

1 **Vegetation and fire anomalies during the last ~70 ka in the Ili Basin, Central Asia**

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16
17 **Abstract:** Records of vegetation characteristics and fire activity obtained from the same profile
18 can offer an opportunity to better understand paleoclimatic and paleoecological changes and their
19 underlying driving forces. Here, we present sporopollen (spores and pollen) and microcharcoal
20 data collected together from the wind-blown loess Nileke (NLK) section, representing the past
21 ~70 thousand years (ka) in the Ili Basin (Northwest China), Central Asia. Results reveal that the
22 temperate woody taxa (e.g., Cupressaceae) remained at high levels before 36 ka, while the total
23 microcharcoal concentrations (MC) were relatively low. After 36 ka, the herbaceous taxa (e.g.,
24 *Artemisia*, Chenopodiaceae) abruptly replaced the woody taxa and the MC increased. This
25 vegetation degeneration at 36 ka is notable because no equivalent changes have been identified
26 anywhere else across Eurasia. Another interesting observation is that the vegetation degeneration
27 immediately followed a period characterized by an increased number of larger microcharcoal
28 particles, in contrast to the smaller sizes occurring between 47.5 and 36 ka. This pattern can be
29 explained in terms of (1) a special, localized environment event caused by the particular special
30 taphonomic effects or sedimentary processes unrelated to the fire strength/frequency; or (2) an

31 ecological event driven by human activities, such as burning the local vegetation near the NLK
32 site. The latter case is argued to be more likely. Future analysis of first-hand archeological sites in
33 this area will be an important step in checking this hypothesis.

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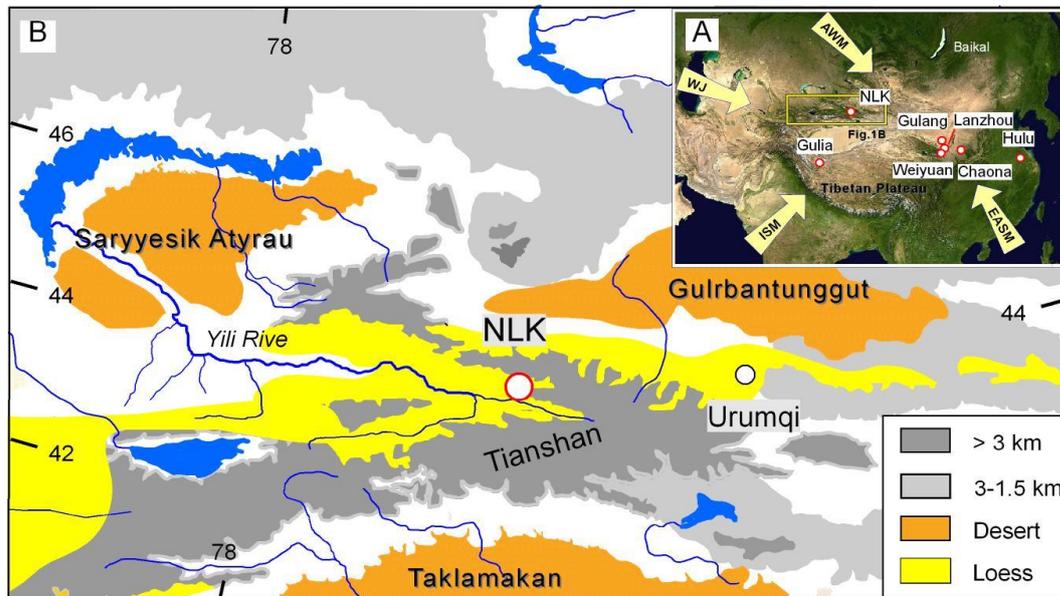
35 **Keywords:** Vegetation; Fire; Anomaly; Human activities; Last glacial period

36

37 **1. Introduction**

38 The climate, vegetation, fire and human activities, as well as the relationships among them
39 during the late Quaternary, especially the last glacial period, provide basic insights by which to
40 understand the future (e. g., Behling and Safford, 2010; Cheng et al., 2012; Li et al., 2013; Hubau
41 et al., 2015; Varela et al., 2015). High-resolution stalagmite (Wang et al., 2001; Cheng et al., 2012),
42 ice core (Thompson et al., 1997; Petit et al., 1999; Augustin et al., 2004) and loess (e.g., Chen et
43 al., 1997; Hao et al., 2012; Sun et al., 2012; Rao et al., 2013) analysis has yielded many
44 paleoclimate records. These are characterized by a series of strong fluctuations, named cold
45 Heinrich or warm Dansgaard-Oeschger events, as well as a warm middle Holocene (e.g., Bond et
46 al., 1997). However, as the most sensitive organic proxies for terrestrial climate change, a limited
47 number of complete vegetation records have been obtained to show how the terrestrial ecological
48 landscape responded to the climate change (e.g., Guiot et al., 1993; Allen et al., 1999; Jiang et al.,
49 2011; Nigst et al., 2014). These have revealed that the vegetation changes are largely a response to
50 natural climate change, with no strong evidence to suggest that humans have significantly
51 disturbed/changed the vegetation/ecology until the late Holocene (e.g., Nigst et al., 2014).
52 Additionally, fire is another sensitive proxy used for reconstructing climate and ecology (e.g.,
53 Filion, 1984; Bird and Cali, 1998; Bowman et al., 2009). Besides climate and ecology, records of
54 vegetation and fire together are also unique indicators of human activities, owing to the impact of
55 human activities such as vegetation cutting and burning (e.g., Patterson et al., 1987; Whitlock and
56 Larsen, 2002; Huang et al., 2006; Aranbarri et al., 2014; Miao et al., 2016a, 2017; Sirocko et al.,
57 2016); however, most relevant studies have been limited to the late Holocene, especially at or near
58 archeological sites (Miao et al., 2017), although anthropogenic fire has been evidenced earlier than
59 1000 ka ago (e.g., Clark and Harris, 1985; Gowlett and Wrangham, 2013). In fact, the last glacial
60 period is considered as a key period of modern human's migration: the human migration from

