#### Responses to Referee # 1

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- 2 We thank the reviewer for the careful review of our manuscript. The comments and suggestions are
- 3 greatly appreciated. All the comments have been addressed. In the following, please find our
- 4 responses to the comments one by one and the corresponding revisions made to the manuscript. The
- 5 original comments are shown in italics. The revised parts of the manuscript are highlighted.

# 6 Anonymous Referee #1

- 7 Received and published: 26 April 2017
- 8 This manuscript describes the competing roles of NOx and SO2 on SOA formation of a-pinene and
- 9 limonene. The ability of SO2 to enhance seed aerosol surface area appears to be a dominant factor,
- and that enhancing seed aerosol reduces the NOx suppression of SOA yields, at least in some
- 11 monoterpenes. The authors use their AMS data to determine the role of organic nitrates in SOA, and
- 12 find that organic nitrates account for a substantial fraction of the SOA mass. Overall, this is an
- interesting piece of work, and warrants publication in the ACP following some revision.
- 14 Major Comments
- 15 The nature of the experimental design was not so much to look at the impact of SO2 but to look at
- 16 the role of a sulfate seed aerosol. From the manuscript, my interpretation is that the SO2 additions
- 17 were used to nucleate (inorganic) seed aerosol. Was there any SO2 left over to impact VOC oxidation?
- 18 It is not clear to me if the SO2 additions really paralleled the NOx additions, because the
- 19 experimental design was different. That's not to say that these aren't valuable experiments that add to
- 20 the literature! I merely question whether this was truly an 'SO2 addition' rather than a 'sulfate
- 21 aerosol addition' to VOC oxidation experiments.

# 22 **Response:**

- We thank the reviewer for the supporting remarks.
- 24 In the experiments with SO<sub>2</sub> added, SO<sub>2</sub> concentration decreased slowly and most of SO<sub>2</sub> was still left
- 25 (typically around 8 ppb) at the end of an experiment because of the low reactivity of SO<sub>2</sub> with OH
- 26 (~2×10<sup>-12</sup> molecules<sup>-1</sup> cm<sup>3</sup> s<sup>-1</sup> at 298 K). SO<sub>2</sub> time series in a typical experiment are shown in a newly
- 27 added figure (Fig. S2). Therefore, the experiments with SO<sub>2</sub> not only included the effect of sulfate
- 28 formed from SO<sub>2</sub> oxidation as seed but also the potential role of SO<sub>2</sub> on VOC oxidation, although the
- 29 role on VOC oxidation turned out to be likely not significant. We have added the follow sentence in
- 30 the revised manuscript to clarify this point.
- "SO<sub>2</sub> concentration decayed slowly in the experiments with SO<sub>2</sub> added and most of the SO<sub>2</sub> was still
- 32 left (typically around 8 ppb from initial 15 ppb) at the end of an experiment due to its low reactivity
- with OH. Typical SO<sub>2</sub> time series in high SO<sub>2</sub> experiments are shown in Fig S2."

- 34 Although the SO<sub>2</sub> addition did not exactly parallel NO<sub>x</sub> addition, by adding SO<sub>2</sub> and inducing
- 35 nucleation first, we can make sure that in high SO<sub>2</sub> conditions enough nucleated particles were
- 36 represent for the oxidation products to condense on once VOC oxidation started. Otherwise, it would
- 37 be unclear whether the low SOA yield at high NO<sub>x</sub> was due to missing nucleation or lack of
- 38 condensable products. In this way, we can somewhat differentiate the role of promoting nucleation
- 39 from the role of affecting the condensable products from VOC oxidation.
- 40 In the revised manuscript, we have added the following sentence.
- 41 "Adding SO<sub>2</sub> first and initializing nucleation by SO<sub>2</sub> photooxidation ensured that enough nucleating
- 42 particles were present when VOC oxidation started."
- 43 The effect of SO<sub>2</sub> on VOC oxidation is provided in the response to one similar comment below (Pg. 7,
- 44 lines 205-209).
- 45 SOA yield is influenced strongly by OA mass. The authors plot SOA yield versus OH dose, which is
- 46 certainly a useful figure to see but it is hard to compare the SOA yields if the SOA mass has not
- 47 been accounted for. The authors need to also show SOA yield versus OA mass so that the readers can
- 48 contrast the relationships to other studies. It would be useful to compare the SOA yields to other
- 49 studies: how do the yield values compare to other measurements of OH oxidation of a-pinene? This
- 50 will allow readers to place the studies in context.

