction (Illite, Chlorite,*
 317 *Kaolinite, and Smectite)(Li et al., 2017) from the NLK section vs. depth. The gray and yellow*
 318 *shaded areas with ages indicate the vegetation and fire anomalies corresponding to Figures 3 and*
 319 *7, respectively. The dashed red arrows show the trends, and the heavy red line indicates the*
 320 *obvious turning point of these trends at $\sim 45 \text{ ka}$.*

321

322 Therefore, we argue that the natural climate change at 36 ka is not the main cause for the
 323 vegetation changes in the Ili Basin. Furthermore, the aridity index in Central Asia reveals that the
 324 change at $\sim 36 \text{ ka}$ did not shift the climate away from its generally arid classification (Li et al.,
 325 2013). Another potential factor to consider is the wind velocity change, however according to the
 326 grain size distribution of the sediments in the Nileke section, there was no obvious change in the
 327 mean size and accordingly no significant variation in wind during that time (Figure 6).



328

329 *Figure 9. Correlations of (a) fire anomalies in the NLK section, Central Asia; (b) Denisova*
 330 *hominin periods, Central Asia; (c) fire anomalies in Eifel, Europe, and (d) modern humans*
 331 *beginning to colonize Europe. Both vegetation assemblages are according to the pollen*
 332 *Yellow rectangles indicate their own individual zones mentioned in this study based on the pollen*
 333 *assemblages.*

334

335 **4.3 Climate and fire anomalies and their driving forces**

336 **4.2.2 Taphonomic effect**

337 Although the climate usually plays a key role in vegetation and fire changes, the taphonomic
 338 process can, theoretically, disturb the paleoclimatic records and interpretation by oxidizing the
 339 pollen and microcharcoals during/after their burial. If oxidization does occur, some thin-walled
 340 pollen grains and small microcharcoals would disappear first and thus influence the pollen
 341 assemblages and fire interpretation, leading to erroneous paleoclimate/paleoecology inferences.
 342 Fortunately, this process does not have a significant impact. Firstly, pollen have a hard coat (wall)
 343 made of sporopollen, which is very difficult to oxidize. For example, the pollen of Cupressaceae
 344 are common in the Holocene (Chen et al., 2006) or even in some Quaternary aeolian sediments
 345 (Wu et al., 2007) in Central Asia, despite having a very thin wall. Here, high percentages of
 346 Cupressaceae pollen at the bottom of the section may indicate that the oxidization during/after

burial has not influenced the pollen assemblages at all (Figures 3 and 4). Secondly, (micro-) charcoal is a lightweight, black residue, consisting of carbon and any remaining ash, obtained by removing water and other volatile constituents from vegetation substances. In contrast to pollen, charcoal is more difficult to oxidize, even over relatively long time scale, e.g., the Miocene (Miao et al., 2016b). So, the taphonomic effect has little influence on either pollen or microcharcoals.

4.2.3 Effects of sedimentary processes

Sedimentary process can also affect the paleoclimatic record by sorting the pollen and microcharcoal assemblages. For example, different wind or fluvial velocities can sort and stratify the sedimentary grains differently: high velocities will blow or wash the fine grains away, leaving only the relative coarse grains to be buried. Dust particles and pollen/microcharcoal grains have similar sizes, if one particle type has been affected then it is likely that the other type will have been modified too. Here, we show the typical grain size changes of the dust particles to illustrate this issue (Figure 8).

Many exposures of loess sediments have yielded time series of particle size variations which are the basis for proxy climatic reconstructions (e.g., Ding et al., 2002; Fang et al., 2002). In the Ili Basin, the grain size distribution is dominated by silts (4-63 μm , mainly ~70%-84%), followed by a considerable percentage (10%-20%) of <4 μm clays fractions, and a minor proportion of 63-100 μm (2%-10%) and >100 μm (0-6%) sands fractions, respectively (Figure 8) (Yang et al., 2014). In the diagram, three phases bounded at ~1670 cm (47.5 ka) and ~780 cm (36 ka), can be identified. Due to the positive relationship between wind strength and grain size in the aeolian sediments (Xiao et al., 1995), the increase in coarse particle sizes may indicate an increase in wind strength (Ding et al., 2002; Fang et al., 2002). So, the two boundaries reflect marked changes in the wind strength. Within the 1670-500 cm range, there is a clear lack of significant variations in either the mean size (Figure 6) or the detailed grain-size distribution: the only relatively notable change occurs at ~1450 cm (45 ka ago) (Figure 9). Thus, no sedimentary processes driven by wind strength have influenced the dust particles, and therefore the wind has had little effect on either the pollen or the microcharcoals. Clay mineral records (illite, chlorite, kaolinite and smectite) from the same section (Li et al., 2017) have also been presented here for comparison. Regardless of their paleoclimate indications, obvious changes only occurred at 1450 cm (45 ka ago). No other anomalies occurred within the 1670-780 cm range (Figure 8). Therefore, the sedimentary process

377 has also had little influence on the records of pollen and microcharcoals in the NLK section.