61 Africa started at ~200 ka ago and spread into Eurasia (Templeton, 2002; Sun et al., 2012), so
 62 studies of vegetation and fire within the same profile (section or core) are helpful in understanding
 63 the vegetation, fire and climate change, as well as human activities (e.g., Zhao et al., 2010; Wang
 64 et al., 2013; Miao et al., 2016a; 2017).
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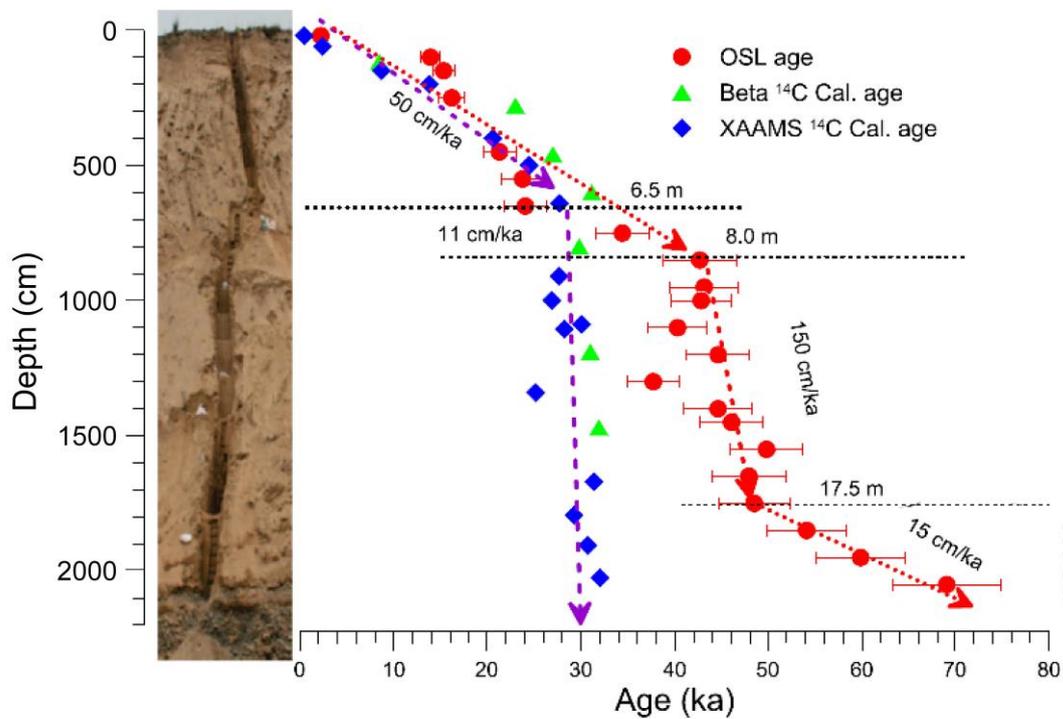
66
 67 *Figure 1. A. Asian morphological map with climate systems showing the NLK section location and*
 68 *climatic proxy sites covering the past 70 ka. These sites include the Gulia glacial core (Thompson*
 69 *et al., 1997), Gulang wind-blown sediments (Sun et al., 2012), Chaona (Wang et al., 2016), Hulu*
 70 *stalagmite oxygen isotope records (Wang et al., 2001), Weiyuan summer precipitation*
 71 *reconstruction (Rao et al., 2013) and Lanzhou pollen analysis (Jiang et al., 2011). B. A*
 72 *morphological map showing the location of the NLK section in this study. ASM: Asian summer*
 73 *monsoon; ISM: Indian summer monsoon; WJ: Westerly jet; AWM: Asian winter monsoon.*

74
 75 Central Asia is dominated by a dry climate (Figure 1A), which is very sensitive to any
 76 climate changes (fluctuations or anomalies) and human activities. In this study, we firstly present
 77 pollen and microcharcoal results from a wind-blown loess sediment section (Figure 1B) to reveal
 78 how vegetation and fire activity have changed during the past 70 ka; we then analyze the
 79 mechanisms underlying these changes.

80 **2. Materials and methods**

81 **2.1 Lithostratigraphy and chronology**

82 The Ili Basin is surrounded by the Tianshan orogenic belt in east Central Asia, with gentle
 83 topography to the west. The basin opens to the west and funnels winds and cyclonic disturbances,
 84 often associated with prevailing westerly winds (Ye, 2001). The Ili Basin has a temperate,
 85 continental, arid climate with a mean annual temperature that varies from 2.6 °C at 1850 m to
 86 10.4 °C at 660 m; the mean annual precipitation varies correspondingly from 512 to 257 mm (Ye et
 87 al., 1997). The surface soils are a sierozem (aridosols) with widely distributed desert steppe
 88 vegetation. The vegetation coverage is <50%, mainly comprising *Artemisia* spp. and
 89 *Chenopodiaceae* spp. There are no obvious accumulations of organic matter in the surface horizon
 90 of the modern soil.



91
 92 *Figure 2. Stratigraphy and dating for the NLK Section. Radiocarbon ages (Beta and XAAMS)*
 93 *appear to saturate below a depth of 6.5 m at ca. 30 cal ka BP (purple dashed line), while the OSL*
 94 *ages continue to increase with depth. The OSL ages are used as an age-depth model (for more*
 95 *details see Song et al. 2015).*

96

97 To the west of the Ili Basin are the vast central Asian Gobi Deserts, such as Saryesik-Atyrau
 98 Desert (Figure 1B), the probable source of dust for Late Pleistocene loess deposits. The loess

99 deposits are widely distributed across the piedmont of the Tianshan Mountains, river terraces and
100 desert margins. The loess thickness ranges from several meters to approximately two hundred
101 meters, and there are two primary depocenters: around Sangongxiang in the northwest and
102 Xinyuan in the east Ili basin (Song et al., 2014). Most of the loess appears to have been deposited
103 since the last interglacial period (ca. 130 ka ago; Ye, 2001; Song et al., 2010; 2014; Li et al.,
104 2016).

105 The NLK section (83.25 E, 43.76 N, 1253 m a. s. l) is located on the second terrace of the
106 Kashi River, a branch of the Ili River, in the east of the Ili Basin (Figure 1B). The loess sequence
107 is 20.5 m thick, largely homogeneous in appearance with two diffuse paleosols at depths of 5-7.5
108 m and 15.5-18.5 m (Figure 2) (Song et al., 2015). The loess sequence rests conformably on fluvial
109 sand and gravels. The contact between the loess and fluvial sediment is abrupt, with no obvious
110 lag, erosion or pedogenesis. The loess is composed of 70%-84% silt and 3%-17% very fine sand
111 (63-100 mm), with the remaining fraction being clay. A high-resolution quartz optically stimulated
112 luminescence (OSL) chronology has already been established (Yang et al., 2014; Song et al.,
113 2015). Based on these OSL ages, two intervals of higher mass accumulation rate occurred at 49-43
114 ka and 24-14 ka ago (Song et al., 2015).

115 **2.2 Pollen and charcoal collection**

116 A total of 104 samples of 49-56 g weight were taken at 20 cm intervals from the NLK section
117 for palynological analysis. The samples were treated with standard palynological methods: acid
118 digestion (treatment with 10% HCl and 40% HF acid to remove carbonates and silicates,
119 respectively) and fine sieving to enrich the spores and pollen grains. The prepared specimens were
120 mounted in glycerol for identification. All samples were studied at the Cold and Arid Regions
121 Environmental and Engineering Research Institute (CAREERI), Chinese Academy of Sciences
122 (CAS), by comparison with official published pollen plates and modern pollen references. Each
123 pollen sample was counted under a light microscope at 400× magnification in regularly spaced
124 traverses. More than 150 spores and pollen grains were counted within each sample. A known
125 number of *Lycopodium clavatum* spores (batch # 27600) were initially added to each sample for
126 calculation of pollen and microcharcoal concentrations (Maher, 1981).