#### 51 **Response:**

- 52 We have accepted the reviewer's suggestion. In the revised manuscript, we have added a figure of
- 53 SOA yield versus OA mass concentration (Fig. S8 and S9) and compared the SOA yield in this study
- 54 to previous studies. We have also discussed other factors influencing SOA yield.
- 55 The results of SO2 and NOx effects on SOA yield are consistent with the Sarrafzadeh and Eddingsaas
- 56 studies, which found that the presence of seed aerosol suppresses the 'NOx effect' on SOA yield.
- 57 However, they contradict previous studies (e.g. Ng et al. 2007, Presto et al. 2005). The authors need
- 58 to do a better job of contrasting their studies they attribute the difference to a vague collection of
- 59 parameters (e.g. NO:NO2 ratio, OH concentrations, etc.). It would be extremely helpful if the authors
- 60 could synthesize the information (i.e. put numbers on those parameters) to help readers understand
- 61 the differences in experimental conditions across the studies. A table would be particularly helpful.

### **Response:**

- 63 We have accepted the reviewer's suggestions. In the revised manuscript, we have added one table
- 64 summarizing the reaction conditions of previous studies (Table, S2) and elaborated the discussion
- related to the difference between our study and previous studies.

"...The reaction conditions of this study often differ from those described in the literature (see Table S2).

The difference in these conditions can result in both different apparent dependence on specific parameters and the varied SOA yield. For example, SOA yield from α-pinene photooxidation at low  $NO_x$  in this study appeared to be much lower than that in Eddingsaas et al. (2012a). The difference between the SOA yield in this study and some of previous studies and between the values in the literature can be attributed to several reasons: 1) RO<sub>2</sub> fates may be different. For example, in our study at low NO<sub>x</sub>, RO<sub>2</sub>+NO account for a large fraction of RO<sub>2</sub> loss while in Eddingsaas et al. (2012a) RO<sub>2</sub>+HO<sub>2</sub> is the dominant pathway of RO<sub>2</sub> loss. This difference in RO<sub>2</sub> fates may affect oxidation products distribution. 2) The organic aerosol loading of this study is much lower than that some of previous studies (e.g., Eddingsaas et al. (2012a)) (see Fig. S9). SOA yields in this study were also plotted versus organic aerosol loading to better compare with previous studies (Fig. S8 and S9). 3) The total particle surface area in this study may also differ from previous studies, which may influence the apparent SOA yield due to vapor wall loss (the total particle surface area is often not reported in many previous studies to compare with). 4) RH of this study is different from many previous studies, which often used very low RH (<10%). It is important to emphasize that reaction conditions including the NO<sub>x</sub> as well as SO<sub>2</sub> concentration range and RH in this study were chosen to be relevant to the anthropogenic-biogenic interactions in the ambient atmosphere. In addition, difference in the organic aerosol density used in yield calculation should be taken into account. In this study, SOA yield was derived using a density of 1 g cm<sup>-3</sup> to better compare with many previous studies (e.g., (Henry et al., 2012)), while in some other studies SOA yield was derived using different density (e.g., 1.32 g cm<sup>-3</sup> in Eddingsaas et al. (2012a))."

- 88 Lines 118: the use of the HR-ToF-AMS to derive elemental ratios uses the older Aiken method.
- 89 However, as the authors note, the newer 2015 approach corrects some underestimation. Because
- 90 readers may wish to compare results across studies in the future, it is appropriate and prudent to
- 91 *update the results to the newer calculations.*

#### Response:

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- 93 We have calculated the H/C and O/C data using the newer approach by Canagaratna et al. (2015) and
- 94 compared them with the data derived from the older method (Aiken et al., 2007) (Fig. S1). The H/C
- 95 derived using the newer method strongly correlated with that derived using older method and just
- 96 increased by 27%. Similarly, O/C just increased by 11%. In the revised manuscript, we have
- 97 discussed this difference.
- 98 "The H/C and O/C were also derived using the newer approach by Canagaratna et al. (2015) and
- 99 compared with the data derived from the Aiken et al. (2007) method. The H/C values derived using
- the Canagaratna et al. (2015) method strongly correlated with the values derived using Aiken et al.