378 4.2.4 Human activities

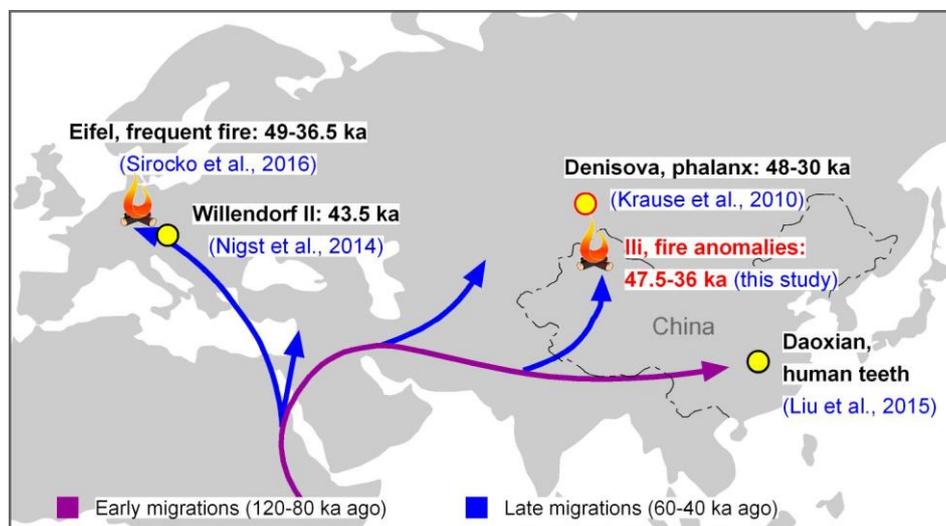
379 ~~If According to the oxygen isotope records from Greenland (Andersen et al., 2004) and Hulu~~
380 ~~Cave (Wang et al., 2001), as well as data from summer precipitation (Rao et al., 2013) and the~~
381 ~~aridity index established for Central Asia (Sun et al., 2012), neither the climate changes across~~
382 ~~Central Asia nor the taphonomic/sedimentary processes have attributed to the climatic/ecologic~~
383 ~~variations and fire anomalies in the Ili Basin, alternative factors must be considered.~~

384 ~~has maintained steady large-scale patterns with no substantial changes since 36 ka. Levels of~~
385 ~~CH₄ (Blunier and Brook, 2001) and CO₂ (Ahn and Brook, 2008) during this period remained~~
386 ~~within the bounds of normal fluctuations. So, large-scale climate change across Eurasia cannot be~~
387 ~~the primary factor explaining vegetation anomalies in the Ili Basin.~~

388 ~~Besides Excluding climate change, fire is ~~can be~~ another factor causing changes to vegetation~~
389 ~~and land cover (Bird and Cali, 1998; Bowman et al., 2009; Miao et al., 2016a; Sirocko et al.,~~
390 ~~2016), which can subsequently lead to localized ~~with potential for then causing a climatic~~~~
391 ~~anomalies. In Figure 7, we compiled the microcharcoal data to investigate the fire intensity on a~~
392 ~~relatively regional scale ($MC_{>50\ \mu\text{m}}/MC_{>50\ \mu\text{m}}$), including local forest fires ($MC_{R>50\ \mu\text{m}}/MC_{R>50\ \mu\text{m}}$)~~
393 ~~and local grass fires ($MC_{L>50\ \mu\text{m}}/MC_{L>50\ \mu\text{m}}$) as well as extreme local fire events ($MC_{>100\ \mu\text{m}}/MC_{<50$~~
394 ~~μm), based on according to the different shapes and sizes (see section 4.1). The results revealed two~~
395 ~~obvious fire anomaly periods: one during 47.5-36 ka, when local and extreme-local fires were~~
396 ~~markedly more intense, followed by with a sharp decrease to a normal level at 36 ka; the second~~
397 ~~was during 6-0 ka, again characterized by strong local and extreme-local fires.~~

398 In nature, wildfire has existed since the vegetation began to colonize the land (Glasspool et
399 al., 2004). According to Holocene fire records from the Northeast Tibetan Plateau (Miao et al.,
400 ~~2016b~~2017), as well as global records on orbital time scales (Bird and Cali, 1998; Luo et al.,
401 2001), ~~the~~ climate change might have strongly driven the fire changes through its influence on by
402 ~~changing~~ humidity. Summer precipitation during 41-36 ka was at its highest level of the past 70 ka
403 (Rao et al., 2013), which will have impeded burning. ~~Therefore, So, the~~ precipitation change was
404 not the key factor in the observed fire anomalies. Another possibility is that the fire was caused by
405 human activities. The earliest human-controlled fire can be traced back to at least 0.8 million years
406 in Israel (Goren-Inbar et al., 2004) or 0.4-0.5 million years for *Homo erectus pekinensis* in China