127 The concentration of pollen or microcharcoals can be calculated according to the following
128 formula: $C=N_x/L_x \times 27600/W_x$

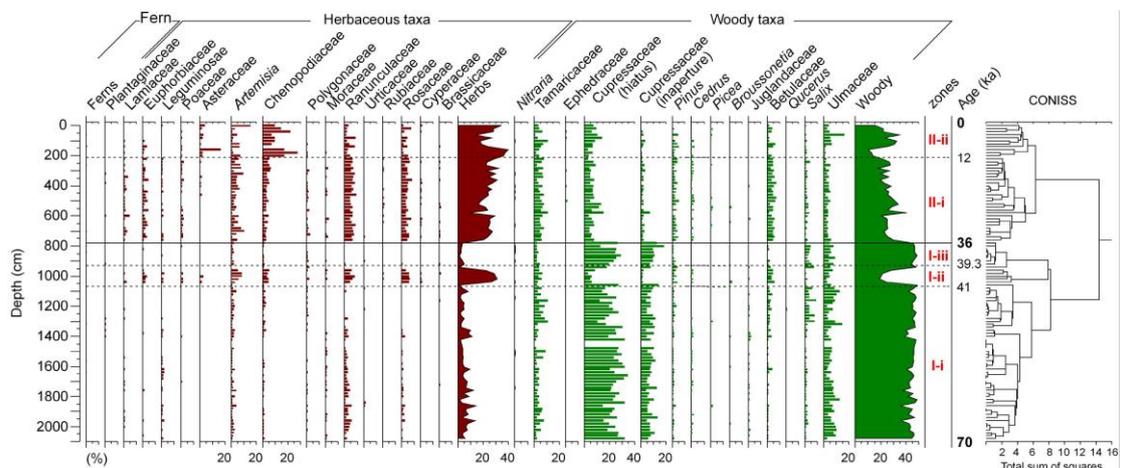
129 C: concentration; N: identified number of charcoals; L: number of *Lycopodium clavatum*; W:
 130 sample dry weight; x: sample number; 27600: grain numbers of *Lycopodium clavatum* per pill.

131 For the microcharcoal identification, four particle size units were defined as follows: <30 µm,
 132 30-50 µm, 50-100 µm and >100 µm (Miao et al., 2016a), then the total microcharcoal
 133 concentrations (MC) were obtained by summing over all sizes and using the above formula. As
 134 the residual matter from the incomplete burning of vegetation, charcoals are usually characterized
 135 either by spherical bodies without structure or by particles with some original plant structures
 136 preserved.

137 3. Results and analysis

138 In the pollen assemblages, dominant palynomorphs originated mainly from the herbaceous
 139 taxa such as Chenopodiaceae, *Artemisia*, Ranunculaceae, Asteraceae and Rosaceae. Woody taxa
 140 were Cupressaceae, *Pinus*, *Betula*, Ulmaceae and Tamaricaceae; the other temperate taxa with low
 141 percentages were *Quercus*, *Picea*, *Cedrus* and *Broussonetia* etc.

142



143

144 *Figure 3. Pollen percentage diagram for the NLK section, Ili Basin.*

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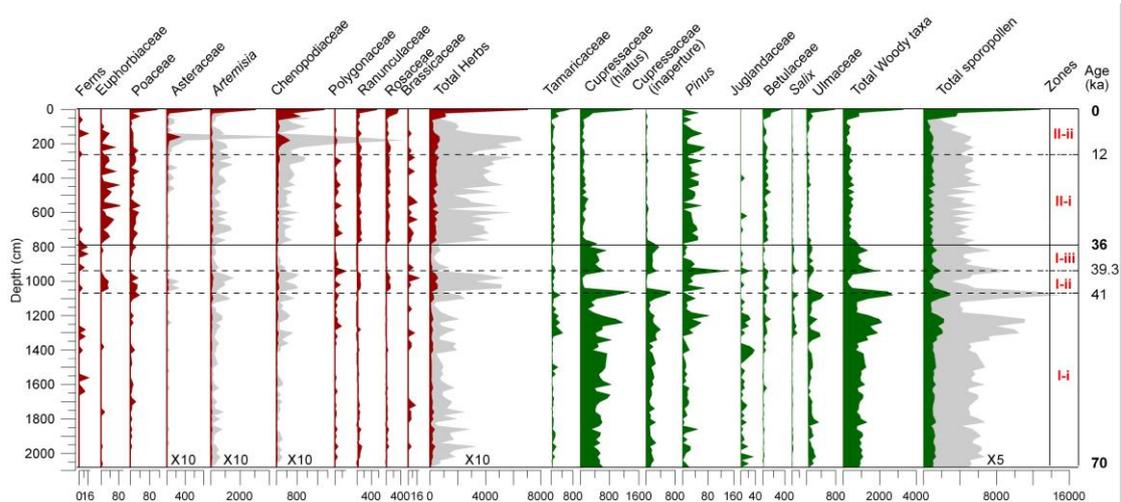
146 The pollen diagram was divided into two pollen assemblage zones based on variations in the
 147 percentages according to stratigraphically-constrained cluster analysis (CONISS) carried out using
 148 Tilia software (E. Grimm of Illinois State Museum, Springfield, Illinois, USA) (Figure 3) and
 149 concentrations of the dominant taxa, from the older to the younger samples. The two zones are as
 150 follows.

151 Zone I (2080-780 cm; 70-36 ka ago): the assemblages were characterized by high

152 percentages of Cupressaceae (hiatus) (ca. 5.2%-68.7%, with an average of 42.4%) and
153 Cupressaceae (inaperture) (ca. 1.4%-34.7%, average 14.0%), Ulmaceae (ca. 2.8%-26.1%, average
154 11.3%) and, Tamaricaceae (ca. 1.9%-20.9%, average 7.3%). In the herbaceous taxa, only
155 *Artemisia* (ca. 0-14.8%, average 3.3%), Ranunculaceae (ca. 0-14.2%, average 3.0%) and
156 Chenopodiaceae (ca. 0-8%, average 1.8%) were dominant, and were present at much lower
157 abundances relative to the woody taxa. In more detail, three subzones were identified according to
158 the assemblages: I-i, I-ii and I-iii with divisions at 1070 and 930 cm, corresponding to ages of 41
159 ka and 39.3 ka. The subzones I-i and I-iii were both characterized by high Cupressaceae, whereas
160 subzone I-ii was dominated by herbaceous taxa.

161 In the pollen concentrations, the same zones were also identified at a depth of 780 cm. The
162 woody taxa were dominant below this boundary, and those such as Cupressaceae (hiatus and
163 inaperture), Ulmaceae and Tamaricaceae reached counts of around 1000 grains/g, 200 grains/g
164 and 100 grains/g, respectively. Others such as *Pinus*, Juglandaceae, *Betula* and *Salix* were also
165 common. By contrast, all herbaceous taxa were very low (Figure 4). We also added the boundary
166 at a depth of 780 cm to divide the MC assemblages. Below the boundary, the fluctuations in all
167 different sizes and shapes were stronger, especially in Zones I-ii and I-iii (Figure 5).