- 101 (2007) method (Fig. S1) and just increased by 27% as suggested by Canagaratna et al. (2015). Similar
- results were found for O/C and there was just a difference of 11% in O/C. Since only relative
- difference in elemental composition of SOA is studied here, only the data derived using Aiken et al.
- 104 (2007) method are shown as the conclusion was not affected by the methods chosen."
- 105 Line 128: the authors note that they account for particle wall loss and dilution loss, but not for vapor
- 106 wall loss. Recent papers have shown this to be a chemically-dependent and substantial effect on SOA
- 107 yields, and most rigorous SOA yield work now accounts for these effects. How will ignoring vapor
- wall loss influence the results and the interpretation thereof?

# Response:

- 110 The wall loss of vapors causes an under-estimate of the SOA yield. In the revised manuscript, we
- have estimated the influence of the vapor wall loss on SOA yield using the measured wall loss rate of
- vapors. And we have added a section to address the influence of vapor wall loss.

#### "Wall loss of organic vapors

The loss of organic vapors on chamber walls can influence SOA yield (Kroll et al., 2007; Zhang et al., 2014; Ehn et al., 2014; Sarrafzadeh et al., 2016; McVay et al., 2016; Nah et al., 2016; Matsunaga and Ziemann, 2010; Ye et al., 2016; Loza et al., 2010). The wall loss rate of organic vapors in our chamber was estimated by following the decay of organic vapor concentrations after photooxidation was stopped in the experiments with low particle surface area (~5×10<sup>-8</sup> cm<sup>2</sup> cm<sup>-3</sup>) and thus low condensational sink on particles. Such method is similar to the method used in previous studies (Ehn et al., 2014; Sarrafzadeh et al., 2016; Krechmer et al., 2016; Zhang et al., 2015). A high-resolution time-of-flight chemical ionization mass spectrometer (HR-ToF-CIMS, Aerodyne Research Inc.) with nitrate ion source (<sup>15</sup>NO<sub>3</sub><sup>-</sup>) was used to measure semi/low-volatile organic vapors. The details of the instrument were described in our previous studies (Ehn et al., 2014; Sarrafzadeh et al., 2016). The decay of vapors started from the time when the roof of the chamber was closed. The data were acquired at a time resolution of 4 s. A typical decay of low-volatile organics is shown in Fig. S3 and the first-order wall loss rate was determined to be around 6×10<sup>-4</sup> s<sup>-1</sup>.

The SOA yield was not directly corrected for the vapor wall loss, but the influence of vapor wall loss on SOA yield was estimated using the method in the study of Sarrafzadeh et al. (2016) and the details of the method are described therein. Briefly, particle surface and chamber walls competed for the vapor loss (condensation) and the condensation on particles led to particle growth. The fraction of organic vapor loss to particles in the sum of the vapor loss to chamber walls and to particles ( $F_p$ ) was calculated. The vapor loss to chamber walls was derived using the wall loss rate. The vapor loss to particles was derived using particle surface area concentration, molecular velocity and an accommodation coefficient  $\alpha_p$  (Sarrafzadeh et al., 2016).  $1/F_p$  ( $f_{corr}$ ) provides the correction factor to

- obtain the "real" SOA yield. f<sub>corr</sub> is a function of particle surface area concentration and
- accommodation coefficient as shown in Fig. S4. Here a range of 0.1-1 for  $\alpha_p$  was used, which is
- generally in line with the ranges of  $\alpha_p$  found by Nah et al. (2016) by fitting a vapor-particle dynamic
- model to experimental data. At a given  $\alpha_p$ , the higher particle surface area, the lower  $f_{corr}$  and the
- lower the influence of vapor wall loss are because most vapors condense on particle surface and vice
- versa. At a given particle surface area,  $f_{corr}$  decreases with  $\alpha_p$  because at higher  $\alpha_p$  a larger fraction of
- vapors condenses on particles. An average molecular weight of 200 g/mol was used to estimate the
- influence of vapor wall loss. For the aerosol surface area range in most of the experiments in this
- study, the influence of vapor wall loss on SOA yield was relatively small (<~40% for particle surface
- area larger than  $3\times10^{-6}$  cm<sup>2</sup> cm<sup>-3</sup>, Fig. S4). Yet, for the experiments at high NO<sub>x</sub> and low SO<sub>2</sub> for  $\alpha$ -
- pinene and limonene, the influence of vapor wall loss on SOA can be high due to the low particle
- surface area, especially at lower  $\alpha_p$ ."
- 147 In the Introduction, the authors do a good job of summarizing the reasons why such a study would be
- interesting. Much of the discussion focuses on the role of NOx on SOA yields this is reasonable as
- most of the literature has focused on that problem! However, there is some relatively recent literature
- regarding the role of SO2 in affecting SOA chemistry and monoterpene OH oxidation that the authors
- 151 should consider. In particular:
- 152 Photooxidation of cyclohexene in the presence of SO2: SOA yield and chemical composition.
- 153 Shijie Liu, Long Jia, Yongfu Xu, Narcisse T. Tsona, Shuangshuang Ge, and Lin Du. Atmos. Chem.
- 154 Phys. Discuss., doi:10.5194/acp-2017-30, 2017
- 155 Synergetic formation of secondary inorganic and organic aerosol: effect of SO2 and NH3 on particle
- 156 formation and growth. Biwu Chu, Xiao Zhang, Yongchun Liu, Hong He, Yele Sun, Jingkun Jiang,
- 157 Junhua Li, and Jiming Hao. Atmos. Chem. Phys., 16, 14219-14230, doi:10.5194/acp-16-14219-2016,
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- 159 Formation of secondary aerosols from gasoline vehicle exhaust when mixing with SO2. T. Liu, X.
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- 163 Anthropogenic Sulfur Perturbations on Biogenic Oxidation: SO2 Additions Impact Gas- Phase OH
- 164 Oxidation Products of \_- and \_-Pinene. Beth Friedman, Patrick Brophy, William H. Brune, and
- Delphine K. Farmer. Environmental Science & Technology 2016 50 (3), 1269-1279. DOI:
- 166 10.1021/acs.est.5b05010