407 (Weiner et al., 1998), which means that ~~after that~~ the humans had ve widely colonized the
 408 ~~worldwide regions~~ globe during ~~in~~ the latest period of the Pleistocene e.g., the last glacial period,
 409 bringing their ~~with the~~ skills of fire control. The Ili Basin, as one of the most important
 410 passageways from Africa to high-latitude ~~of~~ Asia, e.g., Baikal Lake, may have been ~~an be~~ burned
 411 during their colonization, thus the natural vegetation ~~during their colonization sh~~ could have been
 412 strongly affected, changed or destroyed strongly, especially ~~including~~ the arbors. Cupressaceae, as
 413 a sensitive woody species in the mid latitudes of ~~Inner~~ Central Asia, grows slowly and, once
 414 destroyed, recovers growth is very slowly. This could explain why Cupressaceae disappeared so
 415 quickly fast following human colonization. ~~–~~



416
 417 *Figure 810. An early migration from Africa (adapted from Callaway, 2015). Fire anomalies Finds found*
 418 *in the Ili Basin, Central Asia dated to 47.5-36 kyrka correlate with human fire activity (this study) and*
 419 *frequent fires explained as the result of the natural forest in Europe dated to 49-36.5 ka (Sirocko et al.,*
 420 *2016) are plotted.*

421

422 There is widespread evidence supporting human occupation of Central Asia during the
 423 Holocene (Huang et al., 1988; Wang and Zhang, 1988; Taklimakan Desert archaeology group,
 424 1990; Yidilis, 1993; Lu-ü et al., 2010; Zhang et al., 2011; Tang et al., 2013; Han et al., 2014). In the
 425 Ili Basin, although direct archeological sites are limited, the coeval local fire intensification
 426 supports human activity as a factor causing fire anomalies after around ca. 6 ka. This relationship
 427 can be similarly extended to observed fire anomalies at 47.5-36 ka, when humans migrated into
 428 the Ili Basin. Although direct archeological proofs of fire usage at this time are still lacking,

429 human colonization of mid-to high-latitude Eurasia occurred after 200 to 80 ka (Liu ~~Wu~~ et al.,
430 2015) and extended to Central Asia after around 60-40 ka (Callaway, 2015); for example, ~~in~~
431 Denisova Cave, in the Altai Mountains, Russia. The phalanx was found in a stratum dated to 48–
432 30 ka ago (Krause et al., 2010) (Figures 8, 10). So, it is not difficult to link the local fire anomalies
433 during 47.5-36 ka in the Ili Basin to human activities: the increased occurrence of local fires (for
434 cooking, or burning the uncultivated land) quickly destroyed the vegetation, causing the observed
435 vegetation degeneration. If this is the case, the modern vegetation characteristics may have merged
436 at originated since around 36 ka ago. In future, the use of a widespread massive and sustained
437 ecological program of vegetation rehabilitation in the arid and semiarid region should reduce the
438 risk of destructive fire, and will in order to avoid a similar local vegetation disaster similar to that
439 which occurred at 36 ka.–

440 Interestingly, in Europe, the charcoal maxima show high frequent forest fires during 49-36.5
441 ka, explained as the result of the natural taiga fires under frequent drought stress. This is because
442 the strongest fires at ~45 ka ago predate the movement of anatomically modern humans into
443 central Europe (Sirocko et al., 2016). However, modern humans spreading into this area have been
444 dated as early as ~43.5 ka (Nigst et al., 2014), very close to the fire maxima (Figure 9).
445 Furthermore, according to the pollen assemblages in this study, there are two other periods
446 (besides that during 49-36.5 ka) dominated by boreal forests, at around 147-105 ka and 15-10.5 ka,
447 respectively (Sirocko et al., 2016). If a similar natural climate can play a similar dominant role in
448 the vegetation and fire patterns, then the abundance of charcoal fragments during these two similar
449 periods should be broadly higher, yet the values are almost the same as those of other periods
450 dominated by other vegetation types (Sirocko et al., 2016). Therefore, the natural climate and
451 forest changes may be not the key factors explaining the abnormal fire frequencies, and instead the
452 human activities in Central Europe during 49-36.5 ka should not be discounted.

453 5. Conclusions

454 In the ~~Nileke Section,~~ Ili Basin, ~~the~~ pollen assemblages over the past 70 ka show a rapid sharp
455 vegetation change at ~36 ka characterized by increasing herbs ~~increase~~ and decreasing
456 Cupressaceae ~~decrease, which is difficult to be explained in terms of a Eurasian climate anomaly~~
457 ~~and instead is attributed to local vegetation degradation~~ explained as the result of ~~caused by~~ local
458 fire intensification during 47.5-36 ago rather than particular taphonomic effects or sedimentary

459 ~~processes~~. Human activities ~~_during 45-36 ka may be are~~ inferred as one of the main driving
460 forces of ~~these this anomalies change~~, although no direct archeological proofs ~~are have been~~
461 ~~investigated still lacking~~. In future, ~~new~~ archeological investigation sites in this area ~~are is~~ required
462 to ~~check investigate the extent to which this hypothesis ancient human activities influenced the~~
463 ~~vegetation. This will provide further insights into the relationships between human fire activity~~
464 ~~and local vegetation and even climate change.~~

465

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474

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