168 Zone II (780-0 cm; 36-0 ka ago): the woody taxa were extensively replaced by herbaceous
169 taxa, of which Cupressaceae (hiatus) (ca. 3.5%-51.0%, average 12.1%) and Cupressaceae
170 (inaperture) (ca. 0-24.5%, average 2.9%), Tamaricaceae (ca. 1.5%-19.4%, average 8.9%) and
171 Ulmaceae (ca. 0.5%-27.9%, average 5.6%) were dominant; *Betula* and *Pinus* increased slightly
172 (ca. 0-12.6%, average 6.4% and ca. 0-8.6%, average 2.3%, respectively). In the herbaceous taxa,
173 *Artemisia* (ca. 0.9-24.1%, average 7.1%), Chenopodiaceae (ca. 0-48.2%, average 9.0%), Rosaceae
174 (ca. 0-15.0%, average 8.6%) and Ranunculaceae (ca. 0-14.2%, average 3.0%) increased obviously,
175 and the rest remained broadly stable. In more detail, two sub-horizons were identified: II-i and
176 II-ii, divided based on the Asteraceae and Chenopodiaceae increase at 210 cm, correlated to an
177 age of 12 ka (Figure 3).



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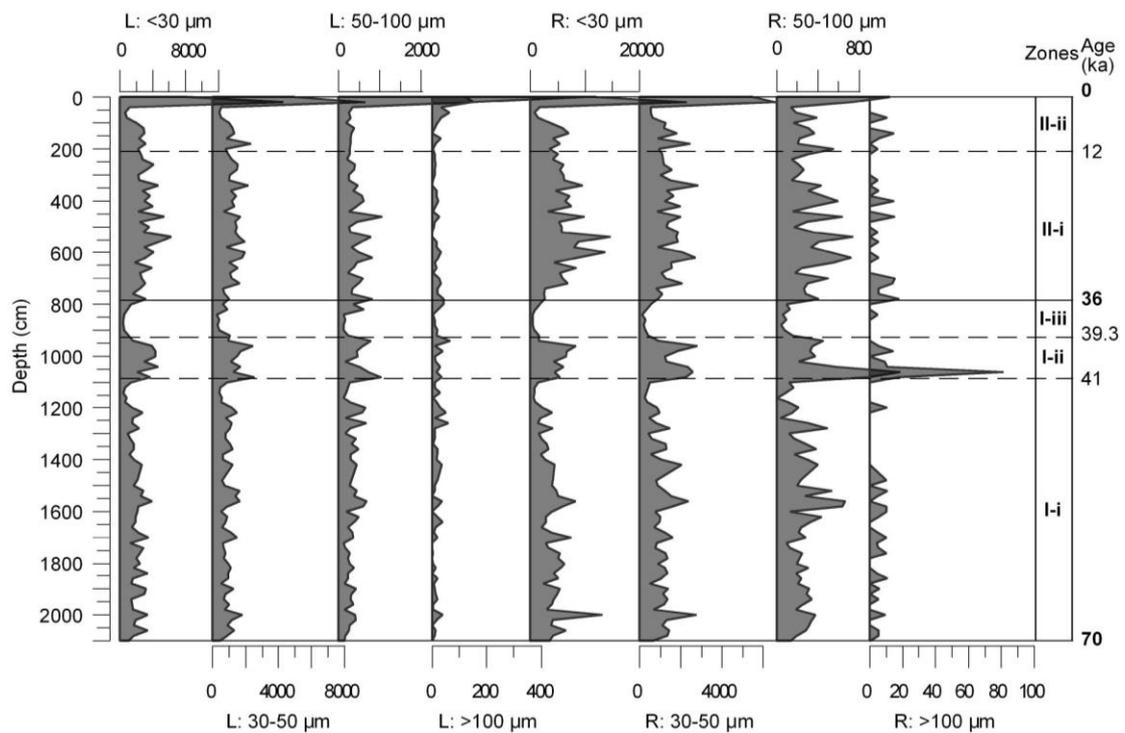
179 Figure 4. Pollen concentration diagram for the NLK section, Ili Basin, China (unit: grains/g; zone
180 divisions follow Figure 3).

181

182 The pollen concentrations in Zone II show that the woody Cupressaceae (hiatus and
183 inaperture), Ulmaceae, Juglandaceae and Tamaricaceae obviously decreased while the herbaceous
184 taxa such as *Artemisia*, Chenopodiaceae, Poaceae, Ranunculaceae and Rosaceae increased. At the
185 sub-boundary of II-i and II-ii, Asteraceae, *Artemisia* and Chenopodiaceae increased strongly
186 (Figure 4). For the MC, all different shapes and sizes remained at generally stable and relatively
187 low values in Zone II-i whereas in Zone II-ii the concentrations in all samples clearly started to
188 increase, especially in the uppermost layers (Figure 5).

189 In summary, there are clear divisions at a depth of 780 cm, corresponding to an age of 36 ka.
190 Prior to this change, there was a high percentage of woody taxa, but subsequently the herbaceous
191 taxa became more dominant, especially after 12 ka. The assemblages of pollen concentrations and
192 MC can also be divided into two periods, with a transition at 36 ka.

193



194

195 *Figure 5. The MC records for different sizes and shapes in the NLK section (unit: grains/g; L:*
 196 *elongated shapes; R: rounder shapes; zone divisions follow Figure 3).*

197

198 4. Discussion

199 The modern climate in Central Asia is controlled by the East Asian summer monsoon, Indian
 200 summer monsoon, Asian winter monsoon and Westerlies (Figure 1A). In the Ili Basin,
 201 meteorological records indicate that strong surface winds from the west, northwest and southwest
 202 which occur frequently from April to July play the dominant role in the transportation of dust,
 203 suggesting that the wind-blown sediments in the NLK section are driven by the Westerlies.
 204 Therefore, the grain size of the sediments can be regarded as a basic proxy for the intensification
 205 of the Westerlies (Li et al., 2015; Li et al., 2016). Furthermore, the Ili Basin is surrounded by the
 206 Tianshan Mountains to the south, east and north (with elevations exceeding 3-4 km) but low
 207 elevations (~800-1600 m a. s. l) to the west. Consequently, most of the precipitation reaching the
 208 basin will have been transported by the Westerlies during the last glacial period. Here, we try
 209 firstly to estimate changes in the vegetation and fire characteristics in the Ili Basin; secondly, to
 210 discuss the overall climate change across Eurasia over the past 70 ka; and finally, to provide some
 211 speculation regarding the observed differences.