- 167 Is there any evidence for organic sulfates in the SOA from the AMS data? This has been a subject of
- some debate in the literature, and an additional datapoint would be useful. This may also clarify the
- 169 role of acid catalysis, as I believe that has been linked to the formation of organic sulfates.

# 170 **Response:**

- We thank the reviewer for raising these papers. In the revised manuscript, we have enriched the
- discussion on the role of SO<sub>2</sub> by including some of the papers.
- 173 From our AMS data, we did not find evidence of organic sulfate. For SOA formed at high SO<sub>2</sub>, we
- found no significant organic fragments containing sulfur. Also the fragment CH<sub>3</sub>SO<sub>2</sub><sup>+</sup> from organic
- sulfate suggested by Farmer et al. (2010) was not detectable in our data. We found that the pattern of
- sulfate in mass spectra had no significant difference from the pattern of pure ammonium sulfate.
- However, we would like to note that AMS has very limited capability to differentiate organic sulfate
- and inorganic sulfate (Farmer et al., 2010).
- Moreover, according to the literature, organic sulfate is mainly formed by aqueous reaction of sulfate
- 180 with organics. In the conditions of our study, there was no aqueous phase as we stated based on the
- AIM model. Therefore, experimental conditions in our study did not favor the formation organic
- sulfate.
- 183 In the revised manuscript, we have clarified these results.
- "In addition, from the AMS data of SOA formed at high SO<sub>2</sub> no significant organic fragments
- containing sulfur were found. Also the fragment CH<sub>3</sub>SO<sub>2</sub><sup>+</sup> from organic sulfate suggested by Farmer
- et al. (2010) was not detected in our data. The absence of organic sulfate tracers is likely due to the
- lack of aqueous phase in aerosol particles in this study. Therefore, the influence of SO<sub>2</sub> on gas phase
- 188 chemistry of organics and further on SOA yield via affecting gas phase chemistry is not important in
- this study."
- 190 Minor Comments
- 191 Line 136. The authors note an average RH of 28-42% for the experiments. This seems like a relatively
- large range: will this affect the SOA yields, or interpretation of the data?

# 193 **Response:**

- The average RH was in the range of 28-42% taking into account all experiments. Actually, except one
- experiment, the average RH was in the range of 28-34%. For particle phase reactions, the particle
- water content absorbed by organic aerosol in the range of 28-42% RH is low and the difference of
- water content between 28% and 42 % is very minor (typically <~2% of the particle volume based on
- our hygroscopic growth measurement). The RH variations are not expected to significantly change the
- particle phase chemistry. Moreover, since water vapor is abundant and in excess in the gas phase, the