212 **4.1 Vegetation and fire anomalies at NLK**

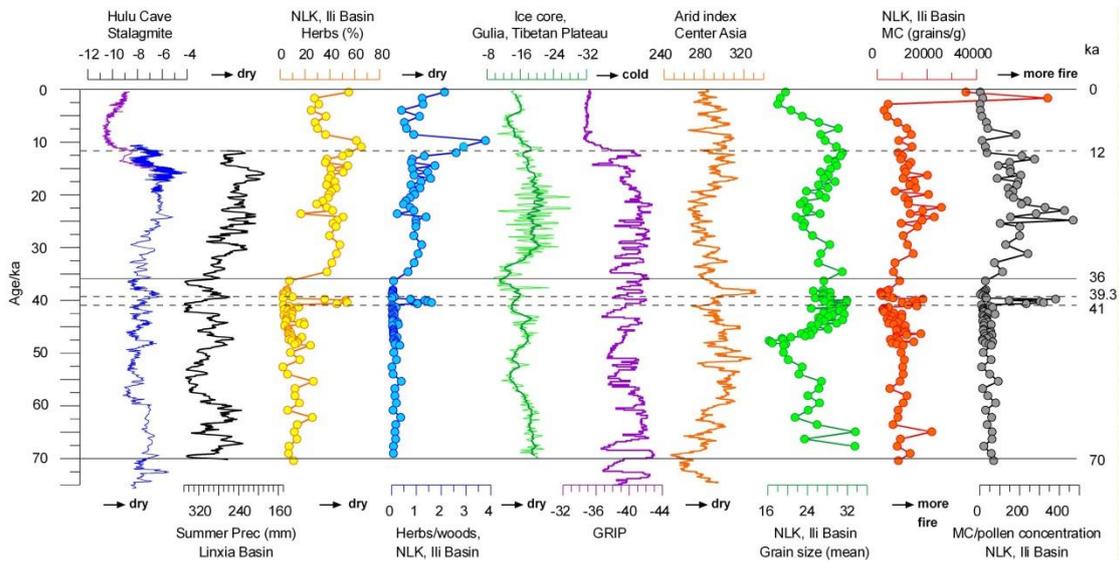
213 The pollen dataset can be regarded as a reliable proxy for investigating the vegetation change
214 in the study area. In the NLK section, during 70-36 ka, the pollen assemblages show a relatively
215 woody taxa-dominated landscape: during this time, the woody taxa reached their highest levels of
216 the whole section (Figure 6). After 36 ka, the vegetation deteriorated markedly, as evidenced by
217 the rapid disappearance of woody taxa following strong fluctuations during 41-36 ka. This was
218 especially notable for Cupressaceae. In more detail, no obvious fluctuations were noted during
219 these two periods except for during the interval between 41 and 36 ka. The pollen concentrations
220 also followed a similarly stable trend except for the anomalies between 41 and 36 ka. Overall, the
221 most obvious vegetation change according to the pollen data was at around 36 ka ago, as indicated
222 by the sharp decrease of woody taxa in the vegetation assemblages. No similar vegetation
223 transition has been observed in Eurasia (e.g., Guiot et al., 1993; Allen et al., 1999; Jiang et al.,
224 2011).

225 Charcoal particles remaining following combustion are entrained in the smoke and then
226 carried by the wind. Following deposition, they remain as a direct proxy of fire activity. On the
227 Loess Plateau, smaller charcoal particles can be easily transported over long distances by the wind,
228 but the larger particles tend to travel only a short distance (Huang et al., 2006). Therefore, the
229 charcoal particle size can be related to its distance from the fire (Patterson et al., 1987; Clark, 1988;
230 Luo et al., 2001; Miao et al., 2016a; 2017), with smaller particles likely to have been transported
231 further from the fire (Clark, 1988). Moreover, a rounder shape (long axis to short axis ratio <2.5)
232 is more likely related to forest fires while elongated particles (long axis to short axis ratio >2.5)
233 are more indicative of grass fires (Umbanhowar and Mcgrath, 1998; Crawford and Belcher, 2014).
234 The charcoal assemblages in the Ili Basin show a relatively low fire frequency/severity at regional
235 and local scales, in forest and grass, before 36 ka; activities then increased gradually after 36 ka
236 (Figures 6, 7). Superimposed on this general trend is the first notable anomaly, which occurred at
237 47.5-36 ka and was characterized by a high frequency of local grass and forest fires. Another
238 similar anomaly occurred at the top of the profile (less than 6 ka ago) in the layer with the highest
239 levels of regional and local grass fires as well as the highest regional forest fires (Figure 5).

240 In summary, the climate in the Ili Basin abruptly became arid at 36 ka ago, according to
241 pollen data, while an unexpected strengthening in local fire activity occurred during 47.5-36 ka

242 according to the microcharcoal data. Both vegetation and fire changes are different to those of the
 243 grain-size and clay mineral analysis from the same section (Figure 8).

244



245

246 *Figure 6. Comparison of climate proxies across the Northern Hemisphere and NLK section. These*
 247 *are the Hulu cave, Nanjing (Wang et al., 2001); summer precipitation reconstruction in the Linxia*
 248 *Basin (Rao et al., 2013); ice core, Gulia, Tibetan Plateau (Thompson et al., 1997); NGRIP*
 249 *(Andersen et al., 2004); and aridity index in central Asia (Li et al., 2013). Divisions follow Fig. 3.*

250

251 4.2 Driving forces

252 Here, the global/regional climate background as well as its influence on the Central Asian
 253 vegetation and fire will be discussed first, followed by the potential influences of specific factors,
 254 such as taphonomic effects, sedimentary processes and human activities.

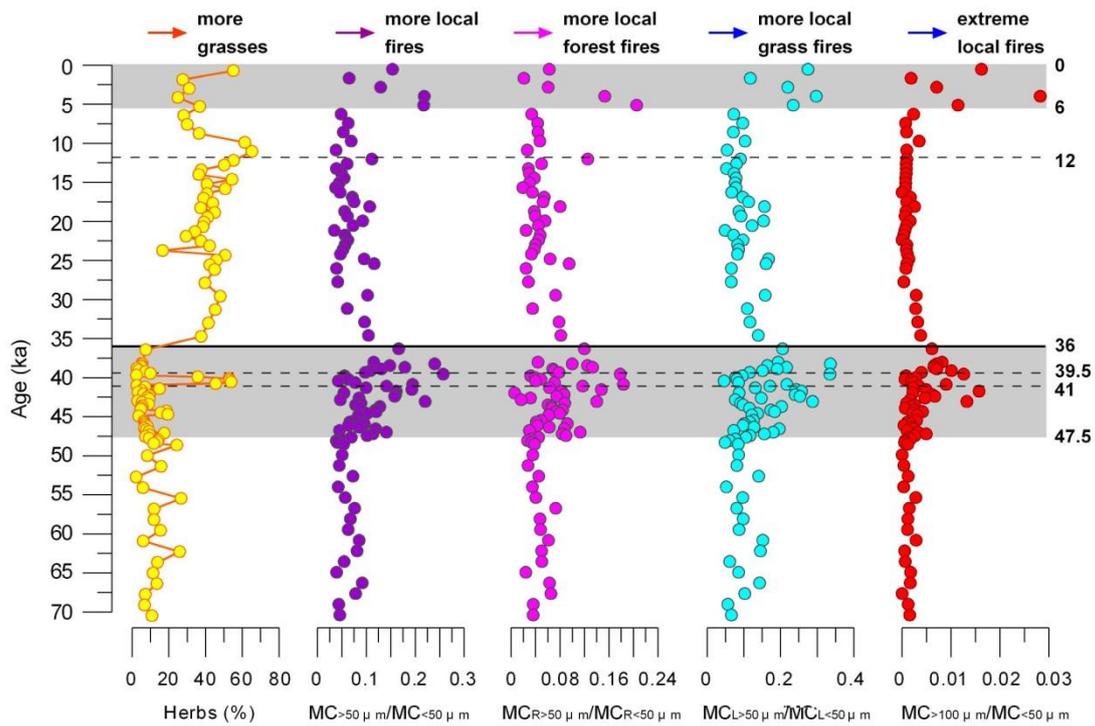
255 4.2.1 Global climate and fire background

256 Here, multiple proxies from terrestrial and marine sources have revealed the basic patterns of
 257 climate change during the last glacial period, characterized by abrupt, millennial-scale cold events
 258 (Petit et al., 1999; Wang et al., 2001; Augustin et al., 2004; Cheng et al., 2012) (Figure 6). These
 259 climate fluctuations are particularly pronounced in records of the East Asian monsoon system
 260 (Porter and An, 1995; Guo et al., 1996; Thompson et al., 1997; Wang et al., 2001; Sun et al.,
 261 2012).

262 The Greenland NGRIP ice core (Andersen et al., 2004) indicates that temperature variations

263 in the high latitudes of the Northern Hemisphere have been characterized by high-frequency
264 fluctuations over the past 70 ka, with the most obvious change occurring at around 12 ka ago but
265 with no significant anomaly at 36 ka ago. At the same time, high-resolution summer precipitation
266 variations in the western Chinese Loess Plateau were found to contain similar anomalies (Rao et
267 al., 2013), yet with no obvious precipitation change at ca. 36 ka, despite their proximity to the
268 Lanzhou loess sediments, where the shrubs and herbs reached the highest abundances after ca.40
269 ka owing to the strengthened westerlies bringing increased moisture to Northwest China (Jiang et
270 al., 2011). Besides the temperature/precipitation changes, the levels of greenhouse gases, e.g., CH₄
271 (Blunier and Brook, 2001) and CO₂ (Ahn and Brook, 2008) during this period remained within the
272 bounds of normal fluctuations. So, large-scale climate change across Eurasia cannot be the
273 primary factor explaining the vegetation anomalies at ~36 ka ago and fire anomalies at 47-36.5 ka
274 ago in the Ili Basin.

275 According to a contemporaneous fire study, the macroscopic charcoals from Eifel (Germany),
276 central Europe reveal frequent drought stress and frequent forest fires during 49–36.5 ka, which
277 appeared even stronger than those during 6-0 ka. The former is explained as a result of natural
278 fires, and the latter is linked to the widespread alteration of the early Holocene forests by humans,
279 as the charcoals contain elements from cereal and cattle farming (Figure 9) (Sirocko et al., 2016).
280 Regardless of the underlying causes of these changes in Europe, the two periods of fire anomalies
281 correlated well with the results from the NLK section (Figure 9).



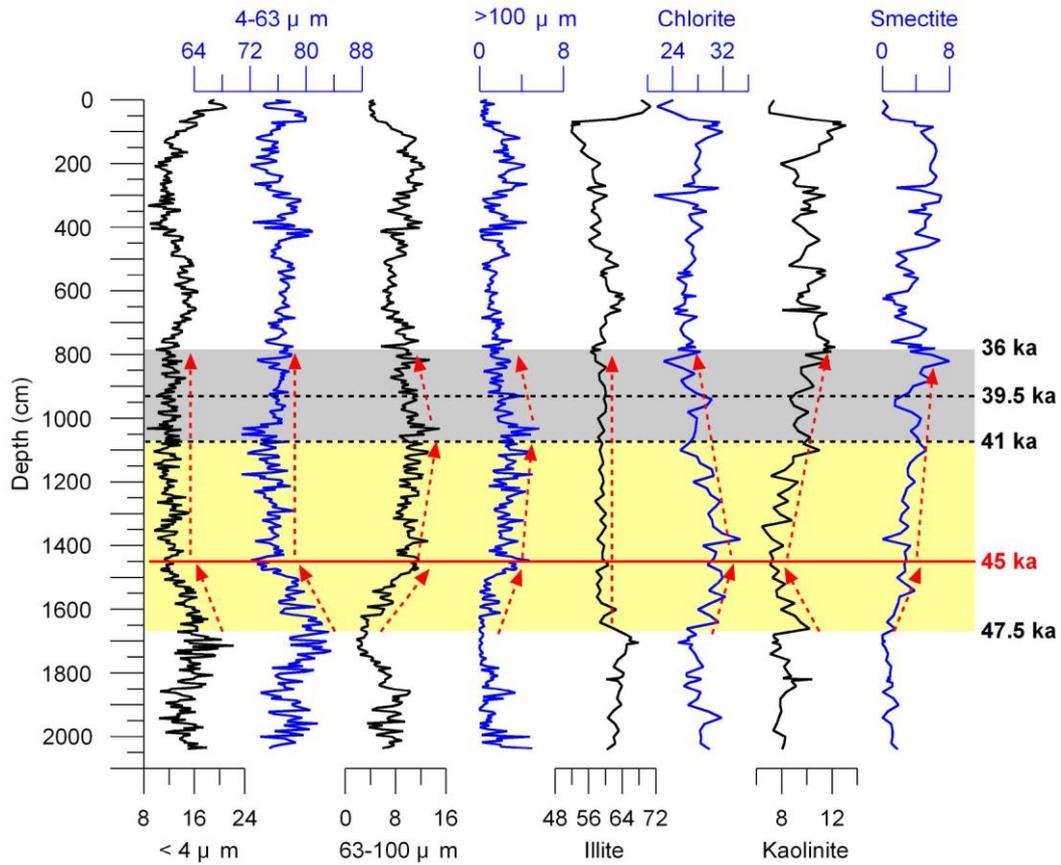
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283 *Figure 7. Vegetation versus fire anomalies identified in the NLK section during 47.5-36 ka. Gray*

284 *rectangles show periods of intensified local fire activity during 47.5-36 and 6-0 ka, which cannot*

285 *easily be explained as the result of the climate change.*

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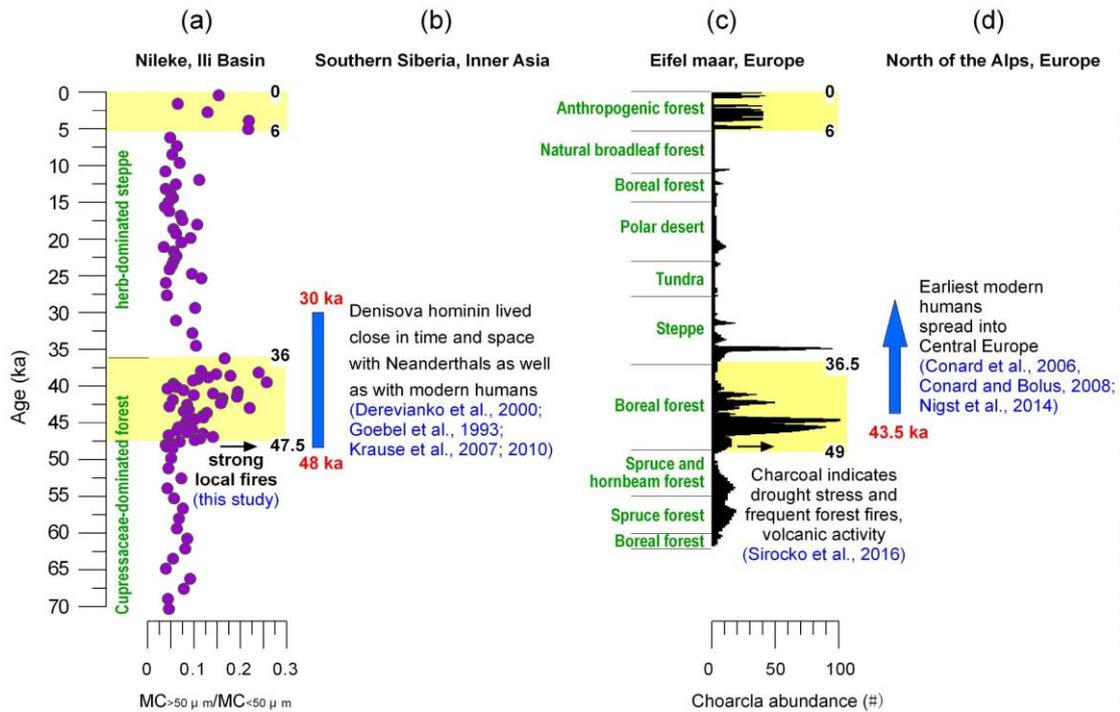