- 200 RH variations are not expected to significant change gas phase chemistry either. Therefore, we do not
- 201 expect that would significantly change the SOA yield. In the revised manuscript, we have clarified
- this point.
- 203 "The average RH for the period of monoterpene photooxidation was 28-34% except for one
- 204 experiment with average RH of 42% RH."
- 205 Re: Discussion of SO2 effects. The authors dominantly attribute the enhancement of SOA by SO2 to
- increased particle surface area, or perhaps to acid catalysis. These seem like extremely likely reasons;
- 207 however, there is one study that suggests that SO2 will influence gas-phase oxidation products
- 208 (Friedman et al.), which could also be a confounding factor unless all of the SO2 is in the particle
- 209 phase before VOC oxidation commences... This would be a useful clarification.
- 210 **Response:**
- The influence of SO<sub>2</sub> on gas phase oxidation is likely to be trivial in this study for two reasons. Firstly,
- 212 the reactivity of SO<sub>2</sub> with OH is very low  $(2\times10^{-12} \text{ vs. } 5.3\times10^{-11} \text{ molecules}^{-1} \text{ cm}^3 \text{ s}^{-1}$  for  $\alpha$ -pinene with
- OH) and SO<sub>2</sub> only accounts for a very small fraction of the OH loss (typically ~2% in the beginning of
- an experiment). Secondly, the OH concentration is 2-3 orders of magnitude lower than those in the
- 215 PAM chamber used by Friedman et al. (2016). Therefore, either the change in OH/HO<sub>2</sub> ratio or SO<sub>3</sub>
- 216 concentration, which is attributed to the reason of changed oxidation products by Friedman et al.
- 217 (2016), is much lower in our experiments. In the revised manuscript, we have added a brief discussion
- of the effect of SO<sub>2</sub> on gas phase oxidation of monoterpenes in this study as follows.
- 219 "SO<sub>2</sub> has been proposed to also affect gas phase chemistry of organics by changing the HO<sub>2</sub>/OH or
- forming SO<sub>3</sub> (Friedman et al., 2016). In this study, the effect of SO<sub>2</sub> on gas phase chemistry of
- organics was not significant because of the much lower reactivity of  $SO_2$  with OH compared with  $\alpha$ -
- pinene and limonene (Atkinson et al., 2004, 2006; Atkinson and Arey, 2003) and the low OH
- 223 concentrations (2-3 orders of magnitude lower than those in the study by Friedman et al. (2016)).
- Moreover, reactions of RO<sub>2</sub> with SO<sub>2</sub> was also not important because the reaction rate constant is very
- 225 low (<10<sup>-14</sup> molecule<sup>-1</sup> cm<sup>3</sup> s<sup>-1</sup>) (Lightfoot et al., 1992; Berndt et al., 2015). In addition, from the AMS
- data of SOA formed at high SO<sub>2</sub> no significant organic fragments containing sulfur were found. Also
- the fragment CH<sub>3</sub>SO<sub>2</sub><sup>+</sup> from organic sulfate suggested by Farmer et al. (2010) was not detected in our
- data. The absence of organic sulfate tracers is likely due to the lack of aqueous phase in aerosol
- 229 particles in this study. Therefore, the influence of SO<sub>2</sub> on gas phase chemistry of organics and further
- on SOA yield via affecting gas phase chemistry is not important in this study."
- 231 Technical comments.
- 232 Line 26: should read "compared to low NOx"
- 233 **Response:** Corrected.

- 234 Line 29: should read "SO2 can compensate for such effects"
- 235 **Response:** Corrected.
- 236 Introduction: line 34: sentence has repetitive 'importants': consider removing at least one (e.g. "SOA
- 237 is an important class of atmospheric aerosol" seems like an unnecessary statement for the journal's
- audience). This adjective is used heavily throughout the introduction (lines 45, 49), and I recommend
- 239 removing or replacing the adjective to improve readability.
- 240 **Response:** We have accepted the reviewer's suggestion. In the revised manuscript, we have removed
- 241 the "as an important class of atmospheric aerosol", and removed or replaced "important" where it is
- 242 necessary.
- 243 Line 56: hydroperoxides should be plural
- 244 **Response:** Corrected.
- 245 Line 57: need comma between 'NO' and 'forming'
- 246 **Response:** Corrected.
- 247 Line 87: should read "might have either counteracting or synergistic effects on SOA: ::"
- 248 **Response:** Corrected.
- 249 Line 126: remove the with following 'multiplied by'
- 250 **Response:** Done.
- Line 135: should read 'there was no aqueous..'
- 252 **Response:** Corrected.
- 253 Line 221, remove comma between 'that' and 'high'
- 254 **Response:** Done.
- 255 *Line 360: should read 'in the ambient atmosphere'*
- 256 **Response:** Corrected.

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