287

288 Figure 8. Grain-size distributions ($<4 \mu\text{m}$, $4-63 \mu\text{m}$, $63-100 \mu\text{m}$, and $>100 \mu\text{m}$, respectively) (Yang
 289 et al., 2014) and mineralogy in percentage weight of the main clay fraction (Illite, Chlorite,
 290 Kaolinite, and Smectite)(Li et al., 2017) from the NLK section vs. depth. The gray and yellow
 291 shaded areas with ages indicate the vegetation and fire anomalies corresponding to Figures 3 and
 292 7, respectively. The dashed red arrows show the trends, and the heavy red line indicates the
 293 obvious turning point of these trends at $\sim 45 \text{ ka}$.

294

295



296

297 *Figure 9. Correlations of (a) fire anomalies in the NLK section, Central Asia; (b) Denisova*
 298 *hominin periods, Central Asia; (c) fire anomalies in Eifel, Europe, and (d) modern humans*
 299 *beginning to colonize Europe. Both vegetation assemblages are according to the pollen data.*
 300 *Yellow rectangles indicate their own individual zones mentioned in this study based on the pollen*
 301 *assemblages.*

302

303 4.2.2 Taphonomic effect

304 Although the climate usually plays a key role in vegetation and fire changes, the taphonomic
 305 process can, theoretically, disturb the paleoclimatic records and interpretation by oxidizing the
 306 pollen and microcharcoals during/after their burial. If oxidization does occur, some thin-walled
 307 pollen grains and small microcharcoals would disappear first and thus influence the pollen
 308 assemblages and fire interpretation, leading to erroneous paleoclimate/paleoecology inferences.
 309 Fortunately, this process does not have a significant impact. Firstly, pollen have a hard coat (wall)
 310 made of sporopollen, which is very difficult to oxidize. For example, the pollen of Cupressaceae
 311 are common in the Holocene (Chen et al., 2006) or even in some Quaternary aeolian sediments
 312 (Wu et al., 2007) in Central Asia, despite having a very thin wall. Here, high percentages of
 313 Cupressaceae pollen at the bottom of the section may indicate that the oxidization during/after
 314 burial has not influenced the pollen assemblages at all (Figures 3 and 4). Secondly, (micro-)

315 charcoal is a lightweight, black residue, consisting of carbon and any remaining ash, obtained by
316 removing water and other volatile constituents from vegetation substances. In contrast to pollen,
317 charcoal is more difficult to oxidize, even over relatively long time scale, e.g., the Miocene (Miao
318 et al., 2016b). So, the taphonomic effect has little influence on either pollen or microcharcoals.

319 **4.2.3 Effects of sedimentary processes**

320 Sedimentary process can also affect the paleoclimatic record by sorting the pollen and
321 microcharcoal assemblages. For example, different wind or fluvial velocities can sort and stratify
322 the sedimentary grains differently: high velocities will blow or wash the fine grains away, leaving
323 only the relative coarse grains to be buried. Dust particles and pollen/microcharcoal grains have
324 similar sizes, if one particle type has been affected then it is likely that the other type will have
325 been modified too. Here, we show the typical grain size changes of the dust particles to illustrate
326 this issue (Figure 8).

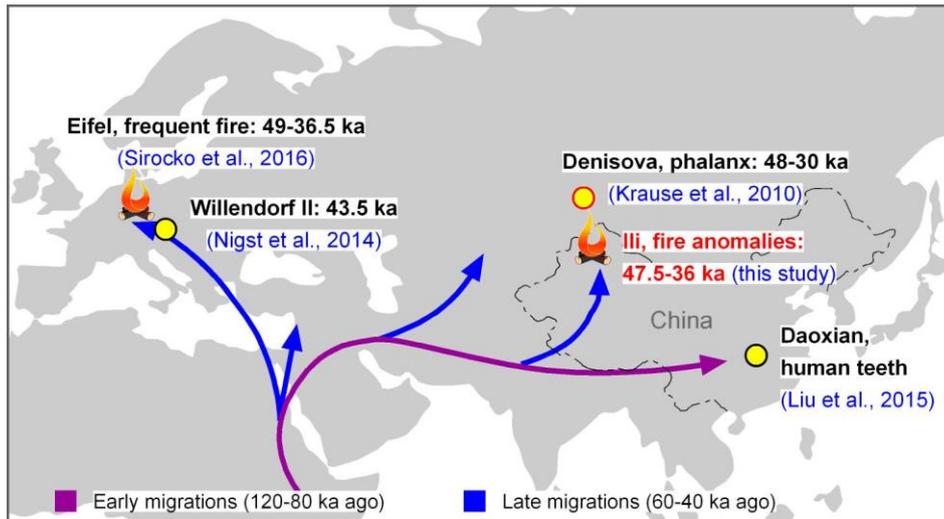
327 Many exposures of loess sediments have yielded time series of particle size variations which
328 are the basis for proxy climatic reconstructions (e.g., Ding et al., 2002; Fang et al., 2002). In the Ili
329 Basin, the grain size distribution is dominated by silts (4-63 μm , mainly ~70%-84%), followed by
330 a considerable percentage (10%-20%) of <4 μm clays fractions, and a minor proportion of 63-100
331 μm (2%-10%) and >100 μm (0-6%) sands fractions, respectively (Figure 8) (Yang et al., 2014). In
332 the diagram, three phases bounded at ~1670 cm (47.5 ka) and ~780 cm (36 ka), can be identified.
333 Due to the positive relationship between wind strength and grain size in the aeolian sediments
334 (Xiao et al., 1995), the increase in coarse particle sizes may indicate an increase in wind strength
335 (Ding et al., 2002; Fang et al., 2002). So, the two boundaries reflect marked changes in the wind
336 strength. Within the 1670-500 cm range, there is a clear lack of significant variations in either the
337 mean size (Figure 6) or the detailed grain-size distribution: the only relatively notable change
338 occurs at ~1450 cm (45 ka ago) (Figure 9). Thus, no sedimentary processes driven by wind
339 strength have influenced the dust particles, and therefore the wind has had little effect on either the
340 pollen or the microcharcoals. Clay mineral records (illite, chlorite, kaolinite and smectite) from the
341 same section (Li et al., 2017) have also been presented here for comparison. Regardless of their
342 paleoclimate indications, obvious changes only occurred at 1450 cm (45 ka ago). No other
343 anomalies occurred within the 1670-780 cm range (Figure 8). Therefore, the sedimentary process
344 has also had little influence on the records of pollen and microcharcoals in the NLK section.

345 **4.2.4 Human activities**

346 If neither the climate changes across Central Asia nor the taphonomic/sedimentary processes
347 have attributed to the climatic/ecologic variations and fire anomalies in the Ili Basin, alternative
348 factors must be considered.

349 Besides climate change, fire is another factor causing changes to vegetation and land cover
350 (Bird and Cali, 1998; Bowman et al., 2009; Miao et al., 2016a; Sirocko et al., 2016), which can
351 subsequently lead to localized climatic anomalies. In Figure 7, we compiled the microcharcoal
352 data to investigate the fire intensity on a relatively regional scale ($MC_{>50\ \mu\text{m}}/MC_{>50\ \mu\text{m}}$), including
353 local forest fires ($MC_{R>50\ \mu\text{m}}/MC_{R>50\ \mu\text{m}}$) and local grass fires ($MC_{L>50\ \mu\text{m}}/MC_{L>50\ \mu\text{m}}$) as well as
354 extreme local fire events ($MC_{>100\ \mu\text{m}}/MC_{<50\ \mu\text{m}}$), based on the different shapes and sizes (see
355 section 4.1). The results revealed two obvious fire anomaly periods: one during 47.5-36 ka, when
356 local and extreme-local fires were markedly more intense, followed by a sharp decrease to a
357 normal level at 36 ka; the second was during 6-0 ka, again characterized by strong local and
358 extreme-local fires.

359 In nature, wildfire has existed since the vegetation began to colonize the land (Glasspool et
360 al., 2004). According to Holocene fire records from the Northeast Tibetan Plateau (Miao et al.,
361 2017), as well as global records on orbital time scales (Bird and Cali, 1998; Luo et al., 2001),
362 climate change might have strongly driven the fire changes through its influence on humidity.
363 Summer precipitation during 41-36 ka was at its highest level of the past 70 ka (Rao et al., 2013),
364 which will have impeded burning. Therefore, precipitation change was not the key factor in the
365 observed fire anomalies. Another possibility is that the fire was caused by human activities. The
366 earliest human-controlled fire can be traced back to at least 0.8 million years in Israel
367 (Goren-Inbar et al., 2004) or 0.4-0.5 million years for *Homo erectus pekinensis* in China (Weiner
368 et al., 1998), which means that the humans had widely colonized the globe during the latest period
369 of the Pleistocene e.g., the last glacial period, bringing their skills of fire control. The Ili Basin, as
370 one of the most important passageways from Africa to high-latitude Asia, e.g., Baikal Lake, may
371 have been burned during their colonization, thus the natural vegetation could have been strongly
372 affected, especially the arbors. Cupressaceae, as a sensitive woody species in the mid latitudes of
373 Central Asia, grows slowly and once destroyed, recovers very slowly. This could explain why
374 Cupressaceae disappeared so quickly fast following human colonization.



375

376 *Figure 10. An early migration from Africa (adapted from Callaway, 2015). Fire anomalies found in the*
 377 *Ili Basin, Central Asia dated to 47.5-36 ka (this study) and frequent fires explained as the result of the*
 378 *natural forest in Europe dated to 49-36.5 ka (Sirocko et al., 2016) are plotted.*

379

380 There is widespread evidence supporting human occupation of Central Asia during the
 381 Holocene (Huang et al., 1988; Wang and Zhang, 1988; Taklimakan Desert archaeology group,
 382 1990; Yidilis, 1993; Lu et al., 2010; Zhang et al., 2011; Tang et al., 2013; Han et al., 2014). In the
 383 Ili Basin, although direct archeological sites are limited, the coeval local fire intensification
 384 supports human activity as a factor causing fire anomalies after ca.6 ka. This relationship can be
 385 similarly extended to observed fire anomalies at 47.5-36 ka, when humans migrated into the Ili
 386 Basin. Although direct archeological proofs of fire usage at this time are still lacking, human
 387 colonization of mid-to high-latitude Eurasia occurred after 200 to 80 ka (Liu et al., 2015) and
 388 extended to Central Asia after around 60-40 ka (Callaway, 2015): for example, Denisova Cave, in
 389 the Altai Mountains, Russia. The phalanx was found in a stratum dated to 48–30 ka ago (Krause et
 390 al., 2010) (Figures 8, 10). So, it is not difficult to link the local fire anomalies during 47.5-36 ka in
 391 the Ili Basin to human activities: the increased occurrence of local fires (for cooking, or burning
 392 the uncultivated land) quickly destroyed the vegetation, causing the observed vegetation
 393 degeneration. If this is the case, the modern vegetation characteristics may have merged at around
 394 36 ka ago. In future, the use of a widespread and sustained ecological program of vegetation
 395 rehabilitation in the arid and semiarid region should reduce the risk of destructive fire, and will
 396 avoid a local vegetation disaster similar to that which occurred at 36 ka.

397 Interestingly, in Europe, the charcoal maxima show high frequent forest fires during 49-36.5
398 ka, explained as the result of the natural taiga fires under frequent drought stress. This is because
399 the strongest fires at ~45 ka ago predate the movement of anatomically modern humans into
400 central Europe (Sirocko et al., 2016). However, modern humans spreading into this area have been
401 dated as early as ~43.5 ka (Nigst et al., 2014), very close to the fire maxima (Figure 9).
402 Furthermore, according to the pollen assemblages in this study, there are two other periods
403 (besides that during 49-36.5 ka) dominated by boreal forests, at around 147-105 ka and 15-10.5 ka,
404 respectively (Sirocko et al., 2016). If a similar natural climate can play a similar dominant role in
405 the vegetation and fire patterns, then the abundance of charcoal fragments during these two similar
406 periods should be broadly higher, yet the values are almost the same as those of other periods
407 dominated by other vegetation types (Sirocko et al., 2016). Therefore, the natural climate and
408 forest changes may be not the key factors explaining the abnormal fire frequencies, and instead the
409 human activities in Central Europe during 49-36.5 ka should not be discounted.

410 **5. Conclusions**

411 In the Ili Basin, pollen assemblages over the past 70 ka show a rapid vegetation change at
412 ~36 ka characterized by increasing herbs and decreasing Cupressaceae, explained as the result of
413 local fire intensification during 47.5-36 ago rather than particular taphonomic effects or
414 sedimentary processes. Human activities may be inferred as one of the main driving forces of
415 these anomalies, although no direct archeological proofs have been investigated. In future,
416 archeological investigation in this area is required to check this hypothesis.

417

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426